
COMP 322: Fundamentals of Parallel Programming

Lecture 24: Java Threads, Java synchronized statement

Zoran Budimlić and Mack Joyner
{zoran, mjoyner}@rice.edu

<http://comp322.rice.edu>

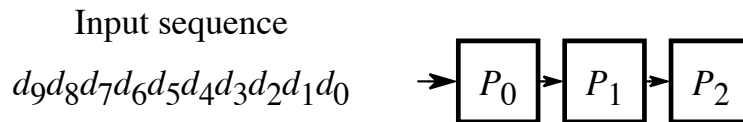


Worksheet #23: Analyzing Parallelism in an Actor Pipeline

Consider a three-stage pipeline of actors (as in slide 5), set up so that $P0.nextStage = P1$, $P1.nextStage = P2$, and $P2.nextStage = null$. The process() method for each actor is shown below. Assume that 100 non-null messages are sent to actor P0 after all three actors are started, followed by a null message. What will the total WORK and CPL be for this execution? Recall that each actor has a sequential thread.

Solution: WORK = 300, CPL = 102

...



```
1.  protected void process(final Object msg) {
2.      if (msg == null) {
3.          exit(); //actor will exit after returning from process()
4.      } else {
5.          doWork(1); // unit work
6.      }
7.      if (nextStage != null) {
8.          nextStage.send(msg);
9.      }
10. } // process()
```



Introduction to Java Threads and the java.lang.Thread class

- Execution of a Java program begins with an instance of Thread created by the Java Virtual Machine (JVM) that executes the program's main() method.
- Parallelism can be introduced by creating additional instances of class Thread that execute as parallel threads.

```
1 public class Thread extends Object implements Runnable {  
2     Thread() { ... } // Creates a new Thread  
3     Thread(Runnable r) { ... } // Creates a new Thread with Runnable object r  
4     void run() { ... } // Code to be executed by thread  
5         // Case 1: If this thread was created with a Runnable object  
6         //         then that object's run method is called  
7         // Case 2: If this class is subclassed, the run method  
8         //         in the subclass is called  
9     void start() { ... } // Causes this thread to start  
10    void join() { ... } // Wait for this thread to die  
11    void join(long m) // Wait at most m milliseconds for thread to die  
12    static Thread currentThread() // Returns currently executing thread  
13    ...  
14 }
```

A lambda can be passed
as a Runnable



start() and join() methods

- A Thread instance starts executing when its start() method is invoked
 - start() can be invoked at most once per Thread instance
 - As with async, the parent thread can immediately move to the next statement after invoking t.start()
- A t.join() call forces the invoking thread to wait till thread t completes.
 - Lower-level primitive than finish since it only waits for a single thread rather than a collection of threads
 - No restriction on which thread performs a join on which thread, so it is possible to create a deadlock cycle using join() even when there are no data races
 - Declaring thread references as final does not help because the new() and start() operations are separated for threads (unlike futures, where they are integrated)



Two-way Parallel Array Sum using Java Threads

```
1. // Start of main thread
2. sum1 = 0; sum2 = 0; // sum1 & sum2 are static fields
3. Thread t1 = new Thread(() -> {
4.     // Child task computes sum of lower half of array
5.     for(int i=0; i < X.length/2; i++) sum1 += X[i];
6. });
7. t1.start();
8. // Parent task computes sum of upper half of array
9. for(int i=X.length/2; i < X.length; i++) sum2 += X[i];
10. // Parent task waits for child task to complete (join)
11. t1.join();
12. return sum1 + sum2;
```

