

Comp 311

Functional Programming

Eric Allen, Two Sigma Investments
Robert “Corky” Cartwright, Rice University
Sağnak Taşır, Two Sigma Investments

Abstract Datatypes

```
abstract class Shape  
case class Circle(radius: Double) extends Shape  
case class Square(side: Double) extends Shape  
case class Rectangle(height: Double, width: Double) extends Shape
```

Case 1: We Expect Few New Functions But Many New Variants

- This is a case that object-oriented programming handles well
- Classic example domains: GUI Programming, Productivity Apps, Graphics, Games
- Declare an abstract method in our superclass and provide a concrete definition for each sub-class

a.k.a.,

The Union Pattern (for the datatype definitions)

The Template Method Pattern (for the function definitions)

Abstract Datatypes

```
abstract class Shape {  
  def area(): Double  
}
```

```
case class Circle(radius: Double) extends Shape {  
  val pi = 3.14  
  def area() = pi * radius * radius  
}
```

```
case class Square(side: Double) extends Shape {  
  def area() = side * side  
}
```

How Do Abstract Classes Affect Our Type Checking Rules?

- When type checking a class definition, ensure that all abstract methods declared in the superclass are actually defined, with *compatible* method types
- When type checking a collection of class definitions, ensure that there are no cycles in the class hierarchy!

How Do Abstract Classes Affect Our Type Checking Rules?

- If a method is called on a receiver whose static type is an abstract class, extract an arrow type from the declaration (just as with a definition in a concrete class)

`expr.area()` \mapsto

`Shape.area()` \mapsto

`()` \rightarrow `Double`

Type Checking Arguments to a Method Call

- The static types of an argument might no longer be an exact match:

```
abstract class Shape {  
    def area(): Double  
  
    def makeLikeMe(that: Shape): Shape  
}
```

(Let us set aside the concrete definitions of `makeLikeMe` for awhile)

Now Consider a Call to Matcher With Concrete Types

`Circle(1).makeLikeMe(Circle(2)) ⇒`

`Circle.makeLikeMe(Circle) ⇒`

`(Shape → Shape)(Circle)`

And now we are stuck...

Recall The Substitution Model of Type Checking

- To type check the application of a function to arguments:
 - Reduce the function to an arrow type
 - Reduce the arguments, left to right, to static types
 - If the argument types **match** the corresponding parameter types, reduce the application to the return type

Relations

- are subsets of tuples

$$R \subseteq A \times B$$

- reflexive

$$(a, a) \in R \quad \forall a \in A$$

- symmetric

$$(x, y) \in R \leftrightarrow (y, x) \in R \quad \forall x, y \in A$$

- anti-symmetric

$$(x, y) \in R \wedge (y, x) \in R \rightarrow x = y \quad \forall x, y \in A$$

- total

$$(x, y) \in R \vee (y, x) \in R \quad \forall x, y \in A$$

- transitive

$$(x, y) \in R \wedge (y, z) \in R \rightarrow (x, z) \in R \quad \forall x, y, z \in A$$

Some binary relations

- A total order (total, transitive, anti-symmetric)
- A partial order (reflexive, transitive, anti-symmetric)
- Functions (left covering, right unique)

