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# COMP 322: Fundamentals of Parallel Programming

## Lecture 26: Java Threads, Java's synchronized statement

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<https://wiki.rice.edu/confluence/display/PARPROG/COMP322>



# Worksheet 25 Solution

```
1. // Assume that no. of enq() operations is < Integer.MAX_VALUE
2. class Queue1 {
3.     AtomicInteger head = new AtomicInteger(0);
4.     AtomicInteger tail = new AtomicInteger(0);
5.     Object[] items = new Object[Integer.MAX_VALUE];
6.     public void enq(Object x) {
7.         int slot = tail.getAndIncrement(); // isolated(tail) ...
8.         items[slot] = x;
9.     } // enq
10.    public Object deq() throws EmptyException {
11.        int slot = head.getAndIncrement(); // isolated(head) ...
12.        Object value = items[slot];
13.        if (value == null) throw new EmptyException();
14.        return value;
15.    } // deq
16. } // Queue1

17. // Client code
18. finish {
19.     Queue1 q = new Queue1();
20.     async q.enq(new Integer(1));
21.     q.enq(new Integer(2));
22.     Integer x = (Integer) q.deq();
23. }
```

**Q: Can you show an execution for which deq() results in an EmptyException in line 22 below?**

**A: Yes, consider the case when the async on line 20 introduces an arbitrary delay between lines 7 and 8**



# Introduction to Java threads: java.lang.Thread class

- Execution of a Java program begins with an instance of Thread created by the Java Virtual Machine (JVM) that executes the program's main() method.
- Parallelism can be introduced by creating additional instances of class Thread that execute as parallel threads.

```
1 public class Thread extends Object implements Runnable {
2     Thread() { ... } // Creates a new Thread
3     Thread(Runnable r) { ... } // Creates a new Thread with Runnable object r
4     void run() { ... } // Code to be executed by thread
5     // Case 1: If this thread was created with a Runnable object,
6     //           then that object's run method is called
7     // Case 2: If this class is subclassed, the run method
8     //           in the subclass is called
9     void start() { ... } // Causes this thread to start
10    void join() { ... } // Wait for this thread to die
11    void join(long m) // Wait at most m milliseconds for thread to die
12    static Thread currentThread() // Returns currently executing thread
13    . . .
14 }
```

A lambda can be  
passed as a Runnable



# start() and join() methods

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- **A Thread instance starts executing when its start() method is invoked**
  - start() can be invoked at most once per Thread instance
    - Like actors, except that Java threads don't process messages
  - As with async, the parent thread can immediately move to the next statement after invoking t.start()
- **A t.join() call forces the invoking thread to wait till thread t completes.**
  - Lower-level primitive than finish since it only waits for a single thread rather than a collection of threads
  - No restriction on which thread performs a join on which thread, so it is possible to create a deadlock cycle using join()
    - Declaring thread references as final does not help because the new() and start() operations are separated for threads (unlike futures, where they are integrated)



# Two-way Parallel Array Sum using Java Threads

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```
1. // Start of main thread
2. sum1 = 0; sum2 = 0; // sum1 & sum2 are static fields
3. Thread t1 = new Thread(() -> {
4.     // Child task computes sum of lower half of array
5.     for(int i=0; i < X.length/2; i++) sum1 += X[i];
6. });
7. t1.start();
8. // Parent task computes sum of upper half of array
9. for(int i=X.length/2; i < X.length; i++) sum2 += X[i];
10. // Parent task waits for child task to complete (join)
11. t1.join();
12. return sum1 + sum2;
```



# How to convert a sequential library to a monitor in HJ vs. Java?

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## HJ approach:

- Use object-based isolation to ensure that each call to a public method is isolated on "this" e.g.,  

```
public void add(...) { isolated(this) { .... } }
```
- Can also use general isolated statement, but that is overkill e.g.,  

```
public void add(...) { isolated { .... } }
```

## Java approach:

- Use Java's synchronized statement instead of object-based isolation e.g.,  

