COMP 322: Fundamentals of Parallel Programming Module 2: Concurrency

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5 Mutual Exclusion

5.1 Critical Sections and the "isolated" statement

For the programming constructs async, finish, future, get, forall, the following situation was defined to be a *data race* error — when two accesses on the same shared location can potentially execute in parallel such that at least one access is a write. However, there are many cases in practice when two tasks may legitimately need to perform conflicting accesses to shared locations.

Consider the example shown below in Listing 1. The job of method deleteTwoNodes() in line 14 is to delete the first two nodes in doubly-linked list L. It does so by calling L.delete() and L.next.delete() in parallel in lines 16 and 17. Each call to delete() needs to perform the book-keeping operations in lines 6 and 7 in *mutual exclusion* from the other, so that there's no chance of statement instances from the two different calls being interleaved in any way. The term "mutual exclusion" refers to the requirement that lines 6 and 7 are are executed as a single indivisible step by each task, thereby *excluding* the other tasks when one task is executing those statements.

```
class DoublyLinkedList {
1
\mathbf{2}
      DoublyLinkedList prev, next;
3
      void delete() {
4
5
        isolated { // start of mutual exclusion region (critical section)
6
          if (this.prev != null) this.prev.next = this.next;
7
          if (this.next != null) this.next.prev = this.prev
8
        } // end of mutual exclusion region (critical section)
9
          . . // additional work to delete node (mutual exclusion not needed)
10
      }
11
12
   }
13
   static void deleteTwoNodes(DoublyLinkedList L) {
14
15
      finish {
16
        async L. delete();
17
        async L.next.delete();
18
19
   }
```

Listing 1: Example of two tasks performing conflicting accesses

The predominant approach to ensure mutual exclusion proposed many years ago is to enclose a code region such as lines 6 and 7 in a *critical section* [4]. The following definition of critical sections from [16] captures the idea:

"In concurrent programming a critical section is a piece of code that accesses a shared resource (data structure or device) that must not be concurrently accessed by more than one thread of execution. A critical section will usually terminate in fixed time, and a thread, task or process will have to wait a fixed time to enter it (aka bounded waiting). Some synchronization mechanism is required at the entry and exit of the critical section to ensure exclusive use, for example a semaphore."

The primary mechanisms available to a Java programmer for implementing the synchronization necessary for critical sections is the synchronized language construct, and the java.util.concurrent.locks library package. You will learn about both mechanisms later in the course. Instead, the Habanero Java (HJ) language offers a simpler construct, isolated, that can be used to directly implement critical sections, as shown in lines 5–8 of Listing 1.

Specifically, the HJ construct, isolated $\langle stmt1 \rangle$, guarantees that each instance of $\langle stmt1 \rangle$ will be performed in mutual exclusion with all other potentially parallel instances of isolated statements $\langle stmt2 \rangle$. Thus, the use of isolated in line 5 of Listing 1 is sufficient to ensure that the computations in the two calls to delete() (lines 16 and 17) can safely be executed in parallel. After each task executes the isolated statement in lines 6 and 7, it can perform the computation in line 9 in parallel with the other task. Unlike finish, isolated does not dictate the order in which the two isolated instances should be executed; it just ensures that they execute in mutual exclusion regardless of the order.

The body of an isolated statement may perform any sequential Java computation including method calls and exceptions. It is illegal to execute a parallel construct (such as async, finish, get, or forall) within an isolated statement. If an exception is throw within an isolated statement, S, it can be caught by a handler within or outside S. If control exits S after an exception, then all updates performed by S before throwing the exception will be observable after exiting S. isolated statements may be nested, but an inner isolated statement is essentially a no-op since isolation is guaranteed by the outer statement.

There is a trade-off between making isolated statements too big or too small in scope. If they are too big, then the parallelism in the program will be limited because interfering isolated statements cannot be executed in parallel. (Remember Amdahl's Law?) If they are too small, then they may not provide the desired program semantics. For example, if the isolated statement in line 5 of Listing 1 is replaced by two separate isolated statements for lines 6 and 7 of Listing 1, we would lose the invariant that lines 6 and 7 execute as an indivisible operation with respect to other tasks. It is also important to note that no combination of finish, async, and isolated constructs can create a *deadlock cycle* among tasks.

5.1.1 Implementations of Isolated Statements

While it is convenient for the programmer to use isolated statements, this convenience imposes major challenges on the *implementation* of isolated constructs. The discussion in the previous section highlights this issue, especially if we consider the fact that the n1.delete() and n2.delete() calls may or may not interfere depending on where n1 and n2 are located in the linked list.

The Habanero-Java implementation available for COMP 322 takes a simple *single-lock* approach to implementing **isolated** statements. You will learn more about locks later in the class. The idea behind a single-lock approach is to treat each entry of an **isolated** statement as an *acquire()* operation on the lock, and each exit of an **isolated** statement as a *release()* operation on the lock. Though correct, this approach essentially implements **isolated** statements as *critical sections*.

An alternate approach for implementing isolated statements being explored by the research community is *Transactional Memory* (TM) [12]. In Software Transactional Memory (STM), a combination of compiler and runtime techniques is used to optimistically execute *transactions* (instances of isolated statements) in parallel while checking for possible interference. If an interference is detected, one of the transactions is "rolled back" and restarted. Given the large amount of book-keeping necessary for logging read and write operations to check for interference, it is widely believed that software-only approaches to TM incur too much overhead to be useful in practice. Instead, there have been multiple proposals for Hardware Transactional Memory (HTM) support to assist with this book-keeping. As yet, no computer with HTM support is widely available, but a few hardware prototypes have begun to show promise in this direction *e.g.*, [3].

When comparing implementations of **isolated** statements, the three cases to consider in practice can be qualitatively described as follows:

- 1. Low contention: In this case, isolated statements are executed infrequently, and a single-lock approach as in HJ is often the best solution. Other solutions, such as TM, object-based isolation (Section 5.2), and atomic variables (Section 5.4.1), incur additional overhead compared to the single-lock approach because of their book-keeping and checks necessary but there is no visible benefit from that overhead because contention is low.
- 2. *Moderate contention:* In this case, the serialization of all *isolated* statements in a single-lock approach limits the performance of the parallel program due to Amdahl's Law, but a finer-grained approach

that only serializes interfering **isolated** statements results in good scalability. This is the case that motivates the use of approaches such as TM, object-based isolation, and atomic variables, since the the benefit that they offer from reduced serialization outweighs the extra overhead that they incur.