Hasse Diagram

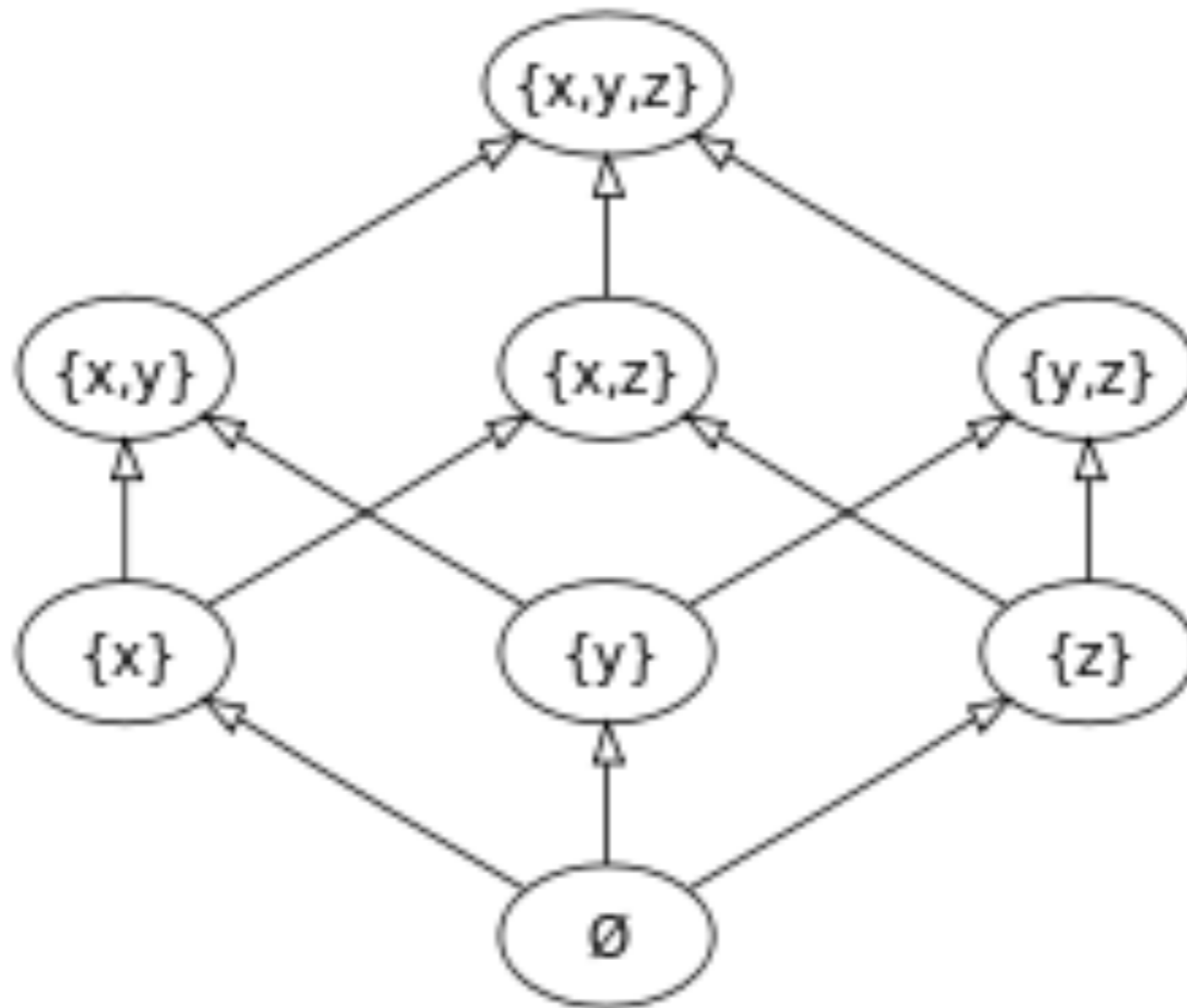


image credit: wiki article on hasse diagrams

Subtyping

- We need to widen our definition of matching a type to include subtyping:
- A class is a subtype of the class it extends
- Subtyping is Reflexive:

