

# Comp 311

# Functional Programming

Eric Allen, Two Sigma Investments  
Robert “Corky” Cartwright, Rice University  
Sagnak Tasirlar, Two Sigma Investments

# Family Trees

```
TreeNode ::= Empty
           | Child(TreeNode,
                   TreeNode,
                   Int,
                   String)
```

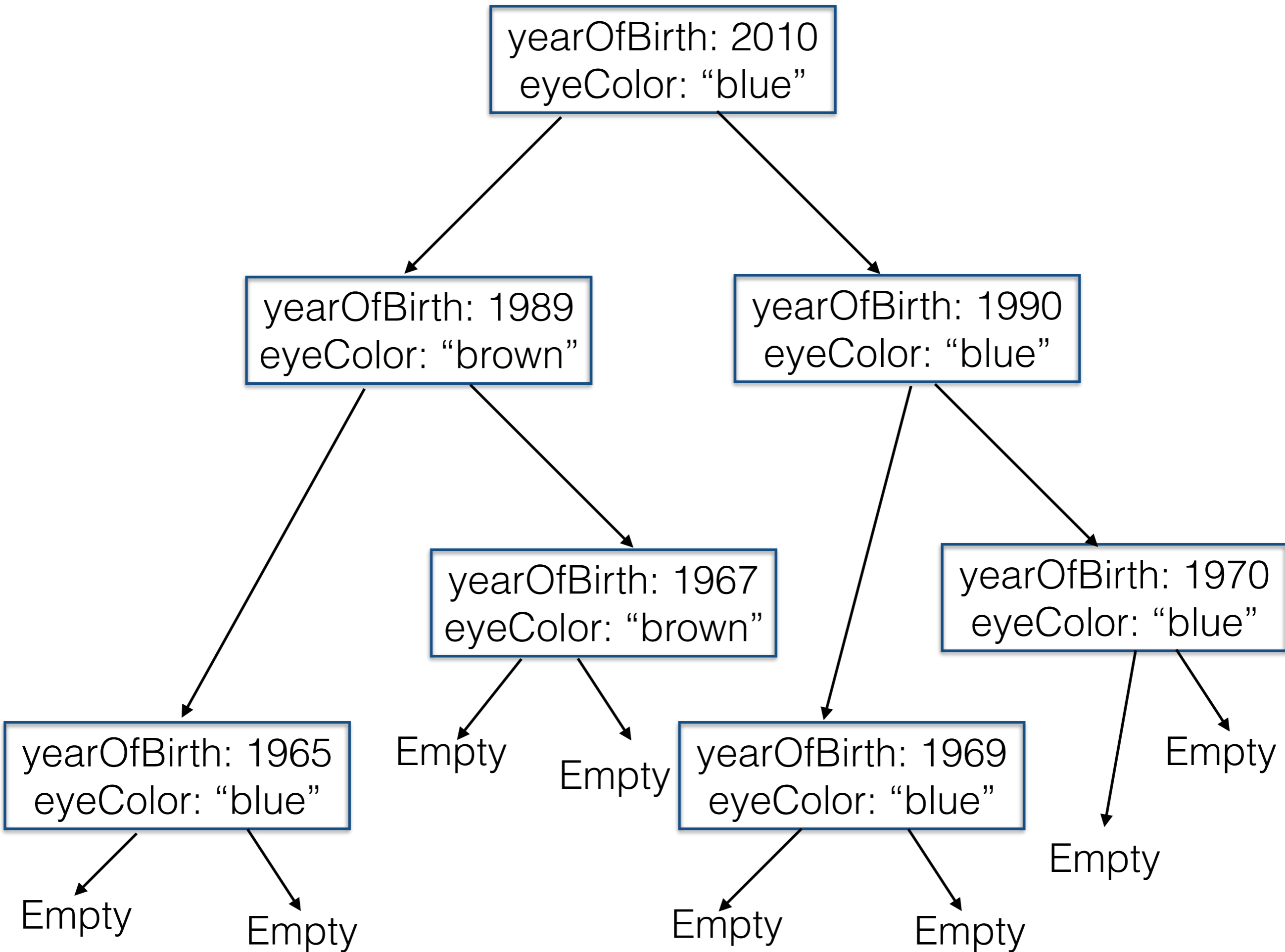
# Family Trees

```
abstract class TreeNode
```

```
case object EmptyNode extends TreeNode
```

```
case class Child(mother: TreeNode,  
                 father: TreeNode,  
                 yearOfBirth: Int,  
                 eyeColor: String)
```

```
extends TreeNode
```