```
public void add(...) { synchronized(this) { .... } }
```

  
or equivalently  

```
public synchronized void add(...) { .... }
```
- Both HJ and Java programs can use specialized implementations of monitors available in `java.util.concurrent`



# Objects and Locks in Java --- synchronized statements and methods

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- Every Java object has an associated lock acquired via:
  - **synchronized statements**
    - `synchronized( foo ) { // acquire foo's lock`  
`// execute code while holding foo's lock`  
`} // release foo's lock`
  - **synchronized methods**
    - `public synchronized void op1() { // acquire 'this' lock`  
`// execute method while holding 'this' lock`  
`} // release 'this' lock`
- Java language does not enforce any relationship between object used for locking and objects accessed in isolated code
  - If same object is used for locking and data access, then the object behaves like a monitor
- Locking and unlocking are **automatic**
  - Locks are released when a synchronized block exits
    - By normal means: end of block reached, `return`, `break`
    - When an exception is thrown and not caught



# Locking guarantees in Java

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- It is desirable to use `java.util.concurrent.atomic` and other standard monitor classes when possible
- Locks are needed for more general cases. Basic idea is to implement `synchronized(a) <stmt>` as follows:
  1. Acquire lock for object `a`
  2. Execute `<stmt>`
  3. Release lock for object `a`
- The responsibility for ensuring that the choice of locks correctly implements the semantics of monitors/isolated lies with the programmer.
- The main guarantee provided by locks is that only one thread can hold a given lock at a time, and the thread is blocked when acquiring a lock if the lock is unavailable.





# Deadlock example with Java synchronized statement

- The code below can deadlock if `leftHand()` and `rightHand()` are called concurrently from different threads
  - Because the locks are not acquired in the same order

```
public class ObviousDeadlock {  
    . . .  
    public void leftHand() {  
        synchronized(lock1) {  
            synchronized(lock2) {  
                for (int i=0; i<10000; i++)  
                    sum += random.nextInt(100);  
            }  
        }  
    }  
    public void rightHand() {  
        synchronized(lock2) {  
            synchronized(lock1) {  
                for (int i=0; i<10000; i++)  
                    sum += random.nextInt(100);  
            }  
        }  
    }  
}
```



# Deadlock avoidance in HJ with object-based isolation

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- HJ implementation ensures that all locks are acquired in the same order
- ==> no deadlock

```
public class NoDeadlock1 {
    . . .
    public void leftHand() {
        isolated(lock1, lock2) {
            for (int i=0; i<10000; i++)
                sum += random.nextInt(100);

        }
    }
    public void rightHand() {
        isolated(lock2, lock1) {
            for (int i=0; i<10000; i++)
                sum += random.nextInt(100);

        }
    }
}
```



# Dynamic Order Deadlocks

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- There are even more subtle ways for threads to deadlock due to inconsistent lock ordering

– Consider a method to transfer a balance from one account to another:

```
public class SubtleDeadlock {
    public void transferFunds(Account from,
                              Account to,
                              int amount) {
        synchronized (from) {
            synchronized (to) {
                from.subtractFromBalance(amount);
                to.addToBalance(amount);
            }
        }
    }
}
```

- What if one thread tries to transfer from A to B while another tries to transfer from B to A ?

Inconsistent lock order again - Deadlock!



# Avoiding Dynamic Order Deadlocks

- The solution is to **induce** a lock ordering
- Here, uses an existing unique numeric key, `acctId`, to establish an order

```
public class SafeTransfer {
    public void transferFunds(Account from, Account to, int amount) {
        Account firstLock, secondLock;
        if (fromAccount.acctId == toAccount.acctId)
            throw new Exception("Cannot self-transfer");
        else if (fromAccount.acctId < toAccount.acctId) {
            firstLock = fromAccount;
            secondLock = toAccount;
        }
        else {
            firstLock = toAccount;
            secondLock = fromAccount;
        }
        synchronized (firstLock) {
            synchronized (secondLock) {
                from.subtractFromBalance(amount);
                to.addToBalance(amount);
            }
        }
    }
}
```



# Java's Object Locks are Reentrant

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- Locks are **granted** on a **per-thread** basis
  - Called **reentrant** or **recursive locks**
  - Promotes **object-oriented concurrent code**
- A **synchronized** block means **execution of this code requires the current thread to hold this lock**
  - **If it does — fine**
  - **If it doesn't — then acquire the lock**

- **Reentrancy** means that **recursive methods, invocation of **super** methods, or local callbacks, don't deadlock**

```
public class Widget {  
    public synchronized void doSomething() { ... }  
}  
  
public class LoggingWidget extends Widget {  
    public synchronized void doSomething() {  
        Logger.log(this + ": calling doSomething()");  
        super.doSomething(); // Doesn't deadlock!  
    }  
}
```



# Object-based isolation in HJ does not deadlock

```
public class NoDeadlock2 {
    public void transferFunds(Account from,
                               Account to,
                               int amount) {
        isolated (from, to) {
            from.subtractFromBalance (amount);
            to.addToBalance (amount);
        } } } }
```

- HJ's implementation guarantees that object-based isolation is deadlock-free
- However, HJ does not permit an inner isolated statement to add a new object e.g., the following code is not permitted in HJ, but the equivalent synchronized version is permitted in Java

Not permitted in HJ (if from != to)