$$A <: A$$

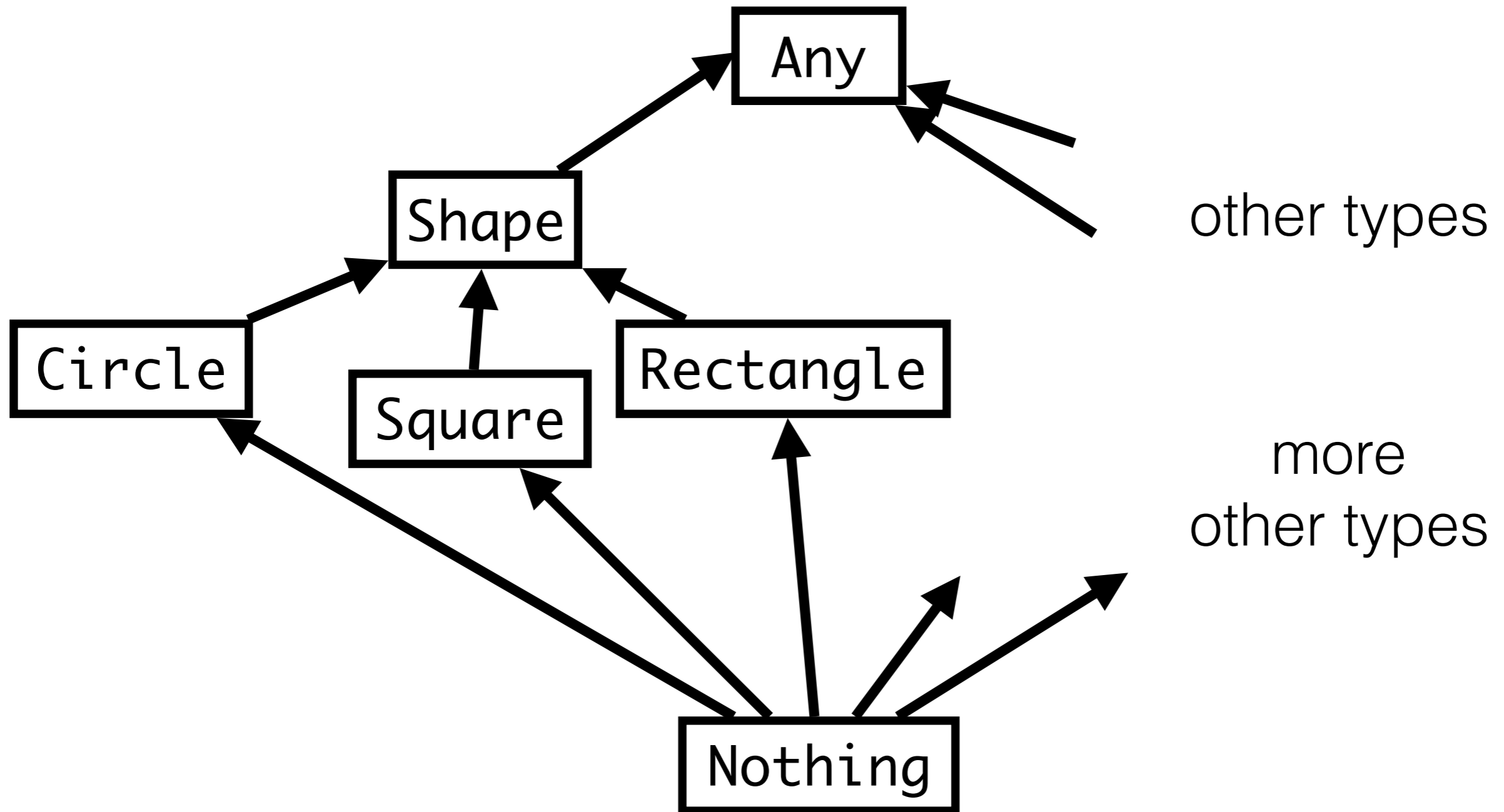
- Subtyping is Transitive:

$$\text{If } A <: B \text{ and } B <: C \text{ then } A <: C$$

Subtyping

- All types are a subtype of type **Any**
- Type **Nothing** is a subtype of all types
 - There is no value with value type **Nothing**

A scala type hierarchy



Recall The Substitution Model of Type Checking

- To type check the application of a function to arguments:
 - Reduce the function to an arrow type
 - Reduce the arguments, left to right, to static types
 - If the argument types **are subtypes of** the corresponding parameter types, reduce the application to the return type

Applying a Class Method Revisited

- To reduce the application of a method:

$C(v_1, \dots, v_k).m(\text{arg1}, \dots, \text{argN})$

- Reduce the receiver and arguments, left to right
- **Find the body of m in C and reduce to that,** replacing constructor parameters with constructor arguments and method parameters with method arguments

The Body of m

- To find the body of method m in type C :
 - Find the definition of m in the body of C , if it exists
 - Otherwise, find the body of m in the immediate superclass of C

Overriding Methods

- Our new rules also handle method overriding!
- Use overriding when:
 - Factoring out a method definition common to several variants
 - Suppose several shapes compute their area in the same way
 - Augmenting the behavior of classes we do not maintain

Overriding Methods

- Scala requires that overriding method definitions include the keyword **overrides**
- Why require this extra keyword?