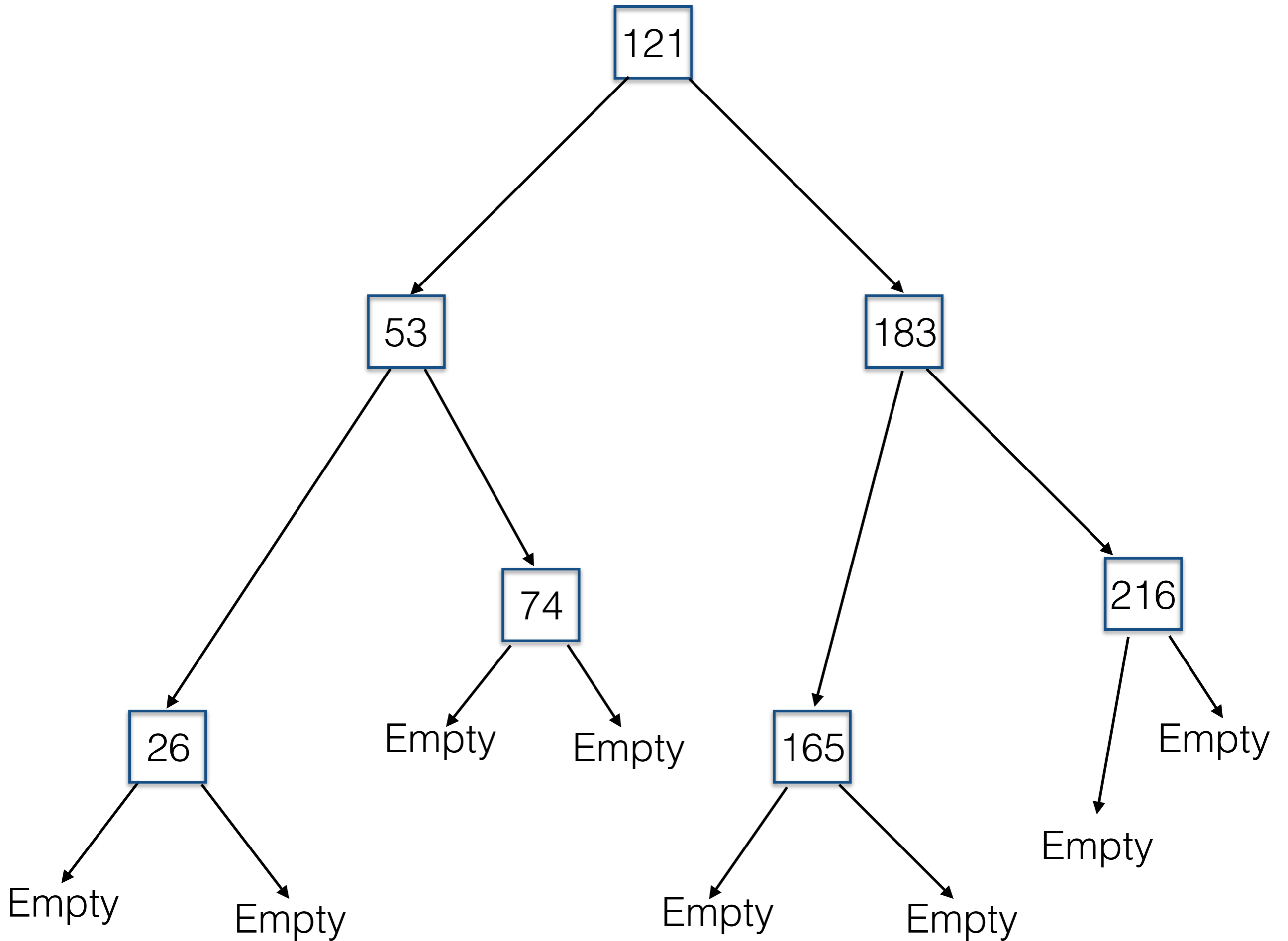




# Binary Search Trees

# Binary Search Trees

- We define trees containing only Ints
- To help us find elements quickly, we abide by the following invariant:
  - At a given node containing value  $n$ :
    - All values in the left subtree are less than  $n$
    - All values in the right subtree are greater than  $n$





