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# COMP 322: Fundamentals of Parallel Programming

## Lecture 21: Linearizability of Concurrent Objects

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# Announcements

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- Graded midterm exams can be picked up from Amanda Nokleby in Duncan Hall room 3137
- Homework 5 (written assignment) has been posted
  - Deadline: 5pm on Friday, March 18th
- Homework 6 (HJ programming assignment) will be given on March 18<sup>th</sup>
- Homework 7 (Concurrent Java programming assignment) will be given on April 1<sup>st</sup> (really!)



# Acknowledgments for Today's Lecture

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- Lecture 21 handout
- Maurice Herlihy and Nir Shavit. The art of multiprocessor programming. Morgan Kaufmann, 2008.
  - Optional text for COMP 322
  - Slides and code examples extracted from <http://www.elsevierdirect.com/companion.jsp?ISBN=9780123705914>



# Concurrent Objects

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- A concurrent object is an object that can correctly handle methods invoked in parallel by different tasks or threads
  - Originally referred to as monitors
  - Also informally referred to as “thread-safe objects”
- For simplicity, it is usually assumed that the body of each method in a concurrent object is itself sequential
  - Assume that method does not create child async tasks
- Implementations of methods can be *serial* (e.g., enclose each method in an isolated statement like a critical section) or *concurrent* (e.g., `ConcurrentHashMap`, `ConcurrentLinkedQueue` and `CopyOnWriteArraySet`)
- A desirable goal is to develop method implementations that are concurrent while being as close to the semantics of the serial version as possible



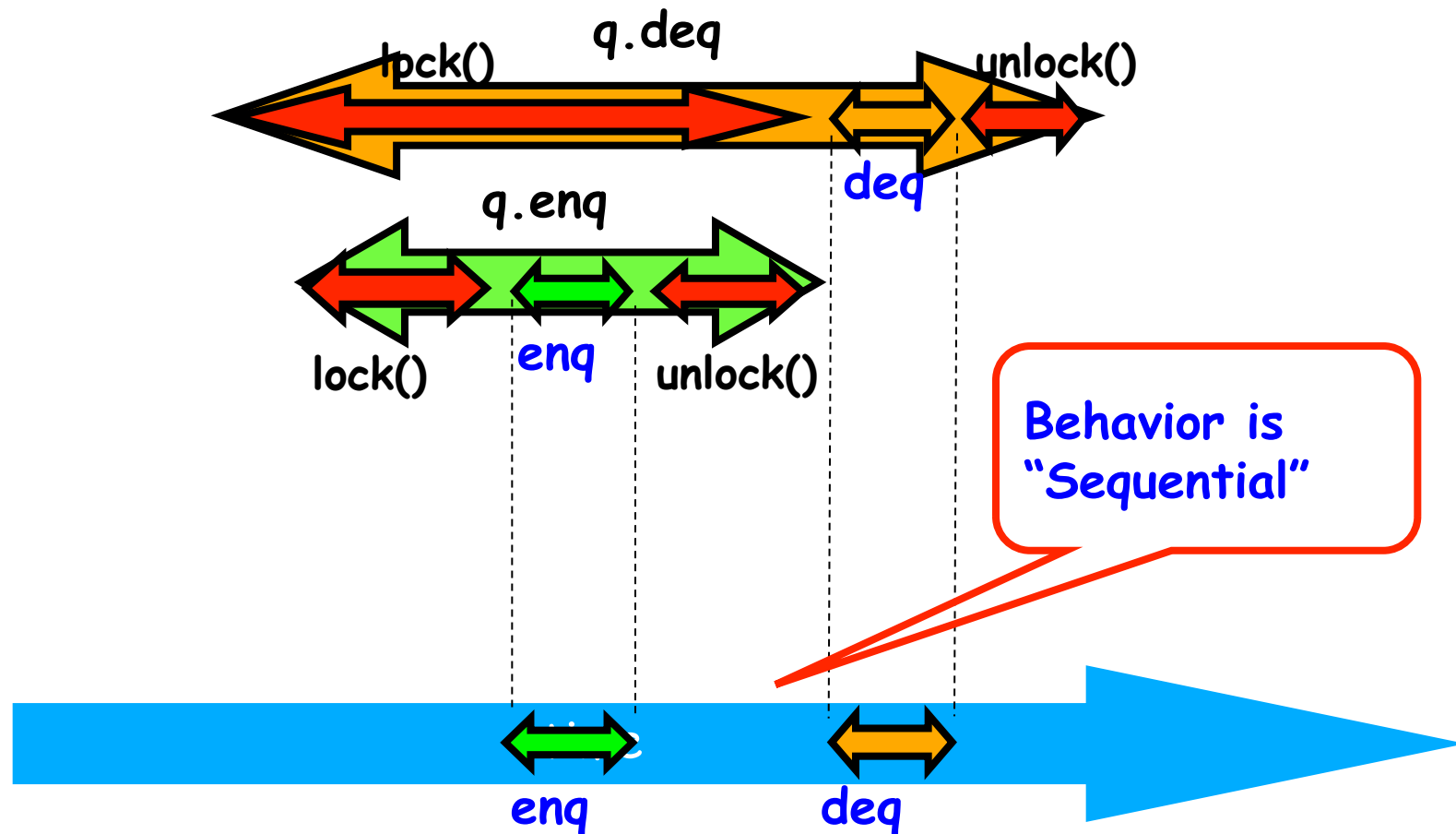
# The Big Question!

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- Consider a simple FIFO (First In, First Out) queue as a canonical example of a concurrent object
  - Method `q.enq(o)` inserts object `o` at the tail of the queue
    - Assume that there is unbounded space available for all `enq()` operations to succeed
  - Method `q.deq()` removes and returns the item at the head of the queue.
    - Throws `EmptyException` if the queue is empty.
- What does it **mean** for a *concurrent* object like a FIFO queue to be correct?
  - What *is* a concurrent FIFO queue?
  - FIFO means strict temporal order
  - Concurrent means ambiguous temporal order



# Describing the concurrent via the sequential



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# Informal definition of Linearizability

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1. *A linearizable execution* is one in which the semantics of a set of method calls performed in parallel on a concurrent object is equivalent to that of some legal linear sequence of those method calls.
2. *A linearizable concurrent object* is one for which all possible executions are linearizable.



# Table 1: Example execution of a monitor-based implementation of FIFO queue $q$

Is this a linearizable execution?