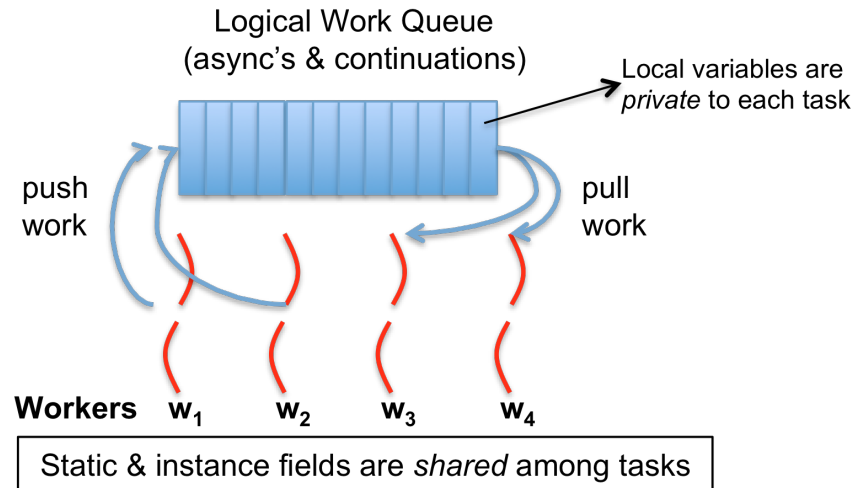


Compare with Two-way Parallel Array Sum using HJ-Lib's finish & async API's

```
1. // Start of Task T0 (main program)
2. sum1 = 0; sum2 = 0; // sum1 & sum2 are static fields
3. finish(() -> {
4.     async(() -> {
5.         // Child task computes sum of lower half of array
6.         for(int i=0; i < X.length/2; i++) sum1 += X[i];
7.     });
8.     // Parent task computes sum of upper half of array
9.     for(int i=X.length/2; i < X.length; i++) sum2 += X[i];
10. });
11. // Parent task waits for child task to complete (join)
12. return sum1 + sum2;
```



HJlib runtime uses Java threads as workers



- HJlib runtime creates a small number of worker threads in a *thread pool*, typically one per core
- Workers push async's/continuations into a logical work queue
 - when an async operation is performed
 - when an end-finish operation is reached
- Workers pull task/continuation work item when they are idle

Objects and Locks in Java – synchronized statements and methods

- Every Java object has an associated lock acquired via:
 - **synchronized** statements
 - `synchronized(foo) { // acquire foo's lock
 // execute code while holding foo's lock
}` // release foo's lock
 - **synchronized** methods
 - `public synchronized void op1() { // acquire 'this' lock
 // execute method while holding 'this' lock
}` // release 'this' lock
- Java language does not enforce any relationship between the object used for locking and objects accessed in isolated code
 - If same object is used for locking and data access, then the object behaves like a monitor
- Locking and unlocking are **automatic**
 - Locks are released when a synchronized block exits
 - By normal means: end of block reached, **return**, **break**
 - When an exception is thrown and not caught



Locking guarantees in Java

- It is preferable to use `java.util.concurrent.atomic` or `HJlib` isolated constructs, since they cannot deadlock
- Locks are needed for more general cases. Basic idea is for JVM to implement `synchronized(a) <stmt>` as follows:
 1. Acquire lock for object `a`
 2. Execute `<stmt>`
 3. Release lock for object `a`
- The responsibility for ensuring that the choice of locks correctly implements the semantics of isolation lies with the programmer.
- The main guarantee provided by locks is that only one thread can hold a given lock at a time, and the thread is blocked when acquiring a lock if the lock is unavailable.



Deadlock example with Java synchronized statement

- The code below can deadlock if `leftHand()` and `rightHand()` are called concurrently from different threads
 - Because the locks are not acquired in the same order

```
public class ObviousDeadlock {  
    . . .  
    public void leftHand() {  
        synchronized(lock1) {  
            synchronized(lock2) {  
                for (int i=0; i<10000; i++)  
                    sum += random.nextInt(100);  
            }  
        }  
    }  
}
```

```
    public void rightHand() {  
        synchronized(lock2) {  
            synchronized(lock1) {  
                for (int i=0; i<10000; i++)  
                    sum += random.nextInt(100);  
            }  
        }  
    }  
}
```