The Fragile Base Class Problem

- Suppose I define a base class **Shape**
- Later a client extends **Shape** with class **Triangle** and defines a private method **position** to record the position of one point of a triangle
- Yet later, I release a new version of my class **Shape** with a private method **position** to record the position of the center of the shape

The Fragile Base Class Problem

- This is an example of *accidental overriding*
- The **overrides** keyword catches the problem when the subclass **Triangle** is recompiled against the new version of **Shape**

Two Occasions to Consider Overriding

- The default equals methods on case classes:

`Rational(4,6) equals Rational(2,3)`

Two Occasions to Consider Overriding

- The default toString methods on case classes:

`Rational(4,6) + Rational(2,3) ↦`

`Rational(4,3)`

What is printed during Interactions is determined by toString

Two Occasions to Consider Overriding

- The default toString methods on case classes:

`Rational(4,6) + Rational(2,3) ↦`

`4/3`

What is printed during Interactions is determined by toString

Defining and Overriding Methods

- Recall our rule for abstract methods
 - When type checking a class definition, ensure that all abstract methods declared in the superclass are actually defined, with *compatible* method types
- We need to:
 - Augment our rule to mention overriding (this is easy)
 - Clarify “compatible method types”

Defining and Overriding Methods

- When type checking a class definition, ensure that:
 - All abstract methods declared in the superclass are actually defined, with compatible method types
 - The types of all overriding methods are compatible with the types of the methods they override

Defining and Overriding Methods

- When type checking a class definition, ensure that:
 - All abstract methods declared in the superclass are actually defined, and their types are subtypes of the method types in the corresponding declarations
 - The types of all overriding methods are subtypes of the method types they override

Arrow Types and Subtyping

- How do we define subtyping on arrow types?
- Historically this has been a painful source of bugs in object-oriented languages

Arrow Types and Subtyping

- The substitution principle of arrow typing:

- If a function f has type $S \rightarrow T$

and $S \rightarrow T <: U \rightarrow V$

then f can be safely used in any context
requiring a function of type $U \rightarrow V$

Consider an Example

```
abstract class Shape {  
  def area(): Double  
  
  def makeLikeMe(that: Shape): Shape  
}
```

- So, `makeLikeMe` has type `Shape → Shape`
- We are required to define it in all subclasses of `Shape`

Consider a Calling Context

```
def matcher(shape1: Shape, shape2: Shape) = {  
    shape1.makeLikeMe(shape2).area  
}
```

- What are some parameter types we can safely declare for `makeLikeMe` when defining it in class `Circle`?
- What are some return types we could safely declare?

Consider a Calling Context

```
def matcher(shape1: Shape, shape2: Shape) = {  
  shape1.makeLikeMe(shape2).area  
}
```

- Could class `Circle` define the parameter type of `makeLikeMe` to be `Circle`?

`// NOT ALLOWED`

```
def makeLikeMe(that: Circle): Shape = that
```

Consider a Calling Context

`matcher(Circle(1), Square(1))` \mapsto

`Circle(1).makeLikeMe(Square(1)).area` \mapsto

And now we are stuck...

Consider a Calling Context

```
def matcher(shape1: Shape, shape2: Shape) = {  
    shape1.makeLikeMe(shape2).area  
}
```

- Could class `Circle` define the parameter type of `makeLikeMe` to be `Any`?

```
// This abides by our substitution principle  
def makeLikeMe(that: Any): Shape = this
```

Consider a Calling Context

`matcher(Circle(1), Square(1))` \mapsto

`Circle(1).makeLikeMe(Square(1)).area` \mapsto

`Circle(1).area` \mapsto

3.14

Consider a Calling Context

```
def matcher(shape1: Shape, shape2: Shape) = {  
    shape1.makeLikeMe(shape2).area  
}
```

- Could class `Circle` define the return type of `makeLikeMe` to be `Any`?

```
// NOT ALLOWED
```

```
def makeLikeMe(that: Any): Any = “what’s up?”
```

Consider a Calling Context

`matcher(Circle(1), Square(1))` \mapsto

`Circle(1).makeLikeMe(Square(1)).area` \mapsto

`“what’s up?”.area` \mapsto

And now we are stuck...