3. *High contention:* In this case, there are phases in the program when interfering *isolated* statements on a single object (often referred to as a "hot spot" object) dominate the program execution time. In such situations, approaches such as TM and atomic variables are of little help since they cannot eliminate the interference inherent in accessing a single object. The best approach in such cases is to find an alternative approach to *isolated e.g.*, to use a parallel Array Sum algorithm instead of an *isolated* statement to compute the sum of values generated by different tasks.

5.2 Object-based Isolation

In this section, we introduce the basic functionality of object-based isolation [11]. As mentioned earlier, the focus of object-based isolation is on mutual exclusion rather than strong atomicity *i.e.*, mutual exclusion is only guaranteed between instances of **isolated** statements, unlike strong atomicity where a mutual exclusion guarantees may also exist between **atomic** and non-**atomic** statements. Two given **isolated** statements execute in mutual exclusion if the intersection of their object list is non-empty. Further, object-based **isolated** statements can be combined with global **isolated** statements that enforce mutual exclusion on all objects.

We first review the existing global isolated statement in HJ [8]. The HJ construct, isolated $\langle stmt1 \rangle$, guarantees that each instance of $\langle stmt1 \rangle$ will be performed in mutual exclusion with all other potentially parallel *interfering* instances of isolated statements $\langle stmt2 \rangle$. Two instances of isolated statements, $\langle stmt1 \rangle$ and $\langle stmt2 \rangle$, are said to interfere with each other if both access the same shared location, such that at least one of the accesses is a write.

The current HJ implementation takes a simple *single-lock* approach to implementing **isolated** statements, by treating each entry of an **isolated** statement as an *acquire()* operation on the lock, and each exit of an **isolated** statement as a *release()* operation on the lock. Though correct, this approach essentially implements **isolated** statements as *critical sections*, thereby serializing interfering and non-interfering **isolated** statement instances. We refer to this approach as *global mutual exclusion*.

The motivation for object-based isolation is that there are many cases when the programmer knows the set of objects that will be accessed in the body of the isolated statement. In that case, they can use a statement of the form, $isolated(obj_0, obj_1, ...) \langle stmt1 \rangle$, to specify the set of objects involved. (The order of objects is not significant.) We refer to this approach as *partial mutual exclusion*. In this case, two *isolated* statements are only guaranteed to execute in mutual execution if they have a non-empty intersection in their object sets. For convenience, the standard *isolated* statement in HJ is assumed to be equivalent to *isolated(*) i.e.*, an object-based *isolated* statement on the universal set of objects.

Figure 1 contains an example that uses global mutual exclusion to implement the insert function in the SortList benchmark. Lines 14 to 21 contain the critical section that performs node insertion and executes in mutual exclusion with any other critical sections that operate on objects prev and curr.

Figure 2 shows how the example presented in Figure 1 can be rewritten to use object-based isolated statements instead. In this case, the programmer explicitly identifies objects **prev** and **curr** as being involved in the mutual exclusion. As discussed in Section 5.1.1, lock-based implementations of object-based isolation rely on an ability to order the objects. This ordering is in turn used to guarantee an absence of deadlock in the implementation of object-based isolation.

As mentioned earlier, imposing a total order on the isolated objects is the key mechanism to avoid deadlocks. This can be done easily for objects in a single isolated list, but nested isolated constructs can pose a challenge. A sufficient condition for deadlock avoidance with nested isolation is to prohibit an inner isolated statement from including an object that was not already acquired by an outer isolated statement. (Note that this condition permits a task to re-acquire the same object, as is done with reentrant locks.) Figure 3 contains an example to illustrate this rule.

```
public boolean insert(final int v) {
  while (true) {
   INode curr, prev = null;
    for (curr = first; curr != null;
         curr = curr.getNext()) {
      final int val = curr.getValue();
       // v already exists
      if (val == v) return false;
      else if (val > v) break;
      prev = curr;
    }
    boolean set = false;
    isolated {
      if (validate(prev, curr)) {
        final INode neo = new INode();
        neo.setValue(v);
        link(prev, neo, curr);
        set = true;
      }
    ł
    if (set) return true;
  }
}
```

Figure 1: SortList Insert Operation with Global Isolation

```
public boolean insert(final int v) {
  while (true) {
   INode curr, prev = null;
    for (curr = first; curr != null;
         curr = curr.getNext()) {
      final int val = curr.getValue();
      if (val == v) return false; // v already exists
      else if (val > v) break;
      prev = curr;
    }
    boolean set = false;
    if (prev != null && curr != null) {
      isolated(prev, curr) {
        if (validate(prev, curr)) {
          final INode neo = new INode();
          neo.setValue(v);
          link(prev, neo, curr);
          set = true;
       }
     }
   }
 }
}
```

Figure 2: SortList Insert Operation with Partial Mutual Exclusion

isolated (obj1, obj2) {	isolated {
isolated (obj3) {	isolated (obj1, obj2) {
S;	S;
}	}
}	}
(a)	(b)

Figure 3: Simple cases for nested isolation.

In Figure 3 (a), if obj3 is neither an alias of obj1 nor of obj2, then the statement S should not be permitted to execute and a runtime exception is thrown at that point. This is because the inner isolated region tries to acquire a new object that was not included in the outer isolated region, thereby opening the possibility of a deadlock. Figure 3 (b) shows a legal example for nested isolation. The outer isolated region is a global mutual exclusion construct (i.e. it acquires all objects), which makes it is legal to acquire any object in the inner isolated region. To ensure the correctness of nested isolation, the implementation relies on runtime checking as compile-time checking is undecidable in general.

Finally, a **null** object reference in list for an object-based **isolated** statement is essentially no-op (unlike the Java synchronized statement, which throws a NullPointerException in that case). Consider the following example:

isolated (obj1, obj2) S;

If obj1 is null, then an implementation of the isolated statement only needs to acquire obj2, thereby making the previous statement is equivalent to the following:

isolated (obj2) S;

If both obj1 and obj2 are null, then the isolated statement degenerates to a no-op and no isolation is imposed on S.

5.3 Parallel Spanning Tree Example

In this section, we discuss a more complicated use of **isolated** statements in a program written to find a *spanning tree* of an *undirected graph*. An undirected graph G = (V, E) consists of a finite set of *vertices*, V, and a set of *unordered* edges, E. An edge, $\{u, v\}$ connects vertices $u \neq v$, but the order is not significant. This is unlike directed graphs, such as a computation graph, where the direction of the edge matters. A common representation for undirected graphs is the *adjacency* list. For each vertex **u** in the graph, an array **neighbors** can be used to store the set of vertices $v \neq u$ such that there is an edge connecting vertices **u** and **v**. Figure 4 contains a simple example of an undirected graph.