Time	Task $A$	Task $B$
0	Invoke $q.enq(x)$	
1	Work on $q.enq(x)$	
2	Work on $q.enq(x)$	
3	Return from $q.enq(x)$	
4		Invoke $q.enq(y)$
5		Work on $q.enq(y)$
6		Work on $q.enq(y)$
7		Return from $q.enq(y)$
8		Invoke $q.deq()$
9		Return $x$ from $q.deq()$

Yes! Equivalent to " $q.enq(x) ; q.enq(y) ; q.deq():x$ "





## Table 2: Example execution of method calls on a concurrent FIFO queue $q$

Is this a linearizable execution?

Time	Task $A$	Task $B$
0	Invoke $q.enq(x)$	
1	Work on $q.enq(x)$	Invoke $q.enq(y)$
2	Work on $q.enq(x)$	Return from $q.enq(y)$
3	Return from $q.enq(x)$	
4		Invoke $q.deq()$
5		Return $x$ from $q.deq()$

Yes! Equivalent to " $q.enq(x) ; q.enq(y) ; q.deq():x$ "

- Would the execution be linearizable if  $q.deq()$  returned  $y$  instead of  $x$ ?



## Table 3: Example of a non-linearizable execution on a concurrent FIFO queue $q$

Is this a linearizable execution?

Time	Task $A$	Task $B$
0	Invoke $q.enq(x)$	
1	Return from $q.enq(x)$	
2		Invoke $q.enq(y)$
3	Invoke $q.deq()$	Work on $q.enq(y)$
4	Work on $q.deq()$	Return from $q.enq(y)$
5	Return $y$ from $q.deq()$	

- No!  $q.enq(x)$  must precede  $q.enq(y)$  in all linear sequences of method calls invoked on  $q$ . It is illegal for the  $q.deq()$  operation to return  $y$ .



# Alternate definition of Linearizability

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- Assume that each method call takes effect “instantaneously” at some distinct point in time between its invocation and return.
- Execution is linearizable if we can choose instantaneous points that are consistent with a sequential execution in which methods are executed at those points



## Table 2: Example execution of method calls on a concurrent FIFO queue $q$

Is this a linearizable execution?

Time	Task A	Task B
0	Invoke $q.enq(x)$	
1	Work on $q.enq(x)$	Invoke $q.enq(y)$
2	Work on $q.enq(x)$	Return from $q.enq(y)$
3	Return from $q.enq(x)$	
4		Invoke $q.deq()$
5		Return $x$ from $q.deq()$

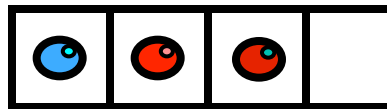
Yes! Equivalent to " $q.enq(x) ; q.enq(y) ; q.deq():x$ "

- Would the execution be linearizable if  $q.deq()$  returned  $y$  instead of  $x$ ?



# An Example

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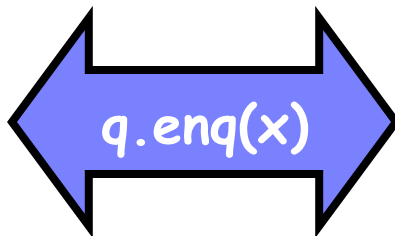
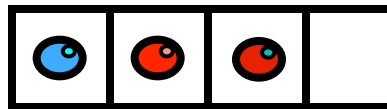


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# Example

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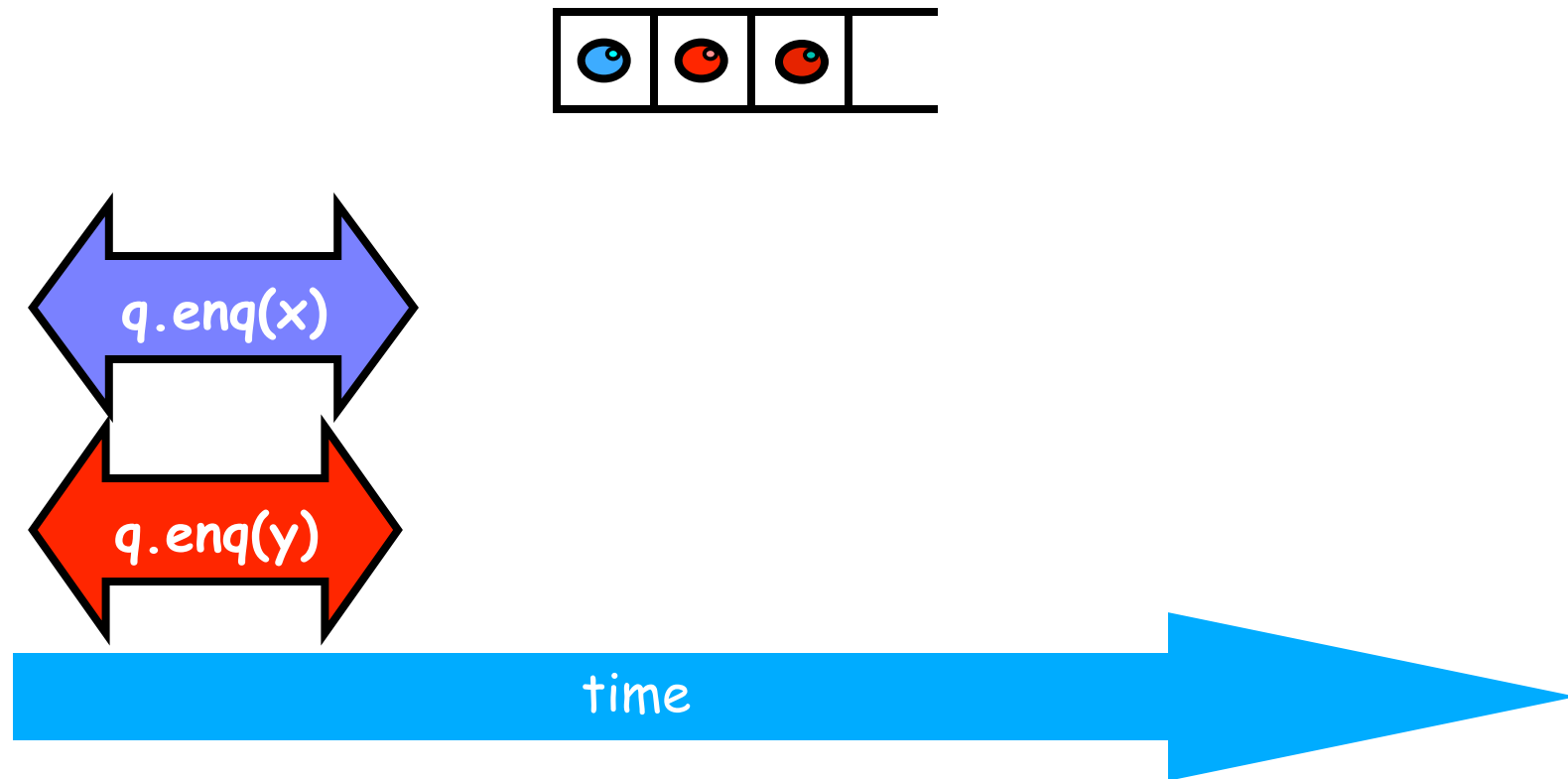