# Binary Search Trees

```
abstract class BinarySearchTree {  
    def contains(n: Int): Boolean  
    def insert(n: Int): BinarySearchTree  
}
```

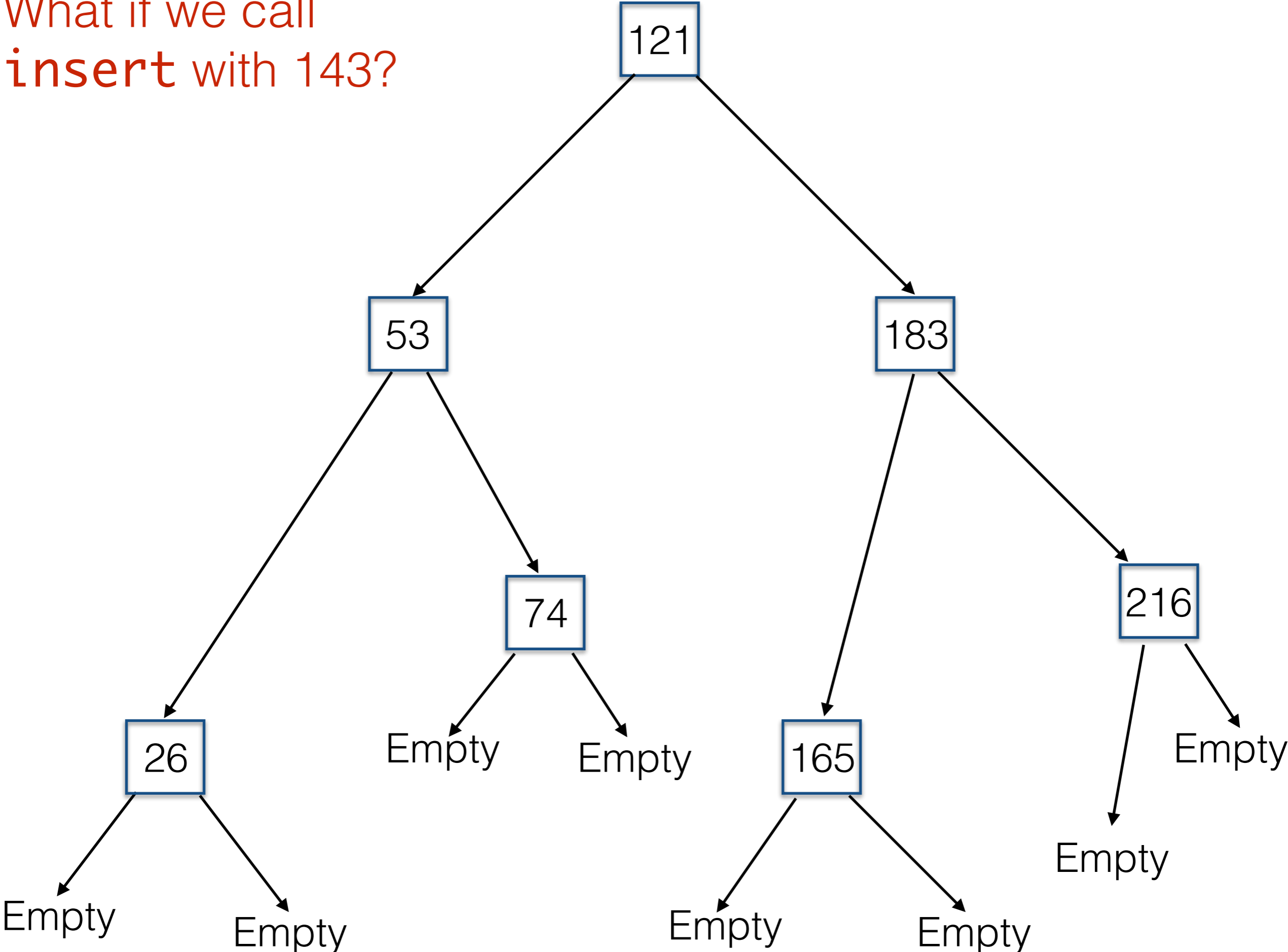
# Binary Search Trees

```
case object EmptyTree extends BinarySearchTree {  
  def contains(n: Int) = false  
  def insert(n: Int) = ConsTree(n, EmptyTree, EmptyTree)  
}
```