A path from vertex a to vertex b in graph G = (V, E) is a sequence $\langle v_0, v_1, v_2, \ldots, v_k \rangle$ of vertices where $v_0 = a$, $v_k = b$, and $\{v_i, v_{i+1}\} \in E$ for $i = 0, 1, \ldots, k-1$. A graph is connected if each pair of vertices is connected by at least one path. A cycle (or circuit) is a path that starts and ends with the same vertex. A tree is a special kind of undirected graph that is connected and contains no cycles. A tree always contains |V| - 1 edges. A rooted tree has a designated vertex that is called the root. A rooted tree can be represented compactly by a parent field in each non-root vertex such that there is a tree edge $\{u, v\}$ if and only if u.parent = v or v.parent = u. A spanning tree of a connected undirected graph G is a tree containing all the vertices of G, and a subset of G's edges. A graph may have multiple spanning trees. Figure 5 shows three possible spanning trees for the graph in Figure 4.

Spanning trees have multiple applications in areas as diverse as telephone networks and transportation, since a spanning tree contains the minimum number of edges (|V|-1) to ensure that the vertices in a graph remain connected. Removing an edge from a spanning tree with cause the resulting graph to become disconnected. If each edge $e = \{u, v\}$ in the input graph is assigned a *weight* (*e.g.*, based on the distance or cost of the connection between the two vertices), then a *minimum spanning tree* is a spanning tree with the smallest total cost, when adding the weights of edges in the tree. The problem of finding the *minimum spanning tree* has received much attention in Computer Science. In this section, we will study the simpler problem of finding any spanning tree of an input graph.

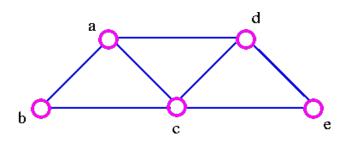


Figure 4: Example undirected graph (source [14])

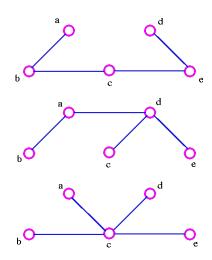


Figure 5: Three spanning trees for undirected graph in Figure 4 (source [14])

Listing 2 shows the sketch of a Java class V that implements a *sequential* program to compute the spanning tree of an undirected graph. Each vertex in the input graph is created as an instance of class V, and the its neighbors array contains its adjacency list. The algorithm starts by setting root.parent = root in line 17. Even though the root vertex does not have a defined parent, this convention simplifies the implementation of the tryLabeling() method, as we will see. Line 18 invokes the recursive method, compute(), on root.

The body of method compute() is defined in lines 9 - 13. It iterates through each vertex (named child) in the neighbors list of the current (this) vertex, and attempts to become the parent of child by calling child.tryLabeling(). Consider an example where the this vertex is a and the child vertex is b in line 11. Line 5 in method tryLabeling() will then check if b's parent is *null*. If so, it sets b.parent to equal a and returns true. Otherwise, the call to tryLabeling() returns false. Back in line 11, method compute() will recursively call itself on child a if tryLabeling() returns true, thereby ensuring that compute() is called exactly once for each vertex. When all recursive calls have completed, the output spanning tree is represented by the parent fields.

```
class V {
1
\mathbf{2}
     V \ [] \ neighbors; // adjacency list for input graph
     V parent; // output value of parent in spanning tree
3
4
      boolean tryLabeling(V n) {
5
        if (parent == null) parent=n;
6
        return parent == n;
7
      } // tryLabeling
      void compute() {
8
9
        for (int i=0; i<neighbors.length; i++) {</pre>
10
          V child = neighbors [i];
          if (child.tryLabeling(this))
11
              child.compute(); //escaping async
12
13
         }
      } // compute
14
   } // class V
15
16
17
   root.parent = root; // Use self-cycle to identify root
18
   root.compute();
19
    . . .
```

Listing 2: Sequential Algorithm for computing the Spanning Tree of an undirected graph

Listing 3 shows a parallel version of the spanning tree program in Listing 2. The only changes are the addition of isolated in line 5, the addition of async in line 12, and the addition of finish in line 18. The addition of these keywords lead to a parallel program which computes a valid spanning tree of the graph, but without preserving the left-to-right order when traversing neighbors in the original sequential version. This is a nondeterministic algorithm since different executions may yield different spanning trees, all of which are valid solutions.

```
1
    class V {
      V [] neighbors; // adjacency list for input graph
V parent; // output value of parent in spanning tree
 \mathbf{2}
3
      boolean tryLabeling(V n) {
 4
 5
         isolated if (parent == null) parent=n;
 6
         return parent == n;
      } // tryLabeling
 7
 8
      void compute() {
 9
         for (int i=0; i<neighbors.length; i++) {</pre>
10
           V child = neighbors [i];
11
           if (child.tryLabeling(this))
                async child.compute(); //escaping async
12
```

```
13 }
14 } // compute
15 } // class V
16 . . .
17 root.parent = root; // Use self-cycle to identify root
18 finish root.compute();
19 . . .
```

Listing 3: Parallel Spanning Tree of an undirected graph

There are some other points worth observing in this example. Method compute() in lines 8-14 contains an async but no finish statement. In such a case, the async in line 12 is called an *escaping async*, because its parent task's method can return before the async has completed. Instead, a finish is included in line 18 to ensure that all async's terminate before the program proceeds to line 19. The isolated statement in line 5 is necessary because multiple neighbors of a vertex v2 may compete to be its parent. The winning vertex v1 is the one whose async finds v2.parent == null, in which case it sets v2.parent = v1. All other neighbors will then fail to become the parent of v2 since v2.parent == null will no longer be true.

5.4 Atomic Variables

5.4.1 AtomicInteger and AtomicIntegerArray

The java.util.concurrent package [13, 5] (also referred to as j.u.c) was introduced over five years ago as part of Java 5.0 to offer a standard set of library utilities for writing parallel programs in Java. You will learn more details of the j.u.c. package later in the class, when you graduate from parallel programming in HJ to parallel programming in pure Java. The use of many j.u.c. features by the programmer is prohibited in HJ, since they can easily violate HJ's properties such as deadlock freedom¹.

However, there are two groups of utilities in j.u.c. that can be freely used in HJ code for performance and convenience — *atomic variables* and *concurrent collections* [5]. This section focuses on the former, which is embodied in the java.util.concurrent.atomic sub-package [1, 13]. Specifically, the j.u.c.atomic sub-package provides library calls that can be used by HJ programmers as a more efficient substitute for certain patterns of isolated statements. It does so by encapsulating a single variable in an object, and providing certain read, write and read-modify-write operations that are guaranteed to be performed "atomically" on the object *i.e.*, as though they occurred in an isolated statement. The source of efficiency arises from teh fact that many platforms offer hardware support for executing these read-modify-write operations atomically.