Deadlock avoidance in HJ with object-based isolation

- HJ implementation ensures that all locks are acquired in the same order
- ==> no deadlock

```
public class ObviousDeadlock {  
    . . .  
    public void leftHand() {  
        isolated(lock1, lock2) {  
            for (int i=0; i<10000; i++)  
                sum += random.nextInt(100);  
        }  
    }  
}
```

```
    public void rightHand() {  
        isolated(lock2, lock1) {  
            for (int i=0; i<10000; i++)  
                sum += random.nextInt(100);  
        }  
    }  
}
```



Dynamic Order Deadlocks

- There are even more subtle ways for threads to deadlock due to inconsistent lock ordering

- Consider a method to transfer a balance from one account to another:

```
public class SubtleDeadlock {  
    public void transferFunds(Account from,  
                               Account to,  
                               int amount) {  
        synchronized (from) {  
            synchronized (to) {  
                from.subtractFromBalance(amount);  
                to.addToBalance(amount);  
            }  
        }  
    }  
}
```

- What if one thread tries to transfer from A to B while another tries to transfer from B to A ?
Inconsistent lock order again – Deadlock!



Avoiding Dynamic Order Deadlocks

- The solution is to **induce** a lock ordering
- Here, uses an existing unique numeric key, `acctId`, to establish an order

```
public class SafeTransfer {  
    public void transferFunds(Account from, Account to, int amount) {  
        Account firstLock, secondLock;  
        if (fromAccount.acctId == toAccount.acctId)  
            throw new Exception("Cannot self-transfer");  
        else if (fromAccount.acctId < toAccount.acctId) {  
            firstLock = fromAccount;  
            secondLock = toAccount;  
        }  
        else {  
            firstLock = toAccount;  
            secondLock = fromAccount;  
        }  
        synchronized (firstLock) {  
            synchronized (secondLock) {  
                from.subtractFromBalance(amount);  
                to.addToBalance(amount);  
            }  
        }  
    }  
}
```



Java's Object Locks are Reentrant

- Locks are **granted** on a **per-thread** basis
 - Called **reentrant** or **recursive locks**
 - Promotes **object-oriented concurrent code**
- A synchronized block means execution of this code requires the current thread to hold this lock
 - If it does — fine
 - If it doesn't — then **acquire the lock**
- Reentrancy means that recursive methods, invocation of **super** methods, or local callbacks, don't deadlock

```
public class Widget {  
    public synchronized void doSomething() { ... }  
}  
  
public class LoggingWidget extends Widget {  
    public synchronized void doSomething() {  
        Logger.log(this + ": calling doSomething()");  
        super.doSomething(); // Doesn't deadlock!  
    }  
}
```



Worksheet #24: Java Threads and Data Races

Name: _____ Net ID: _____

- 1) Write a sketch of the pseudocode for a Java threads program that exhibits a data race using `start()` and `join()` operations.
- 2) Write a sketch of the pseudocode for a Java threads program that exhibits a data race using synchronized statements



BACKUP SLIDES START HERE



Monitors

- One definition of monitor is a thread-safe class, object, or module that uses wrapped mutual exclusion in order to safely allow access to a method or variable by more than one thread. The defining characteristic of a monitor is that its methods are executed with mutual exclusion: At each point in time, at most one thread may be executing any of its methods. Using a condition variable(s), it can also provide the ability for threads to wait on a certain condition (thus using the above definition of a "monitor"). For the rest of this article, this sense of "monitor" will be referred to as a "thread-safe object/class/module".
- Source: [https://en.wikipedia.org/wiki/Monitor_\(synchronization\)](https://en.wikipedia.org/wiki/Monitor_(synchronization))



How to convert a sequential library to a monitor in HJ vs. Java?