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# Example

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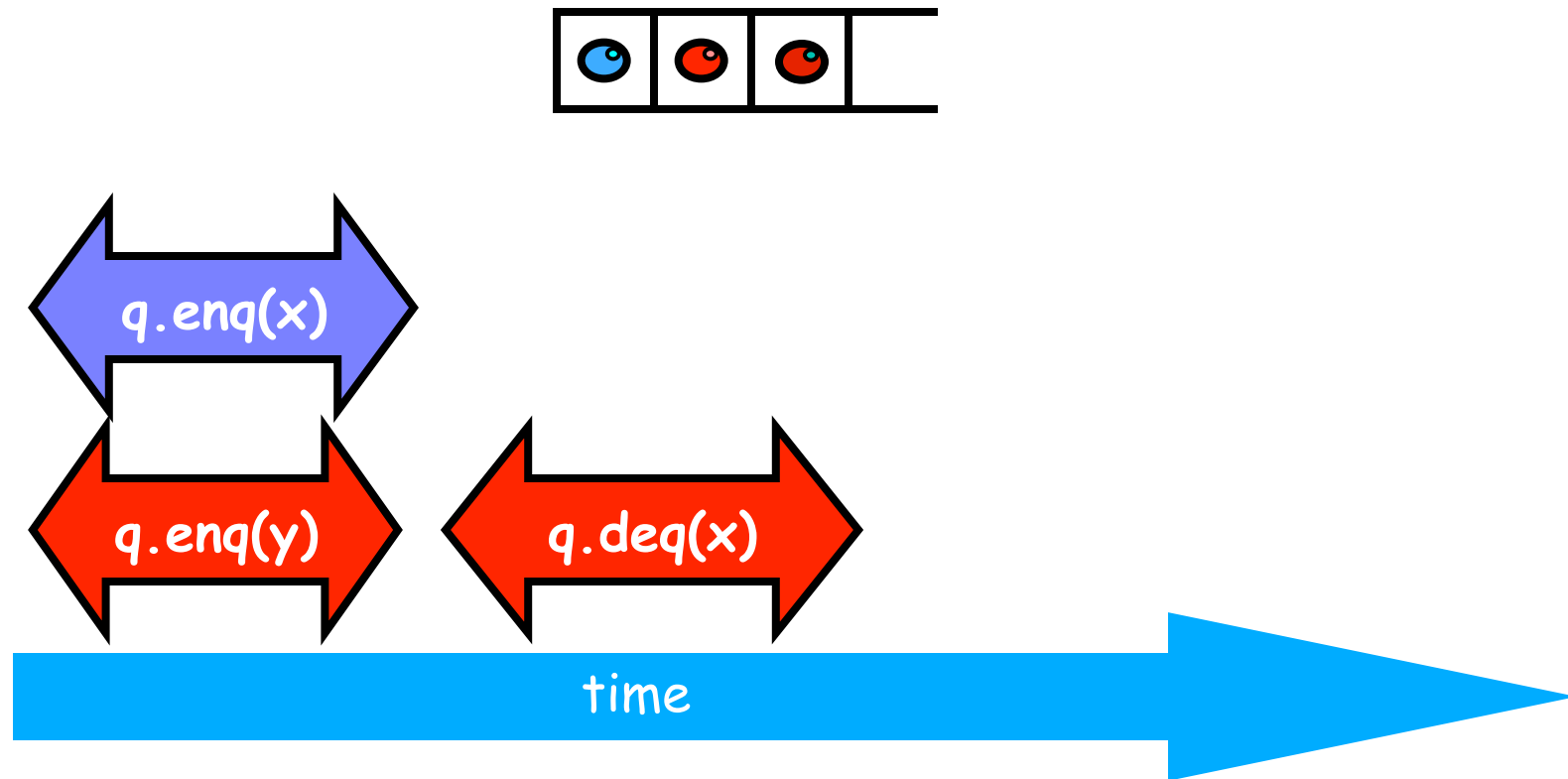