```
isolated (from) {
    ...
    isolated (to) { . . . }
}
```

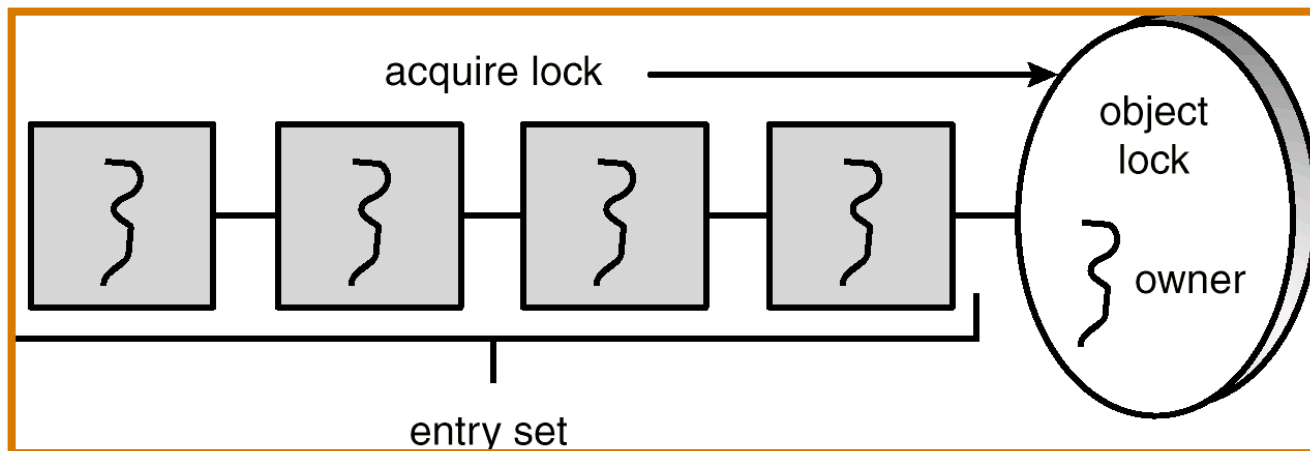
Permitted in Java

