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# COMP 322: Fundamentals of Parallel Programming

## Lecture 29: Java's synchronized statement

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# Acknowledgments for Today's Lecture

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  - <http://www.oopsla.org/oopsla2007/index.php?page=sub/&id=69>



# Two-way Parallel ArraySum using Java threads (Recap from Lecture 27)

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```
1 // Start of Task T1 (main program)
2 sum1 = 0; sum2 = 0; // Assume that sum1 & sum2 are fields (not local vars)
3 // Compute sum1 (lower half) and sum2 (upper half) in parallel
4 final int len = X.length;
5 Runnable r1 = new Runnable() {
6     public void run(){ for(int i=0 ; i < len/2 ; i++) sum1 += X[i];}
7 };
8 Thread t1 = new Thread(r1);
9 t1.start();
10 Runnable r2 = new Runnable() {
11     public void run(){ for(int i=len/2 ; i < len ; i++) sum2 += X[i];}
12 };
13 Thread t2 = new Thread(r2);
14 t2.start();
15 // Wait for threads t1 and t2 to complete
16 t1.join(); t2.join();
17 int sum = sum1 + sum2;
```

**Thread.start() and Thread.join() provide rudimentary support for async and finish. What about monitors, critical sections, isolated?**



# Monitors --- an object-oriented approach to isolation (Recap from Lecture 21)

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- A monitor is an object containing
  - some local variables (private data)
  - some methods that operate on local data (monitor regions)
- Only one task can be active in a monitor at a time, executing some monitor region
  - **Analogous to a critical section for a single object**
- Monitors can also be used for
  - Mutual exclusion
  - Cooperation among parallel method invocations



# How to convert a sequential library to a monitor in HJ vs. Java?

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## HJ approach:

- Use object-based isolation to ensure that each call to a public method is isolated on “this” e.g.,

```
public void add(...) { isolated(this) { .... } }
```

- Can also use general isolated statement, but that is overkill e.g.,

```
public void add(...) { isolated { .... } }
```

## Java approach:

- Use Java's synchronized statement instead of object-based isolation e.g.,