Consider a Calling Context

```
def matcher(shape1: Shape, shape2: Shape) = {  
  shape1.makeLikeMe(shape2).area  
}
```

- Could class `Circle` define the return type of `makeLikeMe` to be `Circle`?

```
// This abides by our substitution principle  
def makeLikeMe(that: Any): Circle = this
```

Consider a Calling Context

`matcher(Circle(1), Square(1))` \mapsto

`Circle(1).makeLikeMe(Square(1)).area` \mapsto

`Circle(1).area` \mapsto

3.14

Subtyping for Arrow Types

- A type $S \rightarrow T$ is a subtype of $U \rightarrow V$ iff
 - U is a subtype of S
 - T is a subtype of V
- We say that arrow types are *contravariant* in their parameter type and *covariant* in their return type

A Limitation on Subtyping of Method Types in Scala

- Parameter types of overriding methods must match exactly in Scala
- This restriction is shared with Java and is a limitation of the JVM
- We will see other uses of arrow types in Scala where this restriction is not in place

Why Methods?

- Remember we are in Case 1: We Expect Few New Functions But Many New Variants
- How do methods help with this case?
 - All functions we support are declared in our abstract class
 - New variants can be added without changing old code:
 - Simply implement all the declared methods

Disadvantages of Methods

- If new functionality is added, every class definition must be modified to include it

Throwing And Catching Exceptions

We Can Throw and Catch Exceptions as in Java

```
def assertConstructorFail(m:Int, n:Int) = {  
  try {  
    Rational(m,n)  
    fail()  
  }  
  catch {  
    case e: IllegalArgumentException => {  
    }  
  }  
}
```

Syntax For Try/Catch

```
try expr
  catch {
    case Pattern => expr
    ...
  }
```

Syntax For Throw

```
throw expr
```


Static Semantics For Throw

If e has static type T and

$T <: \text{Throwable}$

then

`throw e`

has static type

`Nothing`

Static Semantics For Try/ Catch

- Given an expression e :

```
try expr0
  catch {
    case Pattern => expr1
    ...
    case Pattern => exprN
  }
```

- Where $\text{expr0}: T0$, $\text{expr1}: T1$, ..., $\text{exprN}: TN$,
- The type of e is the least type T such that:

$$T0 <: T, T1 <: T, \dots, TN <: T$$

Static Semantics For Try/ Catch

- The type of e is the least type T such that:

$$T_0 <: T, T_1 <: T, \dots, T_N <: T$$

- Note that we can now use this approach to go back and define better static typing rules for **if-else** and **match** expressions

Dynamic Semantics For Throw

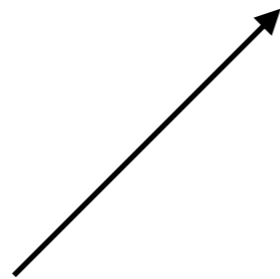
- To explain the semantics of throw, we must introduce new terminology
- Let the *continuation* of an expression e refer to all that remains to be done in a computation after e is reduced
- We can think of a continuation as an expression with a “hole” in it, corresponding to e
- Equivalently, we can think of a continuation as function that takes a parameter, corresponding to the result of evaluating e

Example Continuation

```
matcher(Circle(1), Square(1))
```

Example Continuation

matcher(**Circle(1)**, Square(1))



Let this be our expression **e**

Example Continuation



matcher(, Square(1))

Then this is the continuation of **e**

Example Continuation



matcher(**Circle(1)**, Square(1))

Once e is reduce to a value, the box is filled in,
and the continuation can be reduced

Reducing a Throw Expression

- To reduce a throw expression:

`throw e`

- Reduce `e` to a value `v`
- Replace the continuation of the throw expression with the special expression `throw v`

Reducing a Try/Catch

- To reduce a try/catch expression:

```
try expr0
  catch {
    case Pattern => expr1
    ...
    case Pattern => exprN
  }
```