Thus, the basic idea behind atomic variables is to implement common access patterns occurring in isolated statements as predefined methods that can be invoked by the programmer, with efficient implementations that avoid the use of locks. Atomic variables provided a restricted solution to scalable implementations of isolated. If an isolated statement matches an available atomic pattern, then it can be implemented by using an atomic variable; otherwise, the default implementation of isolated or object-based isolation has to be used instead.

The operations of interest for two j.u.c. atomic classes, AtomicInteger and AtomicIntegerArray, are summarized in Table 1. Let us start with AtomicInteger. It has two constructors, AtomicInteger() (with a default initial value value of 0) and AtomicInteger(init) (with a specified initial value). A single instance of AtomicInteger encapsulates an object with a single integer field, val, that can only be read or written using predefined methods, as shown in Table 1. Each such method call is guaranteed to execute in isolation with other methods invoked on the same object. To use these classes in HJ, you will need to include the following statement at the start of your program, import java.util.concurrent.atomic.*.

Table 1 shows equivalent HJ isolated statements for AtomicInteger methods get(), set(), getAndSet(), addAndGet(), getAndAdd(), and compareAndSet(). While the *functionality* of your HJ program will remain unchanged if you use AtomicInteger methods as in column 2 or isolated statements as in column 3, the *per*-

¹The HJ implementation uses j.u.c. features to implement parallel constructs that you have already learned, such as async, finish, future and phaser, but this usage is not visible to the HJ programmer.

j.u.c.atomic Class		
and Constructors	j.u.c.atomic Methods	Equivalent HJ object-based isolated statements
AtomicInteger	int j = v.get();	int j; isolated(v) $j = v.val;$
	v.set(newVal);	<pre>isolated(v) v.val = newVal;</pre>
AtomicInteger()	int $j = v.getAndSet(newVal);$	int j; isolated(v) { $j = v.val; v.val = newVal; $ }
// init = 0	int $j = v.addAndGet(delta);$	<pre>isolated(v) { v.val += delta; j = v.val; }</pre>
	int $j = v.getAndAdd(delta);$	$isolated(v) \{ j = v.val; v.val += delta; \}$
AtomicInteger(init)	boolean b =	boolean b;
	v.compareAndSet	isolated(v)
	(expect,update);	if (v.val==expect) {v.val=update; b=true;}
		else $b = false;$
AtomicIntegerArray	int j = v.get(i);	int j; isolated(v) j = v.arr[i];
	v.set(i,newVal);	isolated(v) v.arr[i] = newVal;
AtomicIntegerArray	int $j = v.getAndSet(i, newVal);$	int j; isolated(v) { $j = v.arr[i]$; $v.arr[i] = newVal$; }
(length) // init = 0	int $j = v.addAndGet(i,delta);$	$isolated(v) \{ v.arr[i] += delta; j = v.arr[i]; \}$
	int $j = v.getAndAdd(i,delta);$	$isolated(v) \{ j = v.arr[i]; v.arr[i] += delta; \}$
AtomicIntegerArray	boolean b =	boolean b;
(arr)	v.compareAndSet	isolated(v)
	(i,expect,update);	if $(v.arr[i] = expect)$ { $v.arr[i] = update; b = true;$ }
		else $b = false;$

Table 1: Methods in java.util.concurrent atomic classes AtomicInteger and AtomicIntegerArray and their equivalent HJ object-based isolated statements. Variable v refers to a j.u.c.atomic object in column 2 and to an equivalent non-atomic object in column 3. val refers to a field of type int, and arr refers to a field of type int[].

1) Rank computation:	
<pre>rank = new; rank.count = 0;</pre>	<pre>AtomicInteger rank = new AtomicInteger();</pre>
<pre>isolated(rank) r = ++rank.count;</pre>	<pre>r = rank.incrementAndGet();</pre>
2) Work assignment:	
<pre>rem = new; rem.count = n;</pre>	<pre>AtomicInteger rem = new AtomicInteger(n);</pre>
<pre>isolated(rem) r = rem.count;</pre>	<pre>r = rem.getAndDecrement();</pre>
if (r > 0)	if (r > 0)
3) Counting semaphore:	
<pre>sem = new; sem.count = 0;</pre>	<pre>AtomicInteger sem = new AtomicInteger();</pre>
<pre>isolated(sem) r = ++sem.count;</pre>	<pre>r = sem.incrementAndGet();</pre>
<pre>isolated(sem) r =sem.count;</pre>	<pre>r = sem.decrementAndGet();</pre>
<pre>isolated(sem) s = sem.count; isZero = (s==0);</pre>	<pre>s = sem.get(); isZero = (s==0);</pre>
4) Sum reduction:	
<pre>sum = new; sum.val = 0;</pre>	<pre>AtomicInteger sum = new AtomicInteger();</pre>
<pre>isolated(sum) sum.val += x;</pre>	<pre>sum.addAndGet(x);</pre>

Table 2: Examples of common isolated statement idioms and their equivalent AtomicInteger implementations

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formance of your program in the moderate contention case will usually be superior when using AtomicInteger methods for the reasons discussed in Section 5.1.1. The complete list of AtomicInteger methods can be found in [1]. The methods omitted from Table 1 include decrementAndGet(), getAndDecrement(), incrementAndGet(), and getAndIncrement() (since they are equivalent to the add methods in Table 1 with delta=1 or delta=-1), doubleValue(), floatValue() and intValue() (since their functionality can be obtained by simple cast operations), and weakCompareAndSet() (since it is identical to compareAndSet() in HJ, but differs from compareAndSet() in some fine points of the Java Memory Model that are not observed on current hardware). While the isolated patterns supported by the AtomicInteger methods in Table 1 may appear to be limited, they capture idioms that occur frequently in parallel programs as shown in Table 2. In addition, variants of the addAndGet() and getAndAdd() methods have been studied extensively for the last 30 years [7, 6] as primitives for building scalable parallel algorithms.

Table 1 also shows constructors and methods for the AtomicIntegerArray class. The main advantage of using an instance of AtomicIntegerArray instead of creating an array of AtomicInteger's is that an AtomicIntegerArray instance occupies less space since its book-keeping overhead is amortized over an entire integer array, whereas a AtomicInteger[] array is essentially an array of objects. An element access in AtomicInteger[] also incurs an extra indirection relative to an element access in AtomicIntegerArray.