```
public void add(...) { synchronized(this) { .... } }, or equivalently
```

```
public synchronized void add(...) { .... } // Like synchronized(this)
```

- Both HJ and Java programs can use specialized implementations of monitors available in `java.util.concurrent`
- `ConcurrentHashMap`, `ConcurrentLinkedQueue`, `CopyOnWriteArraySet`



# Objects and Locks in Java --- synchronized statements and methods

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- Every Java object has an associated lock acquired via:
  - **synchronized statements**
    - `synchronized( foo ) { // acquire foo's lock  
// execute code while holding foo's lock  
} // release foo's lock`
  - **synchronized methods**
    - `public synchronized void op1() { // acquire 'this' lock  
// execute method while holding 'this' lock  
} // release 'this' lock`
- Java language does not enforce any relationship between object used for locking and objects accessed in isolated code
  - If same object is used for locking and data access, then the object behaves like a monitor
- Locking and unlocking are **automatic**
  - Locks are released when a synchronized block exits
    - By normal means: end of block reached, `return`, `break`
    - When an exception is thrown and not caught
- Java's `synchronized` is related to "mutex" locks in POSIX thread library



# Locking guarantees in Java

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- It is desirable to use `java.util.concurrent.atomic` and other standard monitor classes when possible
- Locks are needed for more general cases. Basic idea is that `synchronized(a) <stmt>` is implemented as follows:
  1. Acquire lock for object `a`
  2. Execute `<stmt>`
  3. Release lock for object `a`
- The responsibility for ensuring that the choice of locks correctly implements the semantics of monitors/isolated lies with the programmer.
- The main guarantee provided by locks is that only one thread can hold a lock at a time, and the thread is blocked when acquiring a lock if the lock is unavailable.



# Monitors – a Diagrammatic summary

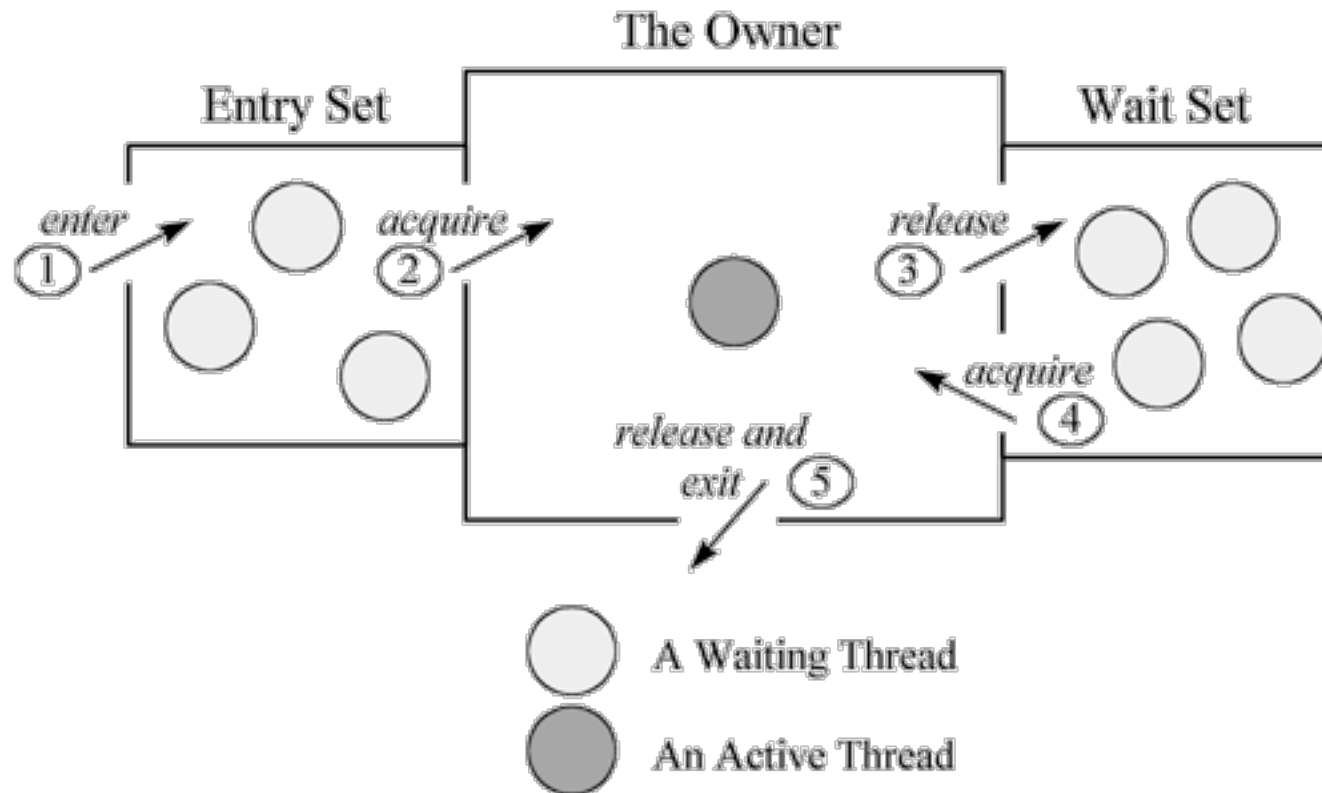


Figure 20-1. A Java monitor.

Figure source: <http://www.artima.com/insidejvm/ed2/images/fig20-1.gif>





# Deadlock example with Java synchronized statement

- The code below can deadlock if `leftHand()` and `rightHand()` are called concurrently from different threads
  - Because the locks are not acquired in the same order

```
public class ObviousDeadlock {
    . . .
    public void leftHand() {
        synchronized(lock1) {
            synchronized(lock2) {
                for (int i=0; i<10000; i++)
                    sum += random.nextInt(100);
            }
        }
    }
    public void rightHand() {
        synchronized(lock2) {
            synchronized(lock1) {
                for (int i=0; i<10000; i++)
                    sum += random.nextInt(100);
            }
        }
    }
}
```



# Deadlock avoidance in HJ with object-based isolation

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- HJ implementation ensures that all locks are acquired in the same order
- ==> no deadlock

```
public class NoDeadlock1 {
    . . .
    public void leftHand() {
        isolated(lock1, lock2) {
            for (int i=0; i<10000; i++)
                sum += random.nextInt(100);
        }
    }
    public void rightHand() {
        isolated(lock2, lock1) {
            for (int i=0; i<10000; i++)
                sum += random.nextInt(100);
        }
    }
}
```



# Dynamic Order Deadlocks

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- There are even more subtle ways for threads to deadlock due to inconsistent lock ordering

– Consider a method to transfer a balance from one account to another:

```
public class SubtleDeadlock {
    public void transferFunds(Account from,
                              Account to,
                              int amount) {
        synchronized (from) {
            synchronized (to) {
                from.subtractFromBalance(amount);
                to.addToBalance(amount);
            }
        }
    }
}
```

– What if one thread tries to transfer from A to B while another tries to transfer from B to A ?

Inconsistent lock order again - Deadlock!



# Avoiding Dynamic Order Deadlocks

- The solution is to **induce** a lock ordering
- Here, uses an existing unique numeric key, `acctId`, to establish an order