HJ approach:

- Use object-based isolation to ensure that each call to a public method is isolated on “this” e.g.,
`public void add(...) { isolated(this) { } }`
- Can also use general isolated statement, but that is overkill e.g.,
`public void add(...) { isolated { } }`

Java approach:

- Use Java’s synchronized statement instead of object-based isolation e.g.,
`public void add(...) { synchronized(this) { } }`
or equivalently
`public synchronized void add(...) { }`
- Both HJ and Java programs can use specialized implementations of monitors available in `java.util.concurrent`
 - `ConcurrentHashMap`, `ConcurrentLinkedQueue`, `CopyOnWriteArraySet`



Unit 7.1: Java Threads (Recap)

- Execution of a Java program begins with an instance of Thread created by the Java Virtual Machine (JVM) that executes the program's main() method.
- Parallelism can be introduced by creating additional instances of class Thread that execute as parallel threads.

```
1 public class Thread extends Object implements Runnable {  
2     Thread() { ... } // Creates a new Thread  
3     Thread(Runnable r) { ... } // Creates a new Thread with Runnable object r  
4     void run() { ... } // Code to be executed by the thread  
5         // Case 1: If this thread was created with a Runnable object, then  
6         //         that object's run method is called  
7         // Case 2: If this class is subclassed, then the run method  
8         //         in the subclass is called  
9     void start() { ... } // Causes this thread to start  
10    void join() { ... } // Wait for this thread to die  
11    void join(long m) // Wait at most m milliseconds for thread to die  
12    static Thread currentThread() // Returns currently executing thread  
13    . . .  
14 }
```

A lambda can be
passed as a Runnable

Listing 3: java.lang.Thread class



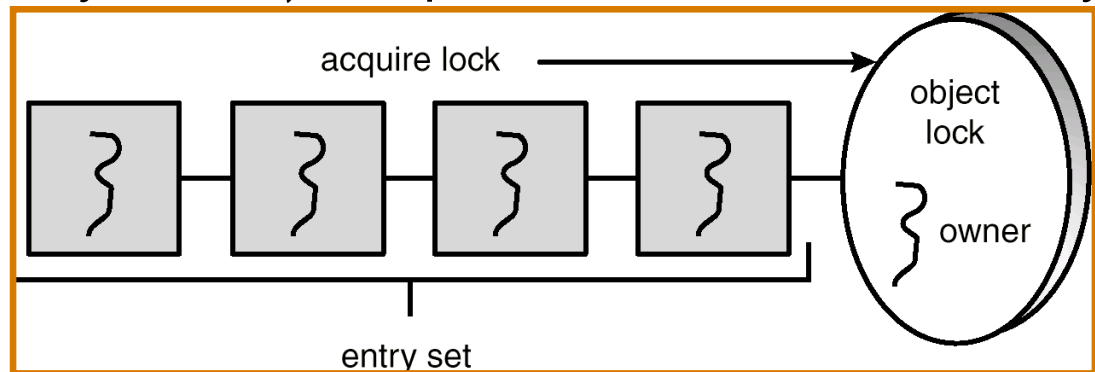
Unit 7.2: Objects and Locks in Java --- synchronized statements and methods (Recap)

- Every Java object has an associated *lock* acquired via:
 - **synchronized statements**
 - `synchronized(foo) { // acquire foo's lock
// execute code while holding foo's lock
} // release foo's lock`
 - **synchronized methods**
 - `public synchronized void op1() { // acquire 'this' lock
// execute method while holding 'this' lock
} // release 'this' lock`
- Java language does not enforce any relationship between object used for locking and objects accessed in isolated code
 - If same object is used for locking and data access, then the object behaves like a monitor
- Locking and unlocking are **automatic**
 - **Locks are released when a synchronized block exits**
 - By normal means: end of block reached, **return**, **break**
 - When an exception is thrown and not caught



Implementation of Java synchronized statements/methods

- Every object has an associated lock
- “synchronized” is translated to matching `monitorenter` and `monitorexit` bytecode instructions for the Java virtual machine
 - `monitorenter` requests “ownership” of the object’s lock
 - `monitorexit` releases “ownership” of the object’s lock
- If a thread performing `monitorenter` does not gain ownership of the lock (because another thread already owns it), it is placed in an unordered “entry set” for the object’s lock