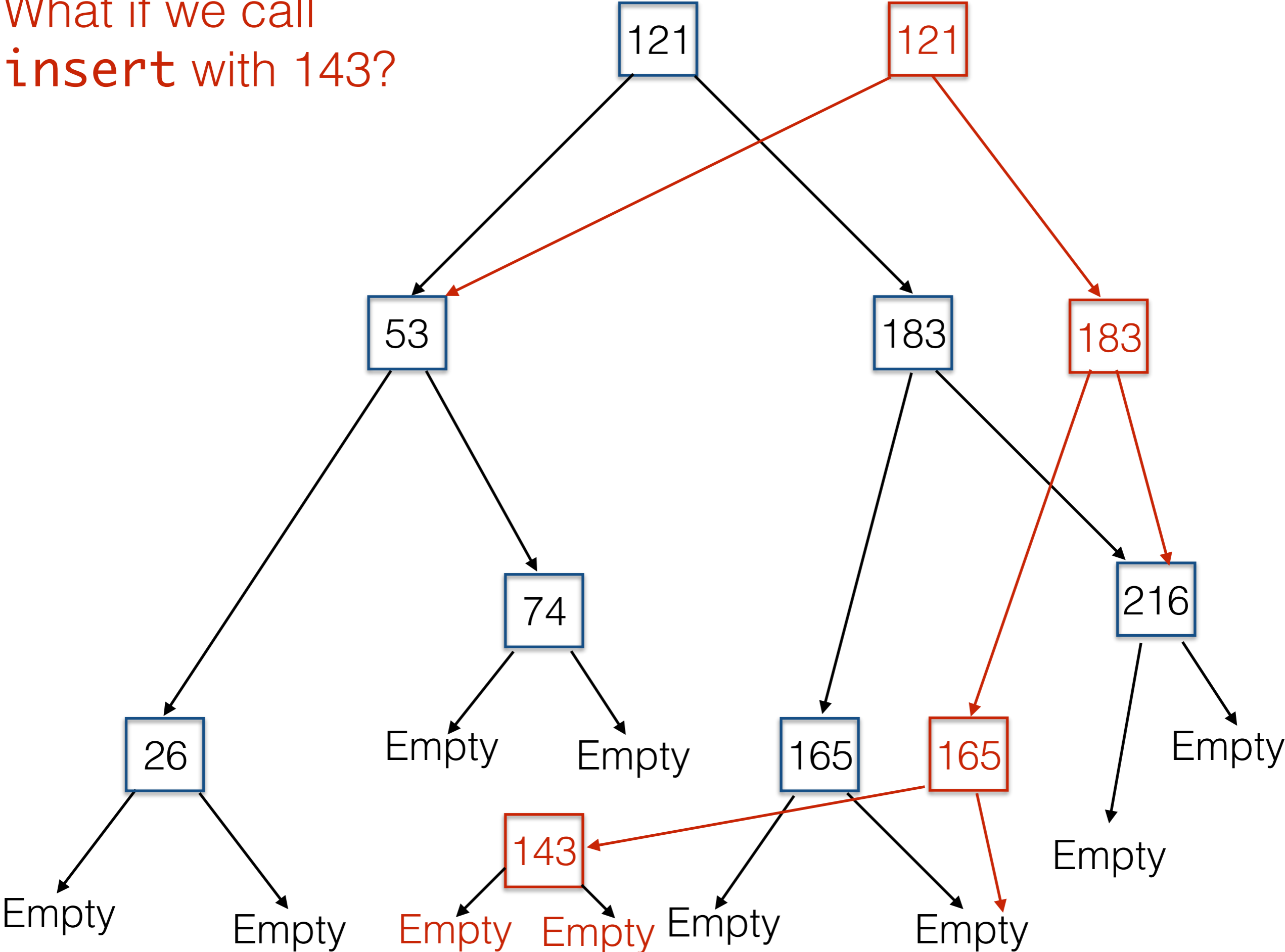
# Binary Search Trees

```
case class ConstTree(m: Int,  
                    left: BinarySearchTree,  
                    right: BinarySearchTree)  
extends BinarySearchTree {  
  
  def contains(n: Int): Boolean = {  
    if (n < m) left.contains(n)  
    else if (n > m) right.contains(n)  
    else true // n == m  
  }  
  
  def insert(n: Int) = {  
    if (n < m) ConstTree(m, left.insert(n), right)  
    else if (n > m) ConstTree(m, left, right.insert(n))  
    else this // n == m  
  }  
}
```

What if we call `insert` with 143?