```
public class SafeTransfer {  
    public void transferFunds(Account from, Account to, int amount) {  
        Account firstLock, secondLock;  
        if (fromAccount.acctId == toAccount.acctId)  
            throw new Exception("Cannot self-transfer");  
        else if (fromAccount.acctId < toAccount.acctId) {  
            firstLock = fromAccount;  
            secondLock = toAccount;  
        }  
        else {  
            firstLock = toAccount;  
            secondLock = fromAccount;  
        }  
        synchronized (firstLock) {  
            synchronized (secondLock) {  
                from.subtractFromBalance(amount);  
                to.addToBalance(amount);  
            }  
        }  
    }  
}
```



# Java's Object Locks are Reentrant

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- Locks are **granted** on a **per-thread** basis
  - Called **reentrant** or **recursive locks**
  - Promotes **object-oriented concurrent code**
- A **synchronized** block means **execution of this code requires the current thread to hold this lock**
  - **If it does — fine**
  - **If it doesn't — then acquire the lock**

- **Reentrancy** means that **recursive methods, invocation of **super** methods, or local callbacks, don't deadlock**

```
public class Widget {  
    public synchronized void doSomething() { ... }  
}  
  
public class LoggingWidget extends Widget {  
    public synchronized void doSomething() {  
        Logger.log(this + ": calling doSomething()");  
        super.doSomething(); // Doesn't deadlock!  
    }  
}
```



# Object-based isolation in HJ does not deadlock

```
public class NoDeadlock2 {
    public void transferFunds(Account from,
                              Account to,
                              int amount) {
        isolated (from, to) {
            from.subtractFromBalance (amount);
            to.addToBalance (amount);
        } } } }
```

- HJ's implementation guarantees that object-based isolation is deadlock-free
- However, HJ does not permit an inner isolated statement to add a new object e.g., the following code is not permitted in HJ, but the equivalent synchronized version is permitted in Java

Not permitted in HJ (if from != to)

```
isolated (from) {
    ...
    isolated (to) { . . . }
}
```

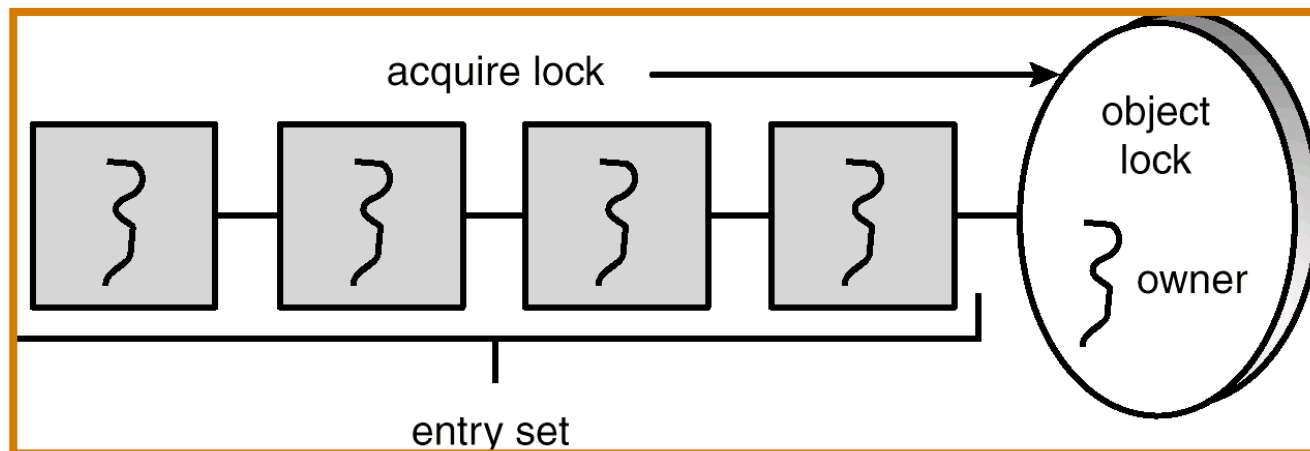
Permitted in Java

```
synchronized (from) {
    ...
    synchronized(to) { . . . }
}
```



# Implementation of Java synchronized statements/methods

- Every object has an associated lock
- “synchronized” is translated to matching `monitorenter` and `monitorexit` bytecode instructions for the Java virtual machine
  - `monitorenter` requests “ownership” of the object’s lock
  - `monitorexit` releases “ownership” of the object’s lock
- If a thread performing `monitorenter` does not own the lock (because another thread already owns it), it is placed in an unordered “entry set” for the object’s lock



# What if you want to wait for shared state to satisfy a desired property?

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```
public synchronized void insert(Object item) { // producer
    // TODO: wait till count < BUFFER SIZE
    ++count;
    buffer[in] = item;
    in = (in + 1) % BUFFER SIZE;
    // TODO: notify consumers that an insert has been performed
}

public synchronized Object remove() { // consumer
    Object item;
    // TODO: wait till count > 0
    --count;
    item = buffer[out];
    out = (out + 1) % BUFFER SIZE;
    // TODO: notify producers that a remove() has been performed
    return item;
}
```