Monitors – a Diagrammatic summary

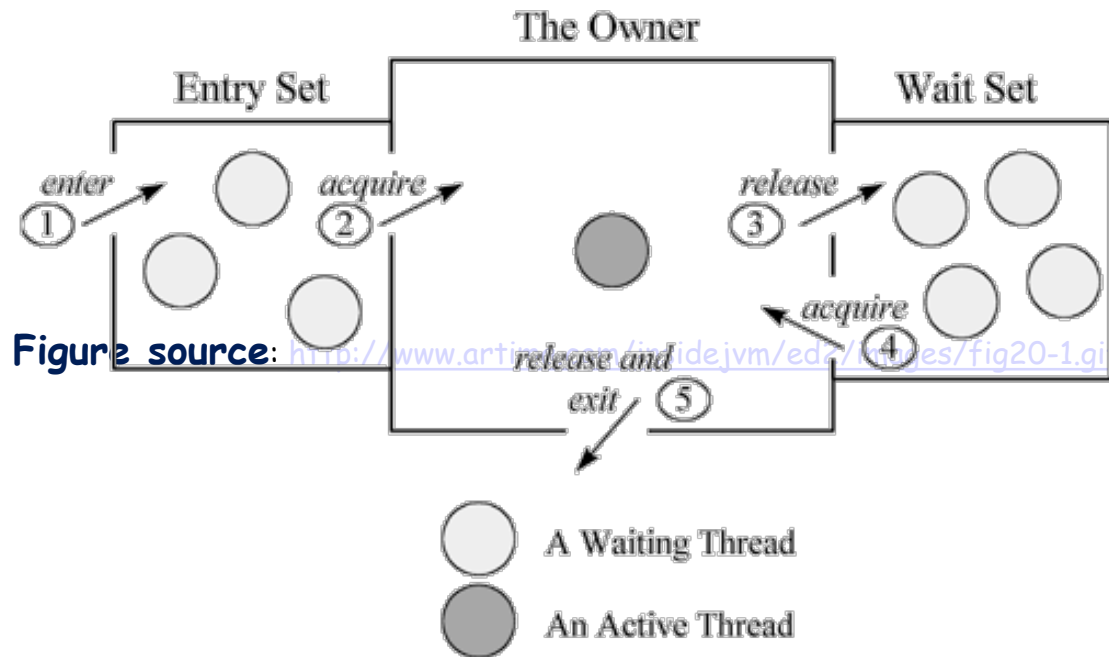


Figure 20-1. A Java monitor.

What if you want to wait for shared state to satisfy a desired property? (Bounded Buffer Example)

```
public synchronized void insert(Object item) { // producer
    // TODO: wait till count < BUFFER SIZE
    ++count;
    buffer[in] = item;
    in = (in + 1) % BUFFER SIZE;
    // TODO: notify consumers that an insert has been performed
}

public synchronized Object remove() { // consumer
    Object item;
    // TODO: wait till count > 0
    --count;
    item = buffer[out];
    out = (out + 1) % BUFFER SIZE;
    // TODO: notify producers that a remove() has been performed
    return item;
}
```