The j.u.c.atomic sub-package also includes AtomicLong, AtomicLongArray, and AtomicBoolean classes, with methods that are easy to understand once you know the methods available in AtomicInteger and AtomicIntegerArray.

5.4.2 AtomicReference

It is useful to perform atomic operations on object references, in addition to atomic operations on the primitive data types outlined in Section 5.4.1. Table 3 summarizes the operations available for AtomicReference and AtomicReferenceArray classes in the j.u.c.atomic sub-package. The compareAndSet() method can be especially useful in practice. As an example, consider the code in Listing 4 with an object-based isolated statement used in the Parallel Spanning Tree example.

```
1
   class V {
2
     V \ [] \ neighbors; // adjacency list for input graph
3
     V parent; // output value of parent in spanning tree
4
     boolean tryLabeling(V n) {
5
       boolean retVal;
6
       isolated(this) { if (parent == null) parent=n; retVal = (parent == n);
7
       return retVal;
     } // tryLabeling
8
9
   } // class V
10
```

Listing 4:	Use of isolated in Parallel Spanning Tree example
------------	---

```
1
   class V {
     V [] neighbors; // adjacency list for input graph
\mathbf{2}
3
     AtomicReference parent;
                                     // output value of parent in spanning tree
4
     boolean tryLabeling(V n) {
5
       return parent.compareAndSet(null, n);
\mathbf{6}
     } // tryLabeling
7
8
  } // class V
```

Listing 5: Use of compareAndSet() as a replacement for isolated in Listing 4

The isolated statement in line 5 of Listing 4 can be replaced by a compareAndSet() method if parent is stored as an AtomicReference, as shown above in Listing 5. There are additional j.u.c.atomic classes

i u a atomia Claga		
j.u.c.atomic Class		
and Constructors	j.u.c.atomic Methods	Equivalent HJ isolated statements
AtomicReference	Object $o = v.get();$	Object o; isolated o = v.ref;
	v.set(newRef);	isolated v.ref = newRef;
AtomicReference()	Object o =	Object o;
// init = null	v.getAndSet(newRef);	isolated { $o = v.ref; v.ref = newRef; $ }
	boolean b =	boolean b;
AtomicReference(init)	v.compareAndSet	isolated
	(expect,update);	if (v.ref==expect) {v.ref=update; b=true;}
		else $b = false;$
AtomicReferenceArray	Object $o = v.get(i);$	Object o; isolated $o = v.arr[i];$
	v.set(i,newRef);	isolated v.arr[i] = newRef;
AtomicReferenceArray	Object o =	Object o;
(length) // init = null	v.getAndSet(i,newRef);	isolated { $o = v.arr[i]; v.arr[i] = newRef; $ }
	boolean b =	boolean b;
AtomicIntegerArray	v.compareAndSet	isolated
(arr)	(i,expect,update);	if (v.arr[i]==expect) {v.arr[i]=update; b=true;}
		else $b = false;$

Table 3: Methods in java.util.concurrent atomic classes AtomicReference and AtomicReferenceArray and their equivalent HJ object-based isolated statements. Variable v refers to a j.u.c.atomic object in column 2 and to an equivalent non-atomic object in column 3. ref refers to a field of type Object, and arr refers to a field of type Object[].

available called AtomicMarkableReference and AtomicStampedReference that support atomic manipulation of a reference+boolean pair or a reference+int pair respectively. Unfortunately, there is no pre-defined method that can support atomic manipulation of multiple objects as in the isolated statement in Listing 1.

5.5 Read-Write Modes in Object-based Isolation

TO BE COMPLETED

5.6 Serialized Computation Graph for Isolated Statements

How can the Computation Graph (CG) structure be extended to model isolated statements? We start by modeling each instance of an isolated statement as a distinct step (node) in the CG. This is permissible since the execution of an isolated statement is purely sequential with no internal continuation points. Next, we reason about the order in which interfering isolated statements are executed. This is complicated because the order may vary from execution to execution *e.g.*, the isolated statement instance invoked by the async in line 16 of Listing 1 may execute before the isolated statement in line 17 in one execution, and vice versa in another execution.

To solve this dilemma, we introduce a family of *Serialized Computation Graphs* (SCG's) that can be obtained when executing a program for a given input. Each SCG consists of a CG with additional *serialization* edges. Consider a CG being constructed on-the-fly as a parallel program executes. Each time an *isolated* step, S', is executed, we add a *serialization* edge from S to S' for each isolated step, S, that has already executed. For simplicity, we omit serialization edges when the source and destination steps belong to the same task, since they will always be redundant.

Each SCG represents a set of executions in which all interfering isolated statements execute in the same order. Different SCG's are used to reason about different orders of execution of interfering isolated statements. We can use SCG's to reason about properties of parallel programs that you have already studied with respect to a specific CG. For example, the critical path length (CPL) of the execution of a program with isolated statements can be obtained by computing the CPL of the corresponding SCG.

Consider the computation graph in Figure 6 (ignoring the red serialization edges) and assume that nodes v10, v11, v16 all consist of interfering isolated steps as shown in the bottom right of the figure. There are three possible orderings for these nodes, when taking *continue*, *spawn* and *join edges* into account: $v16 \rightarrow v10 \rightarrow v11, v10 \rightarrow v16 \rightarrow v11$ and $v10 \rightarrow v11 \rightarrow v16$. Each order leads to a different SCG, when serialization edges are added. The red edges in Figure 6 show serialization edges added when the isolated steps execute in the sequence, $v10 \rightarrow v16 \rightarrow v11$. These edges increase the critical path length of the SCG from 17 nodes (without serialization edges) to 18 nodes (with serialization edges). Alternate SCG's are also possible with serialization edges $v10 \rightarrow v11 \rightarrow v16$ and $v16 \rightarrow v10 \rightarrow v11$ which result in a critical path length of 17 nodes.

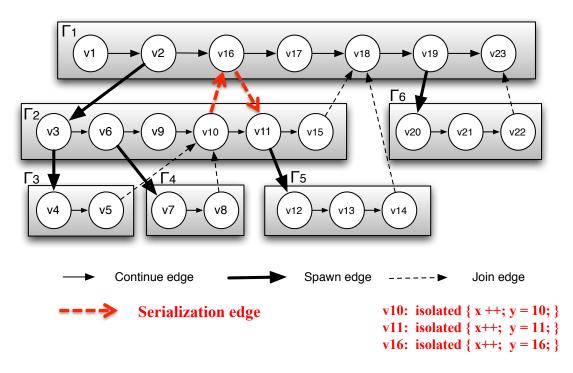


Figure 6: Serialized Computation Graph with Serialization Edges

5.6.1 Data Races and the Isolated Statement

The following definition of data races studied earlier can also be directly applied to an SCG:

"Formally, a data race occurs on location L in a program execution with computation graph CG if there exist steps S_1 and S_2 in CG such that:

- 1. S_1 does not depend on S_2 and S_2 does not depend on S_1 *i.e.*, there is no path of dependence edges from S_1 to S_2 or from S_2 to S_1 in CG, and
- 2. both S_1 and S_2 read or write L, and at least one of the accesses is a write."