What if we call `insert` with 143?



# Traversing Multiple Recursive Datatypes

# Taking the First Few Elements

```
def take(n: Nat, xs: List): List = {  
  // require n <= size(xs)  
  (n, xs) match {  
    case (Zero, xs) => Empty  
    case (Next(m), Cons(y, ys)) => Cons(y, take(m, ys))  
  }  
}
```

# Taking the First Few Elements

```
def take(n: Int, xs: List): List = {  
  require ((n >= 0) && (n <= size(xs)))  
  (n, xs) match {  
    case (0, xs) => Empty  
    case (n, Cons(y, ys)) => Cons(y, take(n-1, ys))  
  }  
}
```



# Dropping the First Few Elements

```
def drop(n: Int, xs: List): List = {  
  require ((n >= 0) && (n <= size(xs)))  
  (n, xs) match {  
    case (0, xs) => xs  
    case (n, Cons(y, ys)) => drop(n-1, ys)  
  }  
}
```

# Functional Update of a List

```
def update(xs: List, i: Nat, y: Int): List = {  
  require (xs != Empty) // && i < size(xs)  
  
  (xs, i) match {  
    case (Cons(z, zs), Zero) => Cons(y, zs)  
    case (Cons(z, zs), Next(j)) => Cons(z, update(zs, j, y))  
  }  
}
```

# Functional Update of a List

```
def update(xs: List, i: Int, y: Int): List = {  
  require ((i >= 0) && (i < size(xs)))  
  assert (xs != Empty)  
  
  (xs, i) match {  
    case (Cons(z, zs), 0) => Cons(y, zs)  
    case (Cons(z, zs), _) => Cons(z, update(zs, i-1, y))  
  }  
}
```

# Design Abstraction

# Our Function Templates

## Reveal Common Structure

```
def containsZero(xs: List): Boolean = {  
  xs match {  
    case Empty => false  
    case Cons(n, ys) => (n == 0) || containsZero(ys)  
  }  
}
```

```
def containsOne(xs: List): Boolean = {  
  xs match {  
    case Empty => false  
    case Cons(n, ys) => (n == 1) || containsOne(ys)  
  }  
}
```

# Our Function Templates Reveal Common Structure

```
def contains(m: Int, xs: List): Boolean = {  
  xs match {  
    case Empty => false  
    case Cons(n, ys) => (n == m) || contains(m, ys)  
  }  
}
```

# But Sometimes the Part We Want to Abstract Is a Function

```
def below(m: Int, xs: List): List = {  
  xs match {  
    case Empty => Empty  
    case Cons(n, ys) => {  
      if (n < m) Cons(n, below(m, ys))  
      else below(m, ys)  
    }  
  }  
}
```

# But Sometimes the Part We Want to Abstract Is a Function

```
def above(m: Int, xs: List): List = {  
  xs match {  
    case Empty => Empty  
    case Cons(n, ys) => {  
      if (n > m) Cons(n, above(m, ys))  
      else above(m, ys)  
    }  
  }  
}
```



# Taking Functions As Parameters

```
def filter(f: (Int)=>Boolean, xs: List): List = {  
  xs match {  
    case Empty => Empty  
    case Cons(n, ys) => {  
      if (f(n)) Cons(n, filter(f, ys))  
      else filter(f, ys)  
    }  
  }  
}
```

# Passing Functions as Arguments

```
val xs = Cons(1, Cons(2, Cons(3, Cons(4, Cons(5, Cons(6, Empty))))))
```

```
filter(((n: Int) => (n > 0)), xs)  $\mapsto^*$   
Cons(1, Cons(2, Cons(3, Cons(4, Cons(5, Cons(6, Empty))))))
```

```
filter(((n: Int) => (n < 0)), xs)  $\mapsto^*$   
Empty
```

```
filter(((n: Int) => (n < 3)), xs)  $\mapsto^*$   
Cons(1, Cons(2, Empty))
```

# Passing Functions as Arguments

```
val xs = Cons(1, Cons(2, Cons(3, Cons(4, Cons(5, Cons(6, Empty))))))
```

```
filter(((n: Int) => (n > 0)), xs)  $\mapsto^*$   
Cons(1, Cons(2, Cons(3, Cons(4, Cons(5, Cons(6, Empty))))))
```

```
filter(((n: Int) => (n < 0)), xs)  $\mapsto^*$   
Empty
```

```
filter(((n: Int) => (n < 3)), xs)  $\mapsto^*$   
Cons(1, Cons(2, Empty))
```