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# Example

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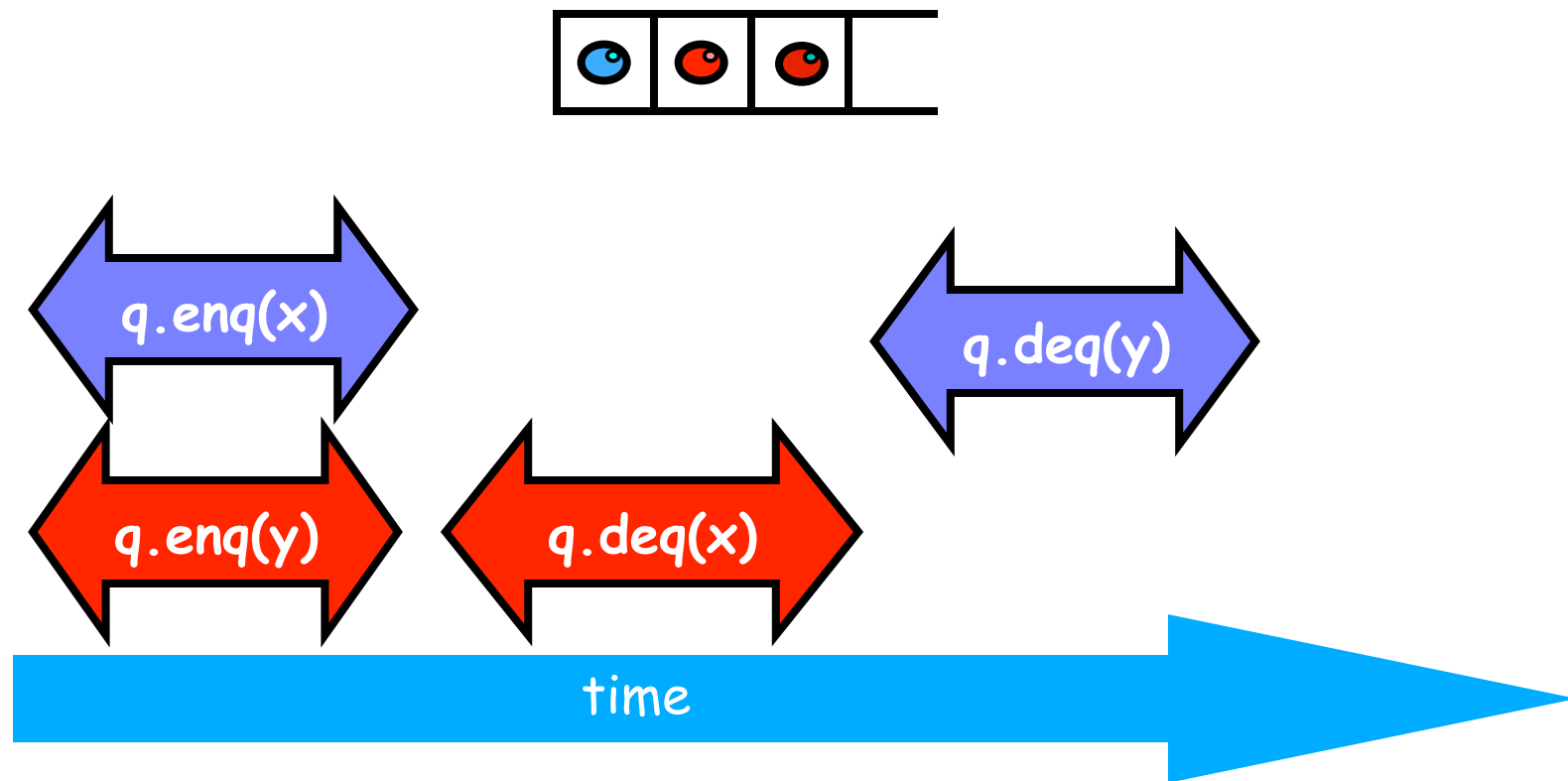
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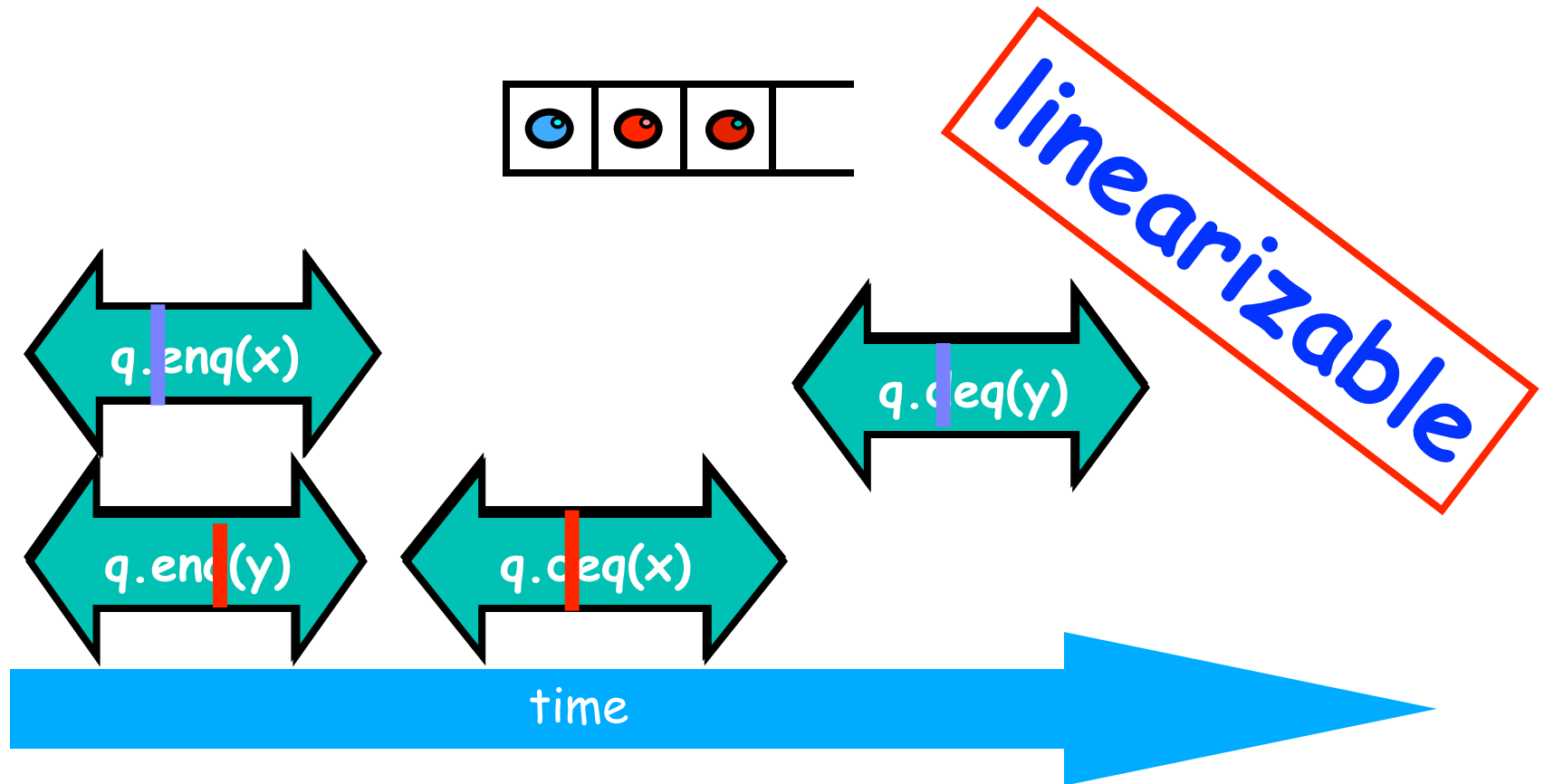
# Example



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# Example

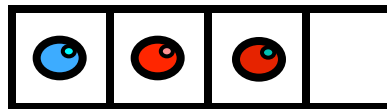


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# Another Example (like Table 3)

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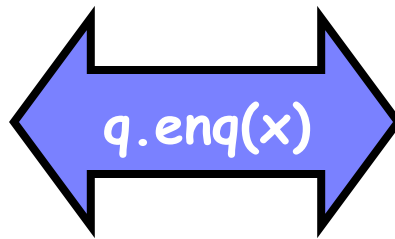
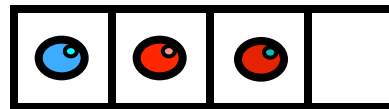