By this definition, there is no data race between (say) node v10 and v16 in Figure 6, since the insertion of serialization edges ensures that there cannot be a data race between any two interfering isolated statement instances. The absence of a data race appears reasonable for variable x in Figure 6 (since all executions will result in x++ being performed exactly three times in isolation), but what about variable y? The final value of y depends on the order in which the isolated statements are executed. While this appears to be a race of some sort, it is not considered to be a *data race* according to the above definition. In general, data races are considered more harmful than other forms of races in parallel programs, because the semantics of data races depends on intricate details of the underlying *memory consistency model*.

As another example, consider the forall loop in Listing 6. The read and write accesses to rank.count in line 3 result in data races between all pairs of forall iterations.

```
1 rank.count = 0; // rank object contains an int field, count
2 forall (point[i] : [0:m-1]) {
3 int r = rank.count++;
4 StringArray[i] = Hello, World from task with rank = + r;
5 }
```

Listing 6: Example of a forall loop with a data race error

Earlier, you learned how finish statements can be inserted to fix data race errors. For this example, an isolated statement needs to be used instead, as shown in line 4 of Listing 7.

```
1 rank.count = 0; // rank object contains an int field, count
2 forall (point[i] : [0:m-1]) {
3 int r;
4 isolated { r = rank.count++; }
5 StringArray[i] = Hello, World from task with rank = + r;
6 }
```

Listing 7: Using an isolated statement to fix the data race error in Listing 7

Note that the program in Listing 7 is *nondeterministic* because different executions with the same input can result in different outputs (related to which iteration i gets assigned which rank r). It is informative to review the following property studied earlier, in light of isolated constructs:

Determinism property: if a parallel program with async, finish, forall, future and get operations can never have a data race, then it must be deterministic with respect to its inputs.

This Determinism property does not hold in general for data-race-free programs that include isolated statements, as seen in the examples in Figure 6 and in Listing 7. Thus, the distinction between *deterministic* and *data-race-free* programs needs to be understood with more care, when isolated statements are also under consideration.

Finally, we note that the isolated construct supports weak isolation [12]. With weak isolation, any memory accesses performed outside isolated constructs are not checked for interference with accesses within isolated constructs. Thus, there is the possibility of the "indivisibility" associated with an isolated statement being broken due to data races with non-isolated accesses, such as (say) a non-isolated x++ operation in node v17 in Figure 6.

6 The Actor Model

Acknowledgment: the content in this section was developed in collaboration with Shams Imam.

The Actor Model (AM) promotes a *no-shared mutable state* and an event-driven philosophy. It was first defined in 1973 by Carl Hewitt et al. during their research on Artificial Intelligent (AI) agents [9]. It was designed to address the problems that arise while writing distributed applications. Further work by Henry Baker [10], Gul Agha [2], and others added to the theoretical development of the AM. The AM is different from task parallelism in that it is primarily an asynchronous message-based concurrency model. An actor is the central entity in the AM that defines how computation proceeds. The key idea is to encapsulate mutable state and use asynchronous messaging to coordinate activities among actors.

6.1 Introduction to Actors

An actor is defined as an object that has the capability to process incoming messages. Usually the actor has a mailbox, as shown in Figure 7, to store its incoming messages. Other actors act as producers for messages that go into the mailbox. An actor also maintains local state which is initialized during creation. Henceforth, the actor is only allowed to update its local state using data (usually immutable) from the messages it receives and intermediate results it computes while processing the message. The actor is restricted to process at most one message at a time. This allows actors to avoid data races and to avoid the need for synchronization as there is no other actor contending for access to its local data. There is no restriction on the order in which the actor decides to process incoming messages. As an actor processes a message, it is allowed to change its behavior that affects how it processes the subsequent messages.

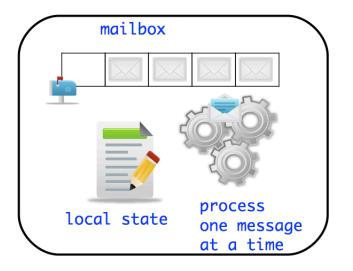


Figure 7: Actors store incoming messages in a mailbox, maintain a local state which is not directly exposed to other actors, and process at most one message at a time.

An actor has a well-defined life cycle and restrictions on the actions it performs in the different states. During its life cycle an actor is in one of the following three states:

- *new*: An instance of the actor has been created; however, the actor is not yet ready to receive or process messages.
- *started*: An actor moves to this state from the *new* state when it has been started using the **start** operation. It can now receive asynchronous messages and process them one at a time. While processing a message, the actor should continually receive any messages sent to it without blocking the sender.
- *terminated*: The actor moves to this state from the *started* state when it has been terminated and will not process any messages in its mailbox or new messages sent to it. An actor signals termination by using the exit operation on itself while processing some message.

An actor interacts with other actors in two ways as shown in Figure 9. Firstly, it can send and receive messages to and from other actors. The sending and receiving of messages is done asynchronously, i.e. the sending actor can deliver a message without waiting for the receiving actor to be ready to process the message. An actor learns about the existence of other actors by either receiving their addresses in incoming messages or during creation. This brings us to the second manner of actor interaction: an actor can create new actors. This new actor can have its local state initialized with information from the *parent* actor. It is important to note that the network of actors an actor knows about can grow dynamically thus allowing formation of arbitrary connection graphs among actors and a wide range of communication and coordination

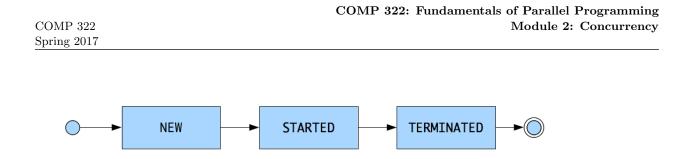


Figure 8: Actors have a simple life cycle. The most interesting state is *started* which is where the actor is receiving and processing messages.

patterns between them. In summary, while processing a message an actor may perform a finite combination of the following steps:

- 1. Asynchronously send a message to another actor whose address is known;
- 2. Create a new actor providing all the parameters required for initialization;
- 3. Become another actor, which specifies the replacement behavior to use while processing the subsequent messages [15].