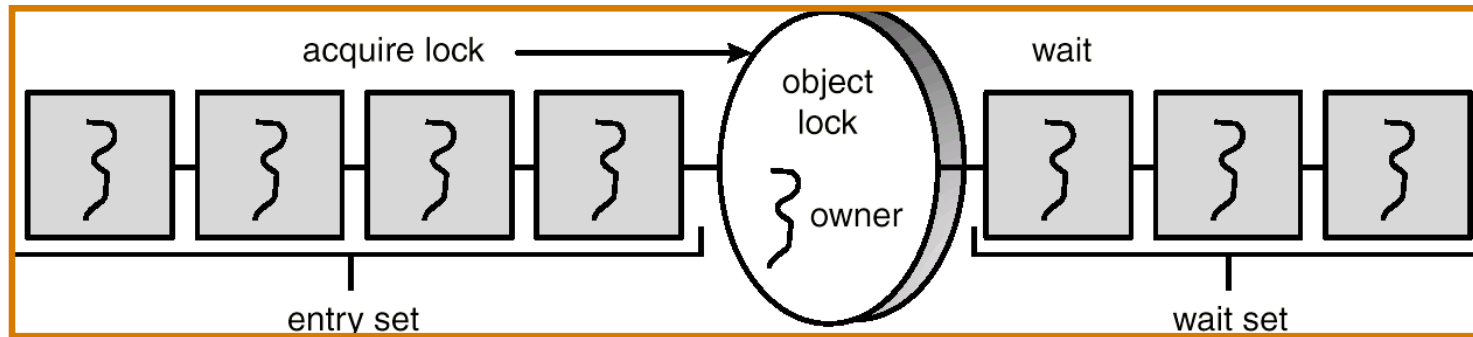
The Java wait() Method

- A thread can perform a `wait()` method on an object that it owns:
 1. the thread releases the object lock
 2. thread state is set to blocked
 3. thread is placed in the wait set
- Causes thread to wait until another thread invokes the `notify()` method or the `notifyAll()` method for this object.
- Since interrupts and spurious wake-ups are possible, this method should always be used in a loop e.g.,

```
synchronized (obj) {  
    while (<condition does not hold>)  
        obj.wait();  
    ... // Perform action appropriate to condition  
}
```
- Java's wait-notify is related to "condition variables" in POSIX threads



Entry and Wait Sets



The notify() Method

When a thread calls `notify()`, the following occurs:

1. selects an arbitrary thread `T` from the wait set
2. moves `T` to the entry set
3. sets `T` to Runnable

`T` can now compete for the object's lock again



Multiple Notifications

- `notify()` selects an arbitrary thread from the wait set.
 - This may not be the thread that you want to be selected.
 - Java does not allow you to specify the thread to be selected
- `notifyAll()` removes ALL threads from the wait set and places them in the entry set. This allows the threads to decide among themselves who should proceed next.
- `notifyAll()` is a conservative strategy that works best when multiple threads may be in the wait set



insert() with wait/notify Methods

```
public synchronized void insert(Object item) {  
    while (count == BUFFER SIZE) {  
        try {  
            wait();  
        }  
        catch (InterruptedException e) { }  
    }  
    ++count;  
    buffer[in] = item;  
    in = (in + 1) % BUFFER SIZE;  
    notify();  
}
```



remove() with wait/notify Methods

```
public synchronized Object remove() {  
    Object item;  
    while (count == 0) {  
        try {  
            wait();  
        }  
        catch (InterruptedException e) { }  
    }  
    --count;  
    item = buffer[out];  
    out = (out + 1) % BUFFER SIZE;  
    notify();  
    return item;  
}
```



Complete Bounded Buffer using Java Synchronization

```
public class BoundedBuffer implements Buffer
{
    private static final int BUFFER SIZE = 5;
    private int count, in, out;
    private Object[] buffer;
    public BoundedBuffer() { // buffer is initially empty
        count = 0;
        in = 0;
        out = 0;
        buffer = new Object[BUFFER SIZE];
    }
    public synchronized void insert(Object item) { // See previous slides
    }
    public synchronized Object remove() { // See previous slides
    }
}
```



Worksheet #27: Entry and Wait Sets

Name: _____

Netid: _____

Consider a bounded buffer implementation using `synchronized()` statements as discussed in the lecture, with `BUFFER_SIZE = 1`. Assume that there are multiple producers (`P0, P1, ...`) and multiple consumers (`C0, C1, ...`). Can you create a “livelock” scenario using only `notify()` and `wait()`, but not `notifyAll()`? i.e., a scenario in which threads are repeatedly inserted and removed from entry/wait sets without allowing a ready producer/consumer to make progress.