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# Another Example

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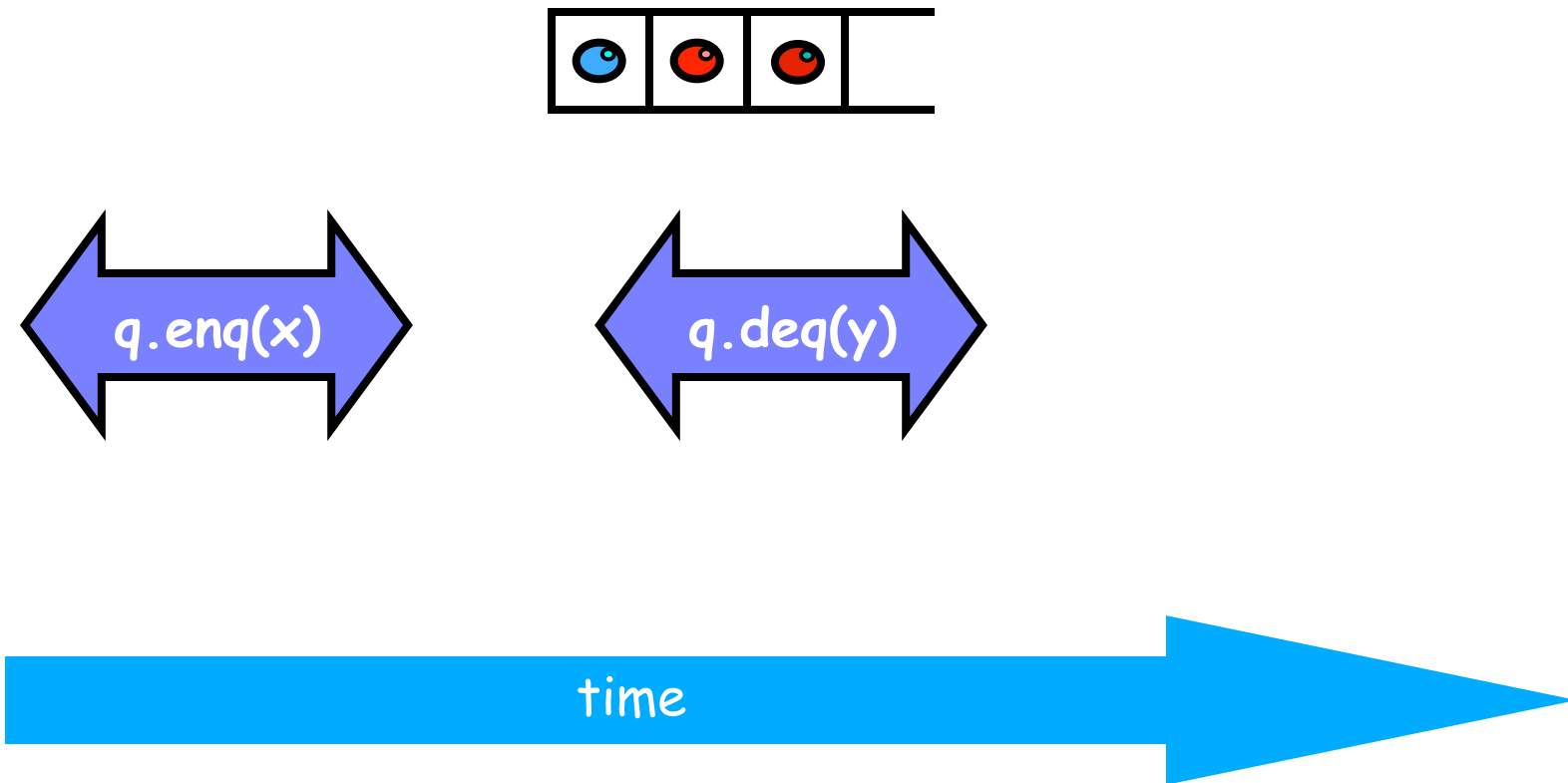


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# Another Example

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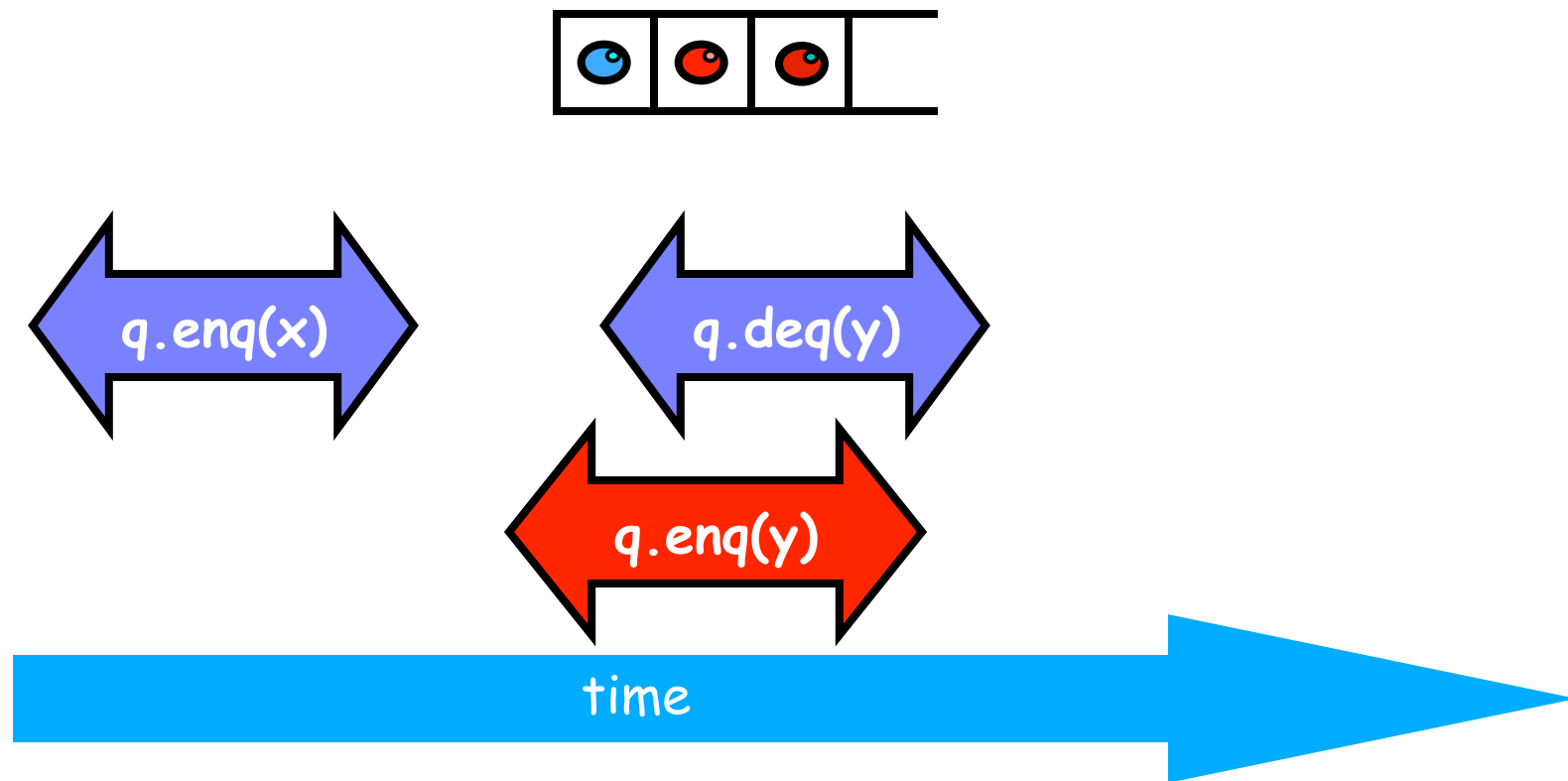