These are  
*function literals*



# First-Class Functions

- Function literals are expressions with static arrow types that reduce to *function values*
- The value type of a function value is also an arrow type
- Function values are first-class values:
  - They are allowed to be passed as arguments
  - They are allowed to be returned as results

# Simplifying Function Literals

- Parameter types on function literals are allowed to be elided whenever the types are clear from context

```
filter((n: Int) => (n > 0)), xs)
```

can be written as

```
filter((n) => (n > 0)), xs)
```

# Simplifying Function Literals

- Parentheses around a single parameter is allowed to be omitted

```
filter((n) => (n > 0)), xs)
```

can be written as

```
filter(n => (n > 0), xs)
```

# Simplifying Function Literals

- When a single parameter is used only once in the body of a function literal:
  - We can drop the parameter list
  - We simply write the body with an `_` at the place where the parameter is used

For example,

```
((x: Int) => (x < 0))
```

becomes

```
_ < 0
```

# Passing Function Literals As Arguments

```
val xs = Cons(1, Cons(2, Cons(3, Cons(4, Cons(5, Cons(6, Empty)))))  
    filter(_ < 3, xs) ↪* Cons(1, Cons(2, Empty))
```



# Guidelines On Using Function Literals

- Function literals are well-suited to situations in which:
  - The function is only used once
  - The function is not recursive
  - The function does not constitute a key concept in the problem domain

# Comprehensions

$$\{2x \mid x \in xs\}$$

# Mapping a Computation Over a List

```
def double(xs: List) = {  
  xs match {  
    case Empty => Empty  
    case Cons(y,ys) => Cons(2 * y, double(ys))  
  }  
}
```

# Mapping a Computation Over a List

```
def negate(xs: List) = {  
  xs match {  
    case Empty => Empty  
    case Cons(y,ys) => (-y, negate(ys))  
  }  
}
```

# Negation as a Comprehension

$$\{-x \mid x \in xs\}$$

# Generalizing a Mapping Computation

```
def map(f: Int => Int, xs: List) = {  
  xs match {  
    case Empty => Empty  
    case Cons(y,ys) => Cons(f(y), map(f,ys))  
  }  
}
```

# Mapping a Computation Over a List

```
val xs = Cons(1, Cons(2, Cons(3, Cons(4, Cons(5, Cons(6, Empty)))))
```

```
negate(xs) ↦*
```

```
Cons(-1, Cons(-2, Cons(-3, Cons(-4, Cons(-5, Cons(-6, Empty)))))
```

```
double(xs) ↦*
```

```
Cons(1, Cons(4, Cons(9, Cons(16, Cons(25, Cons(36, Empty)))))
```

# Mapping a Computation Over a List

```
val xs = Cons(1, Cons(2, Cons(3, Cons(4, Cons(5, Cons(6, Empty)))))
```

```
map(-_, xs) ↦*
```

```
Cons(-1, Cons(-2, Cons(-3, Cons(-4, Cons(-5, Cons(-6, Empty)))))
```

```
map(x => 2 * x, xs) ↦*
```

```
Cons(2, Cons(4, Cons(6, Cons(8, Cons(10, Cons(12, Empty)))))
```



# Recall Our Sum Function Over Lists

```
def sum(xs: List): Int = {  
  xs match {  
    case Empty => 0  
    case Cons(y, ys) => y + sum(ys)  
  }  
}
```

In Mathematics, We Might  
Write this as a Summation

$$\sum_{x \in X} x$$

# And Our Product Function Over Lists

```
def product(xs: List): Int = {  
  xs match {  
    case Empty => 1  
    case Cons(y, ys) => y * product(ys)  
  }  
}
```

In Mathematics, We Might  
Write this as a Product

$$\prod_{x \in X} x$$

# We Abstract to a Reduction Function Over Lists

```
def reduce(base: Int, f: (Int, Int) => Int, xs: List): Int = {  
  xs match {  
    case Empty => base  
    case Cons(y,ys) => f(y, reduce(base, f, ys))  
  }  
}
```