# The Java wait() Method

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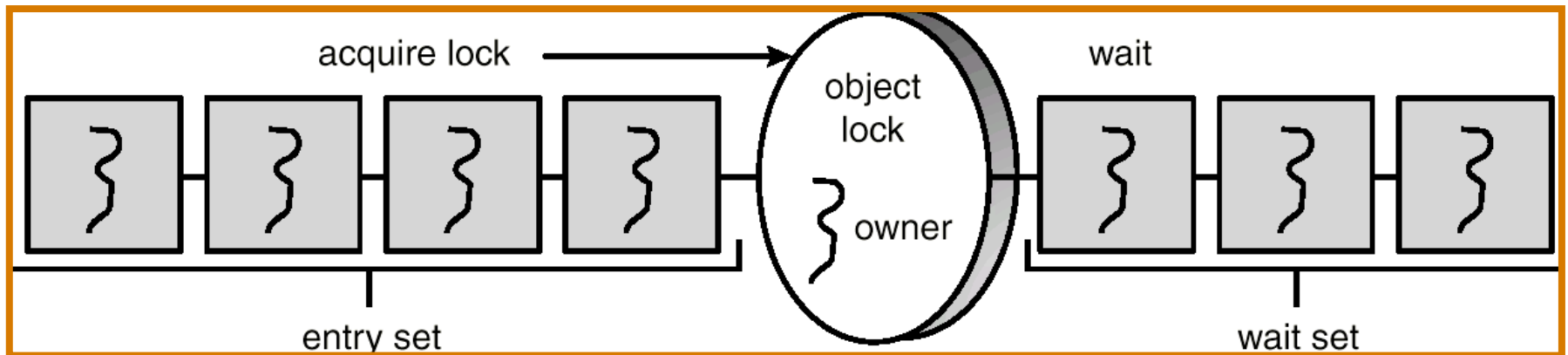
- A thread can perform a `wait()` method on an object that it owns:
  1. the thread releases the object lock
  2. thread state is set to blocked
  3. thread is placed in the wait set
- Causes thread to wait until another thread invokes the `notify()` method or the `notifyAll()` method for this object.
- Since interrupts and spurious wake-ups are possible, this method should always be used in a loop e.g.,

```
synchronized (obj) {  
    while (<condition does not hold>)  
        obj.wait();  
    ... // Perform action appropriate to condition  
}
```

- Java's wait-notify is related to “condition variables” in POSIX threads



# Entry and Wait Sets



# The notify() Method

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When a thread calls `notify()`, the following occurs:

1. selects an arbitrary thread `T` from the wait set
2. moves `T` to the entry set
3. sets `T` to Runnable

`T` can now compete for the object's lock again



# Multiple Notifications

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- `notify()` selects an arbitrary thread from the wait set.
  - This may not be the thread that you want to be selected.
  - Java does not allow you to specify the thread to be selected
- `notifyAll()` removes ALL threads from the wait set and places them in the entry set. This allows the threads to decide among themselves who should proceed next.
- `notifyAll()` is a conservative strategy that works best when multiple threads may be in the wait set



# insert() with wait/notify Methods

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```
public synchronized void insert(Object item) {
    while (count == BUFFER SIZE) {
        try {
            wait();
        }
        catch (InterruptedException e) { }
    }
    ++count;
    buffer[in] = item;
    in = (in + 1) % BUFFER SIZE;
    notify();
}
```



# remove() with wait/notify Methods

---

```
public synchronized Object remove() {
    Object item;
    while (count == 0) {
        try {
            wait();
        }
        catch (InterruptedException e) { }
    }
    --count;
    item = buffer[out];
    out = (out + 1) % BUFFER SIZE;
    notify();
    return item;
}
```



# Complete Bounded Buffer using Java Synchronization

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```
public class BoundedBuffer implements Buffer
{
    private static final int BUFFER SIZE = 5;
    private int count, in, out;
    private Object[] buffer;
    public BoundedBuffer() { // buffer is initially empty
        count = 0;
        in = 0;
        out = 0;
        buffer = new Object[BUFFER SIZE];
    }
    public synchronized void insert(Object item) { // See previous slides
    }
    public synchronized Object remove() { // See previous slides
    }
}
```