Reducing a Try/Catch

- Set aside the continuation C of the `try/catch`
- Reduce the body of the `try` in a special continuation D
- If D reduces to `throw v`:
 - Restore the continuation C
 - Try matching v against each pattern in the `catch` clause
 - If a match is found, evaluate the body of the matching case
 - Otherwise, reduce to `throw v`
- If D reduces to w , restore continuation C and reduce the `try/catch` to w

Consider Our Motivating Test Helper Function

```
def assertConstructorFail(m:Int, n:Int) = {  
  try {  
    Rational(m,n)  
    fail()  
  }  
  catch {  
    case e: IllegalArgumentException => {  
    }  
  }  
}
```

We Call Our Function In An Enclosing Context

```
enclosingProgram (  
  assertConstructorFail(1,0)  
)
```

↳

```
enclosingProgram (  
  try {  
    {require(0 != 0); Rational(1,0)}  
    fail()  
  }  
  catch {  
    case e: IllegalArgumentException => {}  
  }  
)
```

↳

Continuation C

```
enclosingProgram (  
  try {  
    {require(0 != 0); Rational(1,0)}  
    fail()  
  }  
  catch {  
    case e: IllegalArgumentException => {}  
  }  
)
```

D

↳

```
{  
  {require(0 != 0); Rational(1,0)}  
  fail()  
}
```

C

↳

```
{  
  {require(0 != 0); Rational(1,0)}  
  fail()  
}
```



↳

```
{  
  {throw IllegalArgumentException; Rational(1,0)}  
  fail()  
}
```



↳

```
throw IllegalArgumentException
```



↳



throw IllegalArgumentException

↳

```
enclosingProgram (
```

```
  try {
```

```
    throw IllegalArgumentException
```

```
  }
```

```
  catch {
```

```
    case e: IllegalArgumentException => {}
```

```
  }
```

```
)
```

↳

```
enclosingProgram (
```

```
  {}
```

```
)
```

↳

```
enclosingProgram ()
```


What If Our Catch Clause Does Not Match?

```
throw IllegalArgumentException
```

↳

```
enclosingProgram (  
  try {  
    throw IllegalArgumentException  
  }  
  catch {  
    case e: AssertionError => {}  
  }  
)
```

↳

```
enclosingProgram (  
  throw IllegalArgumentException  
)
```

↳

```
throw IllegalArgumentException
```

C

Continuations Are A Recurrent Concept in Computer Science

- Distributed computing
- Parallel computing
- Operating systems
- A unified approach to control flow

The Assert Function

```
assert: Boolean → Unit
```

```
assert: (Boolean, String) → Unit
```

- Note that the function is overloaded
- Use inside functions to ensure properties hold
- Do not assert unless you actually believe the assertion is true!

Type Checking Overloaded Functions

- For each overloaded declaration of a function f:
 - Provide that declaration with a fresh name, in a manner that respects method overriding

```
abstract class Shape {  
  def area(): Double  
  
  def makeLikeMe(that: Int): Shape  
  def makeLikeMe(that: Shape): Shape  
}
```

Type Checking Overloaded Functions

- For each overloaded declaration of a function f:
 - Provide that declaration with a fresh name, in a manner that respects method overriding

```
abstract class Shape {  
  def area(): Double  
  
  def makeLikeMe$Int(that: Int): Shape  
  def makeLikeMe$Shape(that: Shape): Shape  
}
```

Type Checking Overloaded Functions

- For each overloaded declaration of a function f:
 - Provide that declaration with a fresh name, in a manner that respects method overriding

```
case class Circle(radius: Int) {  
  val pi = 3.14  
  def area(): Double = pi * r * r  
  
  def makeLikeMe$Int(that: Int): Shape = this  
  def makeLikeMe$Shape(that: Shape): Shape = that  
}
```

Type Checking an Overloaded Function

- When an overloaded function is called on an argument expression e with type T :
 - If there is a unique matching function definition whose parameter type is:
 - A supertype of T
 - A subtype of all other matching definitions
 - Replace the function name with the unambiguous name for that unique function

Reducing an Overloaded Function Definition

- Because of the rewrite during type checking, our reduction rules need no modification!