# Example Reductions

```
val xs = Cons(1, Cons(2, Cons(3, Cons(4, Cons(5, Cons(6, Empty)))))
```

```
reduce(0, (x,y) => x + y, xs) ↦* 21
```

```
reduce(1, (x,y) => x * y, xs) ↦* 720
```

# Min and Max

```
def max(xs: List) = {  
  reduce(Int.MinValue, (x,y) => if (x > y) x else y, xs)  
}
```

```
def min(xs: List) = {  
  reduce(Int.MaxValue, (x,y) => if (x < y) x else y, xs)  
}
```

# Simplifying Function Literals

- When *each* parameter is used only once in the body of a function literal, and in the order in which they are passed:
  - We can drop the parameter list
  - We simply write the body with an `_` at the place where each parameter is used

For example,

`((x: Int, y: Int) => (x + y))`

becomes

`_ + _`



# Example Reductions

```
val xs = Cons(1, Cons(2, Cons(3, Cons(4, Cons(5, Cons(6, Empty)))))
```

```
reduce(0, _+_, xs) ↦* 21
```

```
reduce(1, _*__, xs) ↦* 720
```

Note the multiple parameters



# Combinations of Maps and Reductions

$$\sum_{x \in xs} x^2 + 1$$

# Combinations of Maps and Reductions

```
reduce(0, _+_, map(x => x*x + 1, xs))
```

# Summation

```
def summation(xs: List, f: Int => Int) =  
  reduce(0, _+_, map(f, xs))
```

# Summation

```
def square(x:Int) = x * x  
summation(xs, square(_)+1)
```

# More Syntactic Sugar

- Functions defined with **def** can be passed as arguments whenever an expression of a compatible function type is expected
- What constitutes a compatible function type?

# Partially Applied Functions

- If we want to pass a function as an argument, but supply some of the arguments to the function ourselves, we can wrap an application to the function in a function literal:

```
map(x => x + 1, xs)
```

# Partially Applied Functions

- If we want to pass a function as an argument, but supply some of the arguments to the function ourselves, we can wrap an application to the function in a function literal:

```
map(x => x + 1, xs)
```

which is equivalent to

```
map(_ + 1, xs)
```



# Partially Applied Functions

- **Eta Expansion:** Wrapping a function in function literal that takes all of the arguments of `f` and immediately calls `f` with those arguments

`(x:Int) => square(x)`

is equivalent to

`square`

# Mapping a Computation Over a List

We can use eta expansion to pass operators  
as arguments:

```
map(x => -x, xs)
```

# Mapping a Computation Over a List

We can use eta expansion to pass operators  
as arguments:

```
map(-_, xs)
```

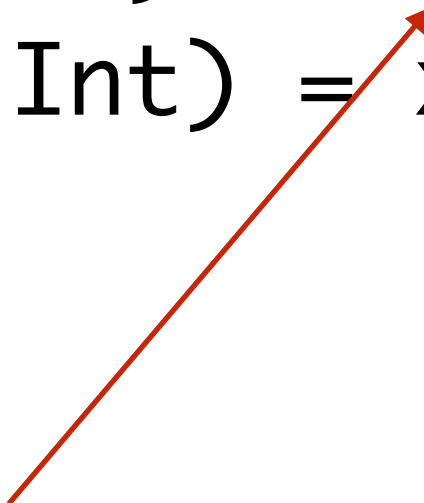
# Returning Functions as Values

# We Can Define Functions That Return Other Functions as Values

```
def adder(x: Int): Int => Int = {  
  def addX(y: Int) = x + y  
  addX  
}
```

# We Can Define Functions That Return Other Functions as Values

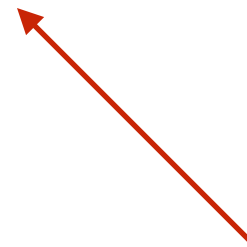
```
def adder(x: Int): Int => Int = {  
  def addX(y: Int) = x + y  
  addX  
}
```



The explicit return type is needed because Scala type inference assumes an unapplied function is an error

# We Can Define Functions That Return Other Functions as Values

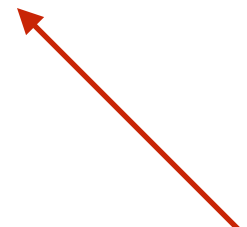
```
def adder(x: Int) = {  
  def addX(y: Int) = x + y  
  addX _  
}
```



Alternatively, we can eta-expand addX to assure the type checker that we really do intend to return a function

# We Can Define Functions That Return Other Functions as Values

```
def adder(x: Int) = {  
  def addX(y: Int) = x + y  
  addX _  
}
```



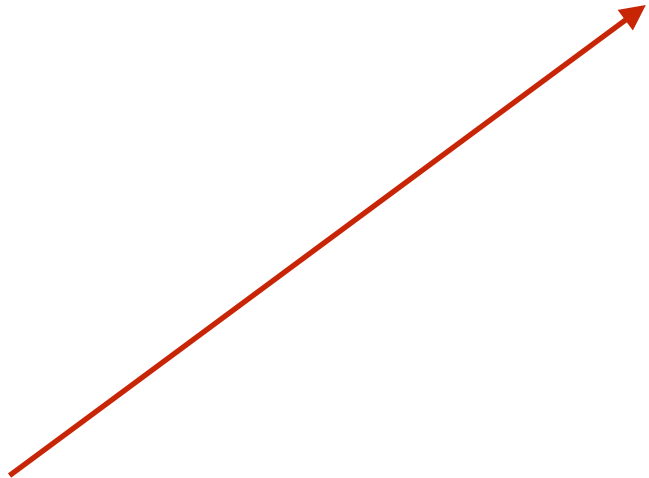
An underscore outside of parentheses in a function application denotes the entire tuple of arguments passed to the function



# We Can Define Functions That Return Other Functions as Values

```
def adder(x: Int) = x + (_: Int)
```

We can instead define `add` by *partially* eta-expanding the `+` operator. But then we need to annotate the second operand with a type.



Imports

# Importing a Member of a Package

```
import scala.collection.immutable.List
```

# Importing Multiple Members of a Package

```
import scala.collection.immutable.{List, Vector}
```

# Importing and Renaming Members of a Package

```
import scala.collection.immutable.{List=>SList, Vector}
```

# Importing All Members of a Package

```
import scala.collection.immutable._
```

Note that `*` is a valid identifier in Scala!

# Combining Notations

```
import scala.collection.immutable._
```

same meaning as:

```
import scala.collection.immutable._
```

# Combining Notations

```
import scala.collection.immutable.{List=>SList, _}
```

Imports all members of the package but renames  
**List** to **SList**



# Combining Notations

```
import scala.collection.immutable.{List=>_,_}
```

Imports all members of the package except for  
**List**

# Importing a Package

```
import scala.collection.immutable
```

Now sub-packages can be denoted by shorter names:

```
immutable.List
```

# Importing and Renaming Packages

```
import scala.collection.{immutable => I}
```

Allows members to be written like this:

```
I.List
```

# Importing Members of An Object

```
import Arithmetic._
```

Allows members such as `Arithmetic.gcd` to be  
write like this:

```
gcd
```

# Implicit Imports

The following imports are implicitly included in your program:

```
import java.lang._  
import scala._  
import Predef._
```

# Package java.lang

- Contains all the standard Java classes
- This import allows you to write things like:

`Thread`

instead of:

`java.lang.Thread`

# Package scala

- Provides access to the standard Scala classes:  
`BigInt`, `BigDecimal`, `List`, etc.

# Object Predef

- Definitions of many commonly used types and methods, such as:

`require`, `ensuring`, `assert`



# Visibility Modifier Private

For a method `Arithmetic.reduce` in package `Rationals`

Modifier	Explanation
----------	-------------

no modifier

public access

private

private to class `Arithmetic`

# Local Definitions

- As with constant definitions, we can make function definitions local to the body of a function
- The functions can be referred to only in the body of the enclosing function

# Local Definitions

```
def reduce() = {
  val isPositive =
    ((numerator < 0) & (denominator < 0)) |
    ((numerator > 0) & (denominator > 0))

  def reduceFromInts(num: Int, denom: Int) = {
    require ((num >= 0) & (denom > 0))
    val gcd = Arithmetic.gcd(num, denom)
    val newNum = num/gcd
    val newDenom = denom/gcd

    if (isPositive) Rational(newNum, newDenom)
    else Rational(-newNum, newDenom)
  }
  reduceFromInts(Arithmetic.abs(numerator), Arithmetic.abs(denominator))
} ensuring (_ match {
  case Rational(n,d) => Arithmetic.gcd(n,d) == 1 & (d > 0)
})
```

# Announcements

- Homework 2 Available from Piazza (Due October 1)
- Two Sigma Info Session at Huff House, 4pm Today