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# Another Example

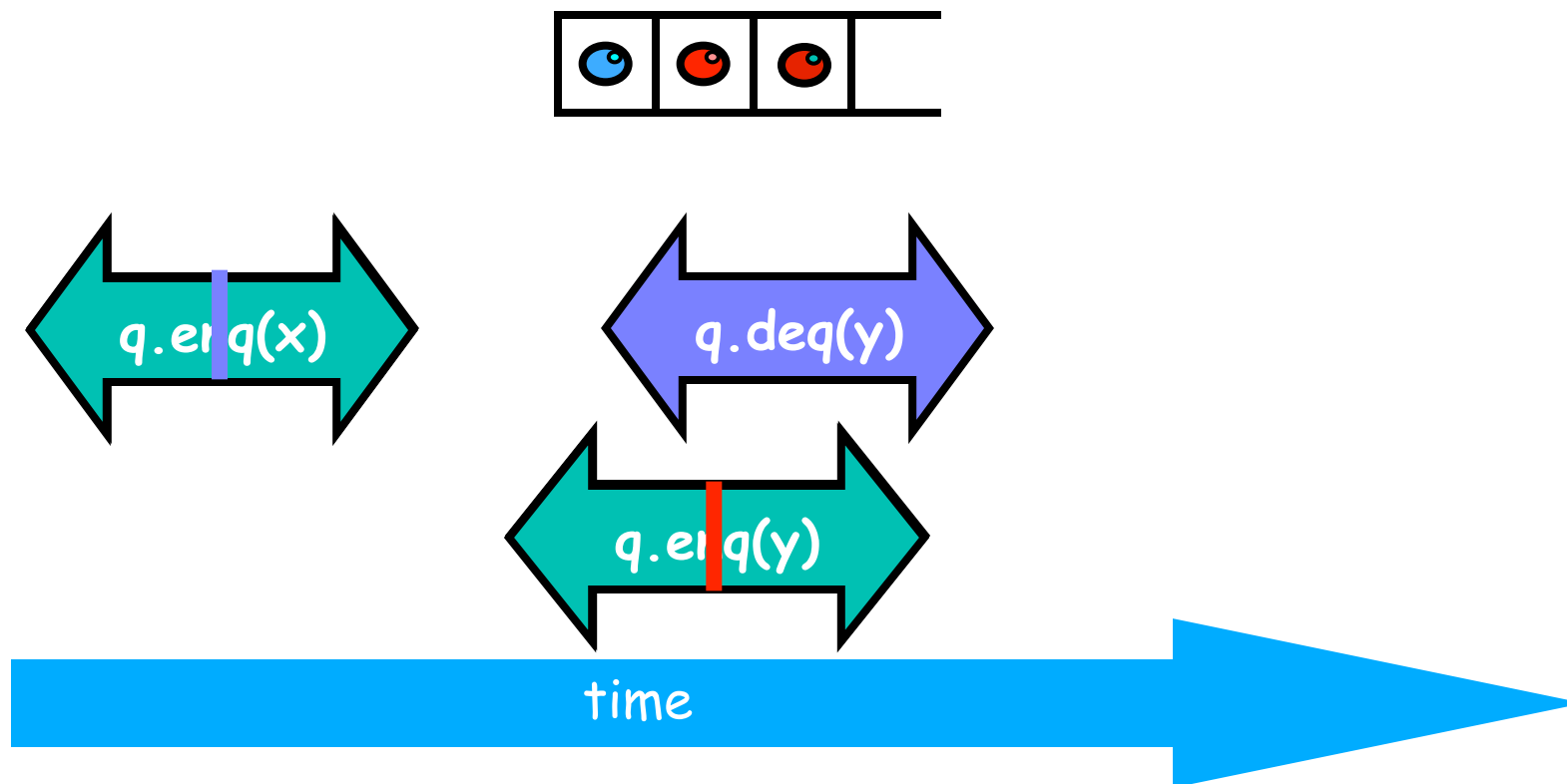


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# Another Example

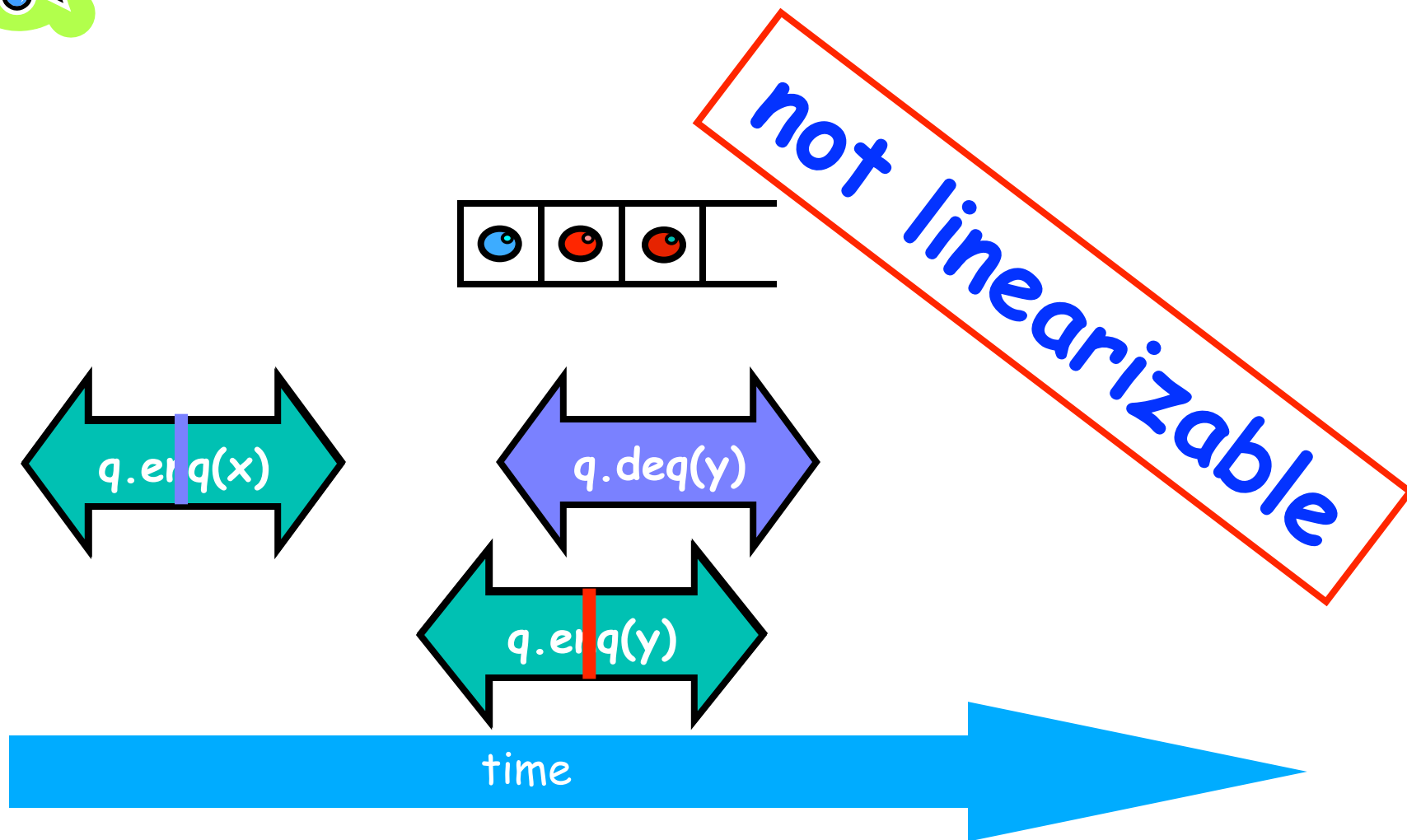