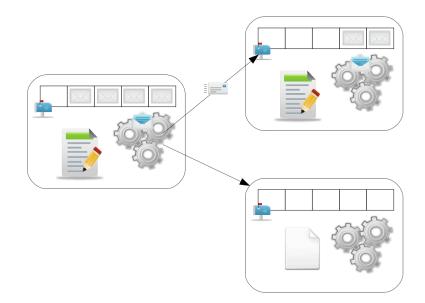


Figure 9: During the processing of a message, actor interactions include exchanging messages with other actors and creating new actors.

6.1.1 Desirable Properties

The only way an actor conveys its internal state to other actors is explicitly via messages and responses to messages. This property obtains benefits similar to encapsulation in object-oriented programming and encourages modularity. The encapsulation of the local state also helps prevent data races because only the actor can modify its local state. Due to the asynchronous mode of communication, the lack of restriction on the order of processing messages sent from different actors, and the absence of synchronization via encapsulation of local data, actors expose inherent concurrency and can work in parallel with other actors.

6.1.2 Habanero-Java Actors

Habanero-Java (HJ) actors are defined by extending the hj.lang.Actor base class. Concrete sub-classes are required to implement the method used to process messages. This method is named process() in HJ *light* actors. Actors are like other objects and can be created by a new operation on concrete classes. An actor is activated by the start() method, after which the runtime ensures that the actor's message processing method is called for each message sent to the actor's mailbox. The actor can terminate itself by calling the exit() method while processing a message. Messages can be sent to actors from actor code or non-actor code by invoking the actor's send() method using a call as follows, someActor.send(aMessage). A send() operation is non-blocking and the recipient actor processes the message asynchronously.

All async tasks created internally within an actor are registered on the finish scope that contained the actor's start() operation. The finish scope will block until all actors started within it terminate. This is similar to the finish semantics while dealing with asyncs.

```
1
2
   import hj.lang.Actor;
3
4
   public class HelloWorld {
5
        public static void main(final String[] args) {
            PrintActor actor = new PrintActor();
6
7
            actor.start();
8
            actor.send("Hello");
9
            actor.send("World");
10
            actor.send(PrintActor.STOP_MSG);
11
        }
12
   }
13
   class PrintActor extends Actor<Object> {
14
        static final Object STOP_MSG = new Object();
15
        protected void process(final Object msg) {
16
17
            if (STOP_MSG.equals(msg)) {
18
                 exit();
19
            } else {
20
                 System.out.println(msg);
21
            ł
22
        ł
23
   }
```

Listing 8: HelloWorld using HJ actors

Listing 8 shows a HelloWorld example using HJ actors. We are guaranteed ordered sends, i.e. though Hello and World will be processed asynchronously, they will be processed in that order.

```
1
\mathbf{2}
   import hj.lang.Actor;
3
   public class SimplePipeline {
4
5
        public static void main(final String[] args) {
6
            // rely on the implicit IEF for HJ programs
 7
            Actor<Object> firstStage =
8
                new EvenLengthFilter(
9
                     new LowerCaseFilter(
10
                         new PrintStage()));
11
12
            firstStage.start();
13
```

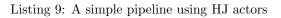
```
14
            firstStage.send("pipeline");
            firstStage.send("Filter");
15
            firstStage.send("example");
16
17
18
            firstStage.send(new StopMessage());
19
        }
20
   }
21
22
   class StopMessage {}
23
24
    class EvenLengthFilter extends Actor<Object> {
25
        private final Actor<Object> nextStage;
26
        EvenLengthFilter(final Actor<Object> nextStage) {
27
            this.nextStage = nextStage;
28
        ł
29
        public void start() {
30
            super.start();
31
            nextStage.start();
32
        }
        protected void process(final Object msg) {
33
34
            if (msg instanceof StopMessage) {
35
                 nextStage.send(msg);
36
                 exit();
37
            } else if (msg instanceof String) {
38
                 String msgStr = (String) msg;
39
                 if (msgStr.length() \% 2 == 0) {
40
                     nextStage.send(msgStr);
41
                 }
42
            }
43
        }
44
   }
45
    class LowerCaseFilter extends Actor<Object> {
46
        private final Actor<Object> nextStage;
47
        LowerCaseFilter(final Actor<Object> nextStage) {
48
            this.nextStage = nextStage;
49
        }
50
        public void start() {
51
            super.start();
52
            nextStage.start();
53
        }
54
        protected void process(final Object msg) {
            if (msg instanceof StopMessage) {
55
                 exit();
56
57
                 nextStage.send(msg);
            } else if (msg instanceof String) {
58
                 String msgStr = (String) msg;
59
60
                 if (msgStr.toLowerCase().equals(msgStr)) {
61
                     nextStage.send(msgStr);
62
                 }
63
            }
64
        }
65
   }
66
    class PrintStage extends Actor<Object> {
67
        protected void process(final Object msg) {
68
            if (msg instanceof StopMessage) {
69
                 exit();
            } else if (msg instanceof String) {
70
```

```
    71
    System.out.println(msg);

    72
    }

    73
    }

    74
    }
```



Listing 9 shows an example of implementing a static pipeline using HJ actors. The pipeline is built up of three stages:

- *EvenLengthFilter*: The first stage of the pipeline filters out strings which are of odd length. It forwards strings of even length to the next stage in the pipeline.
- LowerCaseFilter: The second stage of the pipeline converts all the input strings to lowercase before forwarding to the third (and final) stage of the pipeline.
- *PrintStage*: The final stage of the pipeline prints the input strings. These strings are of even length and in lowercase due to the processing in the earlier stages of the pipeline.

6.1.3 Tips and Pitfalls

- Use an actor-first approach when designing programs that use actors *i.e.*, think about which actors need to be created and how they will communicate with each other. This step will also require you to think about the communication objects used as messages.
- If possible, use immutable objects for messages, since doing so avoids data races and simplifies debugging of parallel programs.
- When overriding the start() or exit() methods in actor classes, remember to make the appropriate calls to the parent's implementation with super.start() or super.exit(), respectively,
- The HJ actor start() method is not idempotent. Take care to ensure you do not invoke start() on the same actor instance more than once. The exit() method on the other hand is idempotent, invoking exit() multiple times is safe within the same call to process().
- Always remember to terminate a started actor using the exit() method. If an actor that has been started is not terminated, the enclosing finish will wait forever (deadlock).

6.2 Ordering of Messages

Habanero actors preserve the order of messages with the same sender task and receiver actor, but messages from different senders may be interleaved in an arbitrary order. This is similar to the message ordering provided by ABCL [18].