```
synchronized (from) {
    ...
    synchronized(to) { . . . }
}
```



# Implementation of Java synchronized statements/methods

- Every object has an associated lock
- “synchronized” is translated to matching `monitorenter` and `monitorexit` bytecode instructions for the Java virtual machine
  - `monitorenter` requests “ownership” of the object’s lock
  - `monitorexit` releases “ownership” of the object’s lock
- If a thread performing `monitorenter` does not own the lock (because another thread already owns it), it is placed in an unordered “entry set” for the object’s lock



# Monitors – a Diagrammatic summary

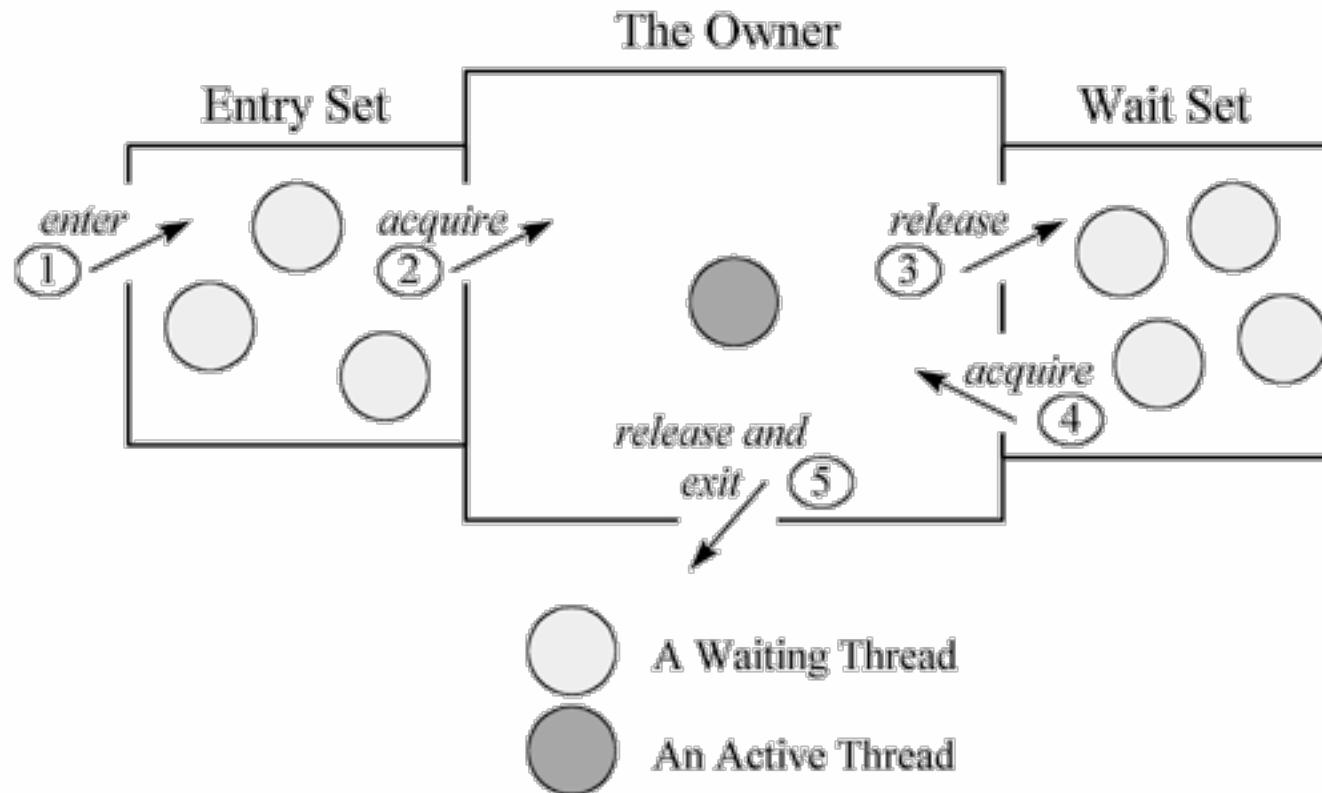


Figure 20-1. A Java monitor.

Figure source: <http://www.artima.com/insidejvm/ed2/images/fig20-1.gif>





# What if you want to wait for shared state to satisfy a desired property?

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```
public synchronized void insert(Object item) { // producer
    // TODO: wait till count < BUFFER SIZE
    ++count;
    buffer[in] = item;
    in = (in + 1) % BUFFER SIZE;
    // TODO: notify consumers that an insert has been performed
}

public synchronized Object remove() { // consumer
    Object item;
    // TODO: wait till count > 0
    --count;
    item = buffer[out];
    out = (out + 1) % BUFFER SIZE;
    // TODO: notify producers that a remove() has been performed
    return item;
}
```



# The Java wait() Method

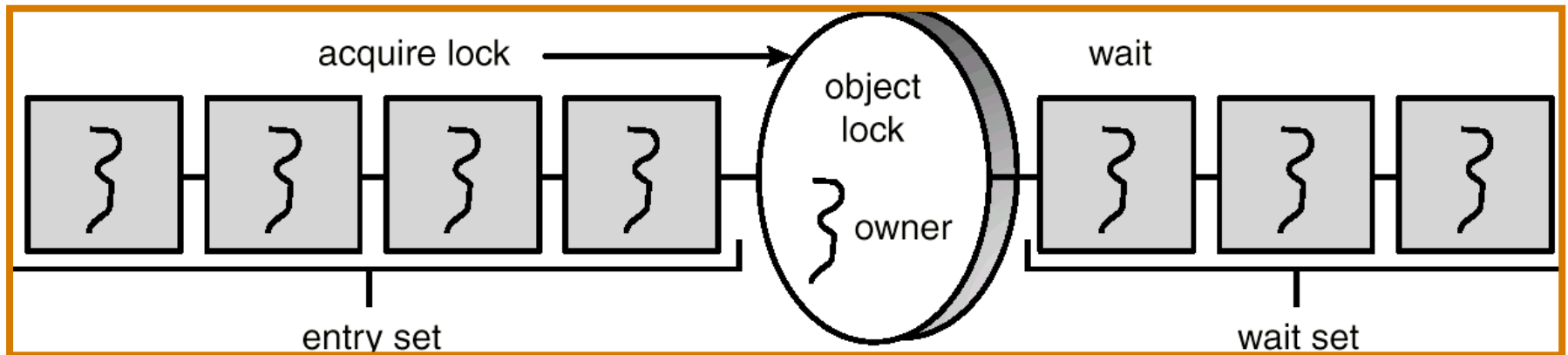
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- A thread can perform a `wait()` method on an object that it owns:
  1. the thread releases the object lock
  2. thread state is set to blocked
  3. thread is placed in the wait set
- Causes thