

COMP 322: Fundamentals of Parallel Programming

Lecture 24: Java Locks - Soundness and Progress Guarantees

Mack Joyner and Zoran Budimlić
{mjoyner, zoran}@rice.edu

<http://comp322.rice.edu>



What if you want to wait for shared state to satisfy a desired property? (Bounded Buffer Example)

```
1. public synchronized void insert(Object item) { // producer
2.   while(count == buffer.length()) wait();
3.   ++count;
4.   buffer[in] = item;
5.   in = (in + 1) % BUFFER SIZE;
6.   notify();
7. }
```

```
9. public synchronized Object remove() { // consumer
10.  Object item;
11.  while(count == 0) wait();
12.  --count;
13.  item = buffer[out];
14.  out = (out + 1) % BUFFER SIZE;
15.  notify();
16.  return item;
17. }
```



java.util.concurrent.locks.condition interface

- Can be allocated by calling `ReentrantLock.newCondition()`
- Supports multiple condition variables per lock
- Methods supported by an instance of condition
 - `void await()` // NOTE: like `wait()` in synchronized statement
 - Causes current thread to wait until it is signaled or interrupted
 - Variants available with support for interruption and timeout
 - `void signal()` // NOTE: like `notify()` in synchronized statement
 - Wakes up one thread waiting on this condition
 - `void signalAll()` // NOTE: like `notifyAll()` in synchronized statement
 - Wakes up all threads waiting on this condition
- For additional details see
 - <http://download.oracle.com/javase/1.5.0/docs/api/java/util/concurrent/locks/Condition.html>



BoundedBuffer Example using Two Conditions: full and empty

```
1. class BoundedBuffer {  
2.   final Lock lock = new ReentrantLock();  
3.   final Condition full = lock.newCondition();  
4.   final Condition empty = lock.newCondition();  
5.  
6.   final Object[] items = new Object[100];  
7.   int putptr, takeptr, count;  
8.  
9.   ...
```



BoundedBuffer Example using Two Conditions: full and empty (contd)

```
1. public void put(Object x) throws InterruptedException
2. {
3.     lock.lock();
4.     try {
5.         while (count == items.length) full.await();
6.         items[putptr] = x;
7.         if (++putptr == items.length) putptr = 0;
8.         ++count;
9.         empty.signal();
10.    } finally {
11.        lock.unlock();
12.    }
13. }
```



BoundedBuffer Example using Two Conditions: full and empty (contd)

```
1. public Object take() throws InterruptedException
2. {
3.     lock.lock();
4.     try {
5.         while (count == 0) empty.await();
6.         Object x = items[takeptr];
7.         if (++takeptr == items.length) takeptr = 0;
8.         --count;
9.         full.signal();
10.        return x;
11.    } finally {
12.        lock.unlock();
13.    }
14. }
```



Safety vs Liveness

- In a concurrent setting, we need to specify both the safety and the liveness properties of an object
- Need a way to define
 - Safety: when an implementation is functionally correct (does not produce a wrong answer)
 - Liveness: the conditions under which it guarantees progress (completes execution successfully)
- Examples of safety
 - Data race freedom is a desirable safety property for parallel programs (Module 1)
 - Linearizability is a desirable safety property for concurrent objects (Module 2)



Liveness

- Liveness = a program's ability to make progress in a timely manner
- Termination (“no infinite loop”) is not necessarily a requirement for liveness
 - some applications are designed to be non-terminating
- Different levels of liveness guarantees (from weaker to stronger) for tasks/threads in a concurrent program
 1. Deadlock freedom
 2. Livelock freedom
 3. Starvation freedom
 4. Bounded wait



1. Deadlock-Free Parallel Program Executions

- A parallel program execution is *deadlock-free* if no task's execution remains incomplete due to it being blocked awaiting some condition
- Example of a program with a deadlocking execution

```
// Thread T1
public void leftHand() {
    synchronized(obj1) {
        synchronized(obj2) {
            // work with obj1 & obj2
            ...
        }
    }
}
```

```
// Thread T2
public void leftHand() {
    synchronized(obj2) {
        synchronized(obj1) {
            // work with obj2 & obj1
            ...
        }
    }
}
```

- In this case, Task1 and Task2 are in a deadlock cycle.
 - Construct that can lead to deadlock in HJlib: `async await`
 - There are many constructs that can lead to deadlock cycles in other programming models (e.g., `thread join`, `synchronized`, Java locks)



2. Livelock-Free Parallel Program

- A parallel program execution exhibits *livelock* if two or more tasks repeat the same interactions without making any progress (special case of nontermination)
- Livelock example:

```
// Task T1
incrToTwo(AtomicInteger ai) {
  // increment ai till it reaches 2
  while (ai.incrementAndGet() < 2);
}
```

```
// Task T2
decrToNegTwo(AtomicInteger ai) {
  // decrement ai till it reaches -2
  while (ai.decrementAndGet() > -2);
}
```

- Many well-intended approaches to avoid deadlock result in livelock instead



3. Starvation-Free Parallel Program Executions

A parallel program execution exhibits *starvation* if some task is repeatedly denied the opportunity to make progress

- Starvation-freedom is sometimes referred to as “lock-out freedom”
- Starvation is possible in HJ programs, since all tasks in the same program are assumed to be cooperating, rather than competing
 - If starvation occurs in a deadlock-free HJ program, the “equivalent” sequential program must be non-terminating (infinite loop)



4. Bounded Wait

- A parallel program execution exhibits bounded wait if each task requesting a resource should only have to wait for a bounded number of other tasks to “cut in line” i.e., to gain access to the resource after its request has been registered.
- If bound = 0, then the program execution is fair



Key Functional Groups in java.util.concurrent (j.u.c.)

- Atomic variables
 - The key to writing lock-free algorithms
- Concurrent Collections:
 - Queues, blocking queues, concurrent hash map, ...
 - Data structures designed for concurrent environments
- Locks and Conditions
 - More flexible synchronization control
 - Read/write locks
- Executors, Thread pools and Futures
 - Execution frameworks for asynchronous tasking
- Synchronizers: Semaphore
 - Ready made tool for thread coordination



Semaphores

- Conceptually serve as “permit” holders
 - Construct with an initial number of permits
 - `acquire()` : waits for permit to be available, then “takes” one, i.e., decrements the count of available permits
 - `release()` : “returns” a permit, i.e., increments the count of available permits
 - But no actual permits change hands
 - The semaphore just maintains the current count
 - Thread performing `release()` can be different from the thread performing `acquire()`
- “fair” variant hands out permits in FIFO order
- Useful for managing bounded access to a shared resource



Announcements & Reminders

- Quiz #5 is due **today** at 11:59pm
- Hw #4 is due Friday, Apr. 1st at 11:59pm

Hw #4 myjob.slurm

```
1  #!/bin/bash
2
3  #SBATCH --job-name=comp322-hw4
4  #SBATCH --nodes=1
5  #SBATCH --ntasks-per-node=1
6  #SBATCH --cpus-per-task=16
7  #SBATCH --mem=16000m
8  #SBATCH --time=01:00:00
9  #SBATCH --mail-type=ALL
10 #SBATCH --export=ALL
11 #SBATCH --partition=commons
12 #SBATCH --exclusive
13
14 cd /home/$USER/hw4-GITID # TODO: Change path to your hw 4 folder
15
16 source /home/mjoyner/comp322/322_setup.sh
17
18 mvn -DBoruvkaPerformanceTest test
```