6.3 Sieve of Eratosthenes

Pipelining is used for repetitive tasks where each task can be broken down into independent sub-tasks (also called stages) which must be performed sequentially, one after the other. Each stage partially processes data and then forwards the partially processed result to the next stage in the pipeline for further processing. This pattern works best if the operations performed by the various stages of the pipeline are balanced, i.e. take comparable time. If the stages in the pipeline vary widely in computational effort, the slowest stage creates a bottleneck for the aggregate throughput.

The pipeline pattern is a natural fit with the actor model since each stage can be represented as an actor. The single message processing rule ensures that each stage/actor processes one message at a time before handing off to the next actor in the pipeline. The stages however need to ensure ordering of messages while processing them. In our actor model, this ordering support is provided by default for messages from the same actor to another actor. However, the amount of parallelism in a full pipeline is limited by the number of stages.

One algorithm that can be solved elegantly using a dynamic pipeline is the Sieve of Eratosthenes [17]. The algorithm incrementally builds knowledge of primes. The basic idea is to create multiple stages of the pipeline that forward a candidate prime number to the next stage only if the stage determines the candidate is locally prime. When the candidate reaches the end of the pipeline, the pipeline may need to be extended. Thus, this is also an example of a dynamic pipeline where the number of stages is not necessarily known in advance. A simple diagrammatic explanation of how the pipeline would work is shown in Figure 10. Note that to reduce the relative overhead in a real implementation, you will need to increase the amount of work done in each stage by having it store and process multiple prime numbers as a batch.

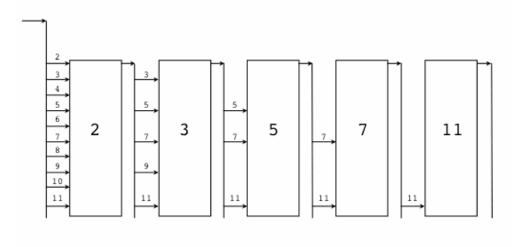


Figure 10: Illustration of Sieve of Eratosthenes algorithm (source: http://golang.org/doc/sieve.gif)

6.4 Producer-Consumer with Unbounded Buffer

TO BE COMPLETED

6.5 Producer-Consumer with Bounded Buffer

TO BE COMPLETED

6.6 Integration with Task Parallelism

There is internal concurrency in an actor in that it can be processing a message, receiving messages from other actors and sending messages to other actors at the same time. However, the requirement that the actor must process at most one message at a time is often misunderstood to mean that the processing must be done via sequential execution. In fact, there can be parallelism exposed even while message processing as long as the semantics is equivalent to that of processing at most one message at a time. A unique feature of the Habanero Actor model lies in its integration with task parallelism, so that (for example) async and finish constructs can be used when processing a message.

6.6.1 Using finish constructs during message processing

The traditional actor model already ensures that the actor processes one message at a time. Since no additional restrictions are placed on the message processing body, we can achieve parallelism by creating new async-finish constructs inside the message processing body. This will mean that we will spawn off new tasks to achieve the parallelism at the cost of blocking the original message processing task at the new finish. Since the main message processing task only returns after all spawned tasks have completed,

the invariant that only one message is processed at a time is maintained. Figure 11 shows an example code snippet that achieves this. Note that there is no restriction on the constructs used inside the newly constructed finish.

Figure 11: An actor exploiting the **async-finish** parallelism inside actors message processing body. The nested **finish** ensures no spawned tasks escape away causing the actor to process multiple messages at a time.

6.6.2 Pause and Resume operations

Requiring all spawned asyncs inside the message processing body are captured is too strict. This restriction can be relaxed based on the observation that the *at most one message processing rule* is required to ensure there are no internal state changes of an actor being effected by two or more message processing tasks of the same actor. We can achieve the same invariant by introducing a *paused* state in the actor life cycle and adding two new operations: **pause** and **resume**. In the *paused* state the actor is not processing any messages from its mailbox. The actor is simply idle as in the *new* state, however the actor can continue receiving messages from other actors. The actor will resume processing its messages, at most one at a time, when it returns to the *started* state. The **pause** operation takes the actor from a *started* state to a *paused* state while the **resume** operation. Neither of these operations are blocking, they only affect the internal state of the actor which controls when messages are processed from the mailbox.

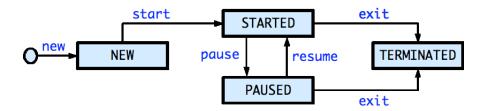


Figure 12: Actor life cycle from Figure 8 extended with a *paused* state. The actor can now continually switch between a *started* and *paused* state.

With the two new operations, we can now allow spawned tasks to escape the main message processing task. These spawned tasks are safe to run in parallel with the next message processing task of the same actor as long as they are not concurrently affecting the internal state of the actor. The actor can be suspended in a *paused* state while these spawned tasks are executing and can be signaled to resume processing messages once the spawned tasks determine they will no longer be modifying the internal state of the actor and hence not violating the one message processing rule. Figure 13 shows an example where the **pause** and **resume** operations are used to achieve parallelism inside the message processing body.

```
class ParallelizedWithEscapingAsyncsActor() extends HybridActor {
  override def behavior() = {
    case msg: SomeMessage \Rightarrow
      // preprocess the message
      async {
        // do some processing in parallel
      }
      pause // to prevent the actor from processing the next message
      // note that pause/resume is not blocking
      async {
        // do some more processing in parallel
        // it is now safe for the actor to resume processing other messages
        resume
        // some more processing
      }
    . . .
  }
}
```

Figure 13: An actor exploiting parallelism via asyncs while avoiding an enclosing finish. The asyncs escape the message processing body, but the pause and resume operations are used to control processing of subsequent messages by the actor.

6.6.3 Stateless Actors

The http://www.gpars.org/guide/GPars project, implemented in Groovy, has a notion of *stateless actors* that are allowed to process multiple messages simultaneously when they do not update internal state. As shown in Figure 14 (with Scala syntax!), it is easy to create stateless actors in Habanero's actor model because of its integration with task parallelism. There is no need to use the **pause** operation for stateless actors; the escaping async tasks can process multiple messages to the same actor in parallel.

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```
class StatelessActor() extends HybridActor {
  override def behavior() = {
    case msg: SomeMessage =>
        async {
            // process the current message
        }
        if (enoughMessagesProcessed) {
            exit()
        }
        // return immediately to be ready to process the next message
    }
}
```

Figure 14: A simple stateless actor created using the hybrid model. The message processing body spawns a new task to process the current message and returns immediately to process the next message. Because the **async** tasks are allowed to escape, the actor may be processing multiple messages simultaneously.

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