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# Another Example

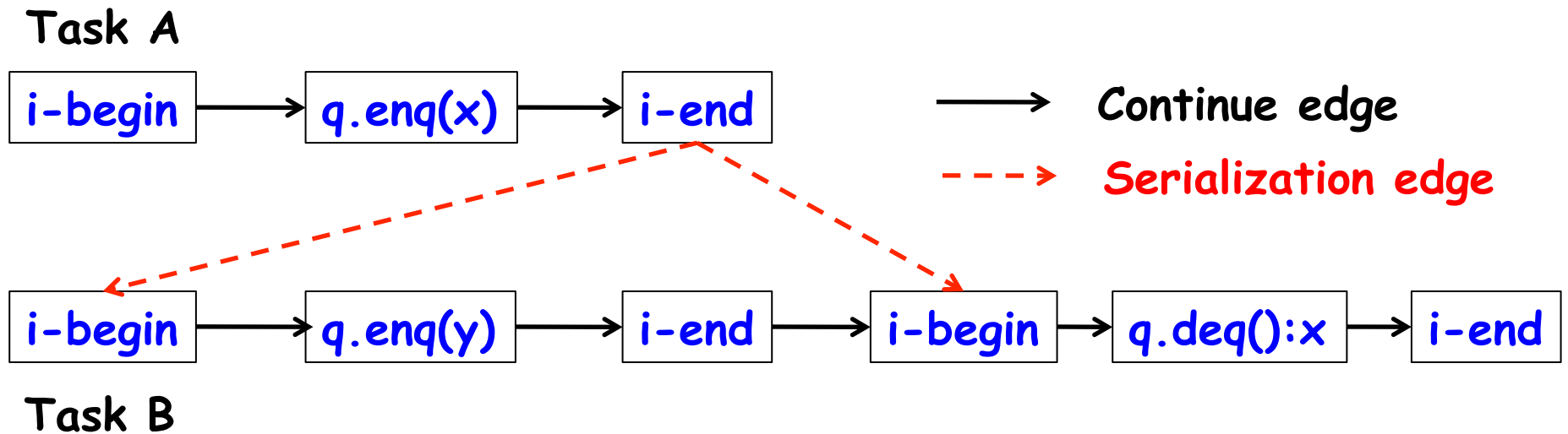


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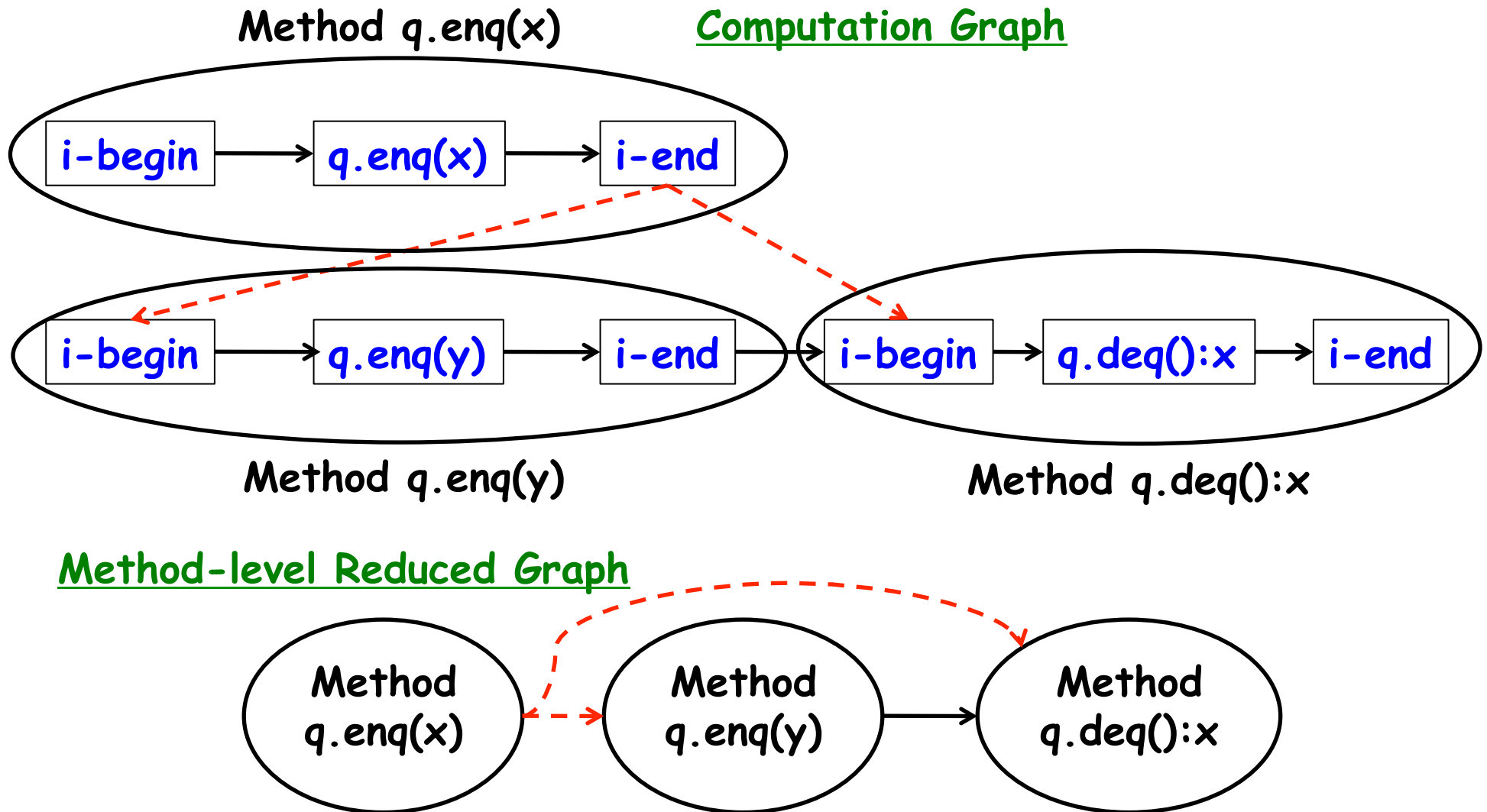




# Figure 1: Computation Graph for monitor-based implementation of FIFO queue (Table 1)



# Figure 2: Creating a Reduced Graph to model Instantaneous Execution of Methods



# Relating Linearizability to the Computation Graph model

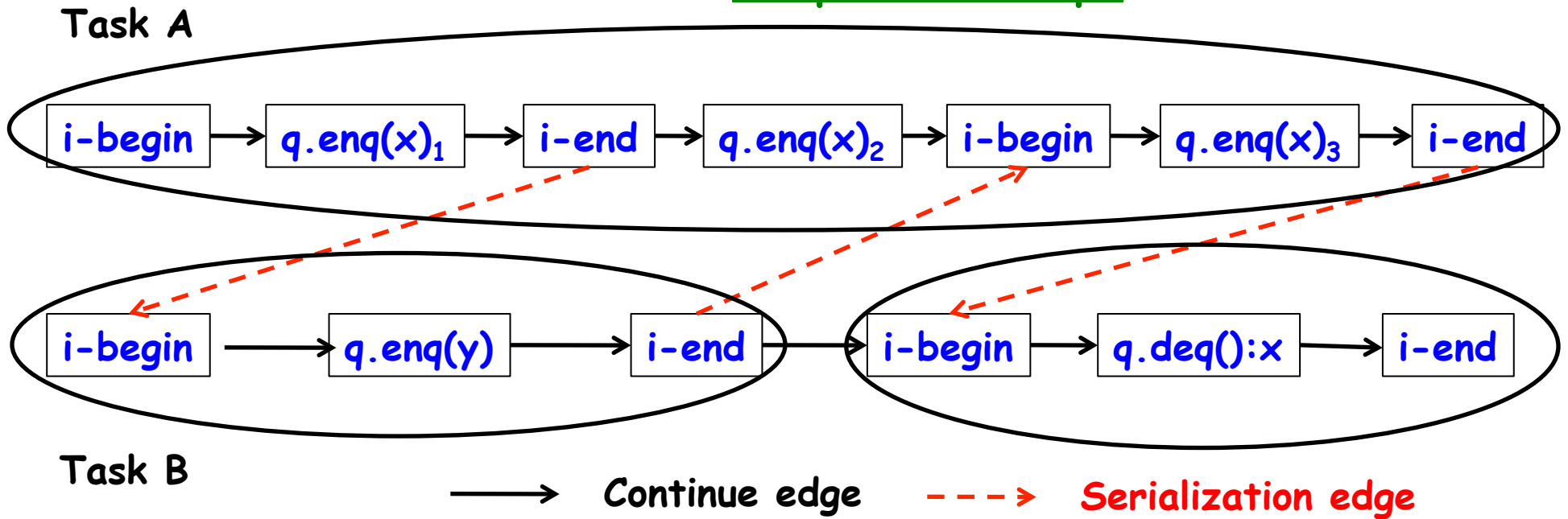
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- Given a reduced *CG*, a sufficient condition for linearizability is that the reduced *CG* is acyclic as in Figure 2.
- This means that if the reduced *CG* is acyclic, then the underlying execution must be linearizable.
- However, the converse is not necessarily true, as we will see.



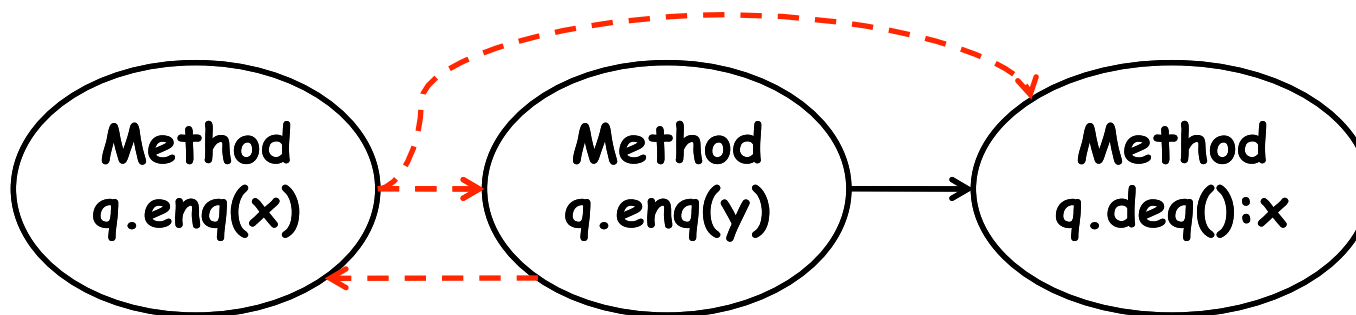
# Figure 3: example Computation Graph for concurrent implementation of FIFO queue (Table 2)

## Computation Graph



# Figure 4: Reduced method-level graph for Computation Graph in Figure 3

- Example of linearizable execution graph for which reduced method-level graph is cyclic



- Approach to make cycle test more precise for linearizability
  - Decompose concurrent object method into a sequence of “try” steps followed by a sequence of “commit” steps
  - Assume that each “commit” step’s execution does not use any input from any prior “try” step
- ➔ Reduced graph can just reduce each “commit” step to a single node instead of reducing entire method into a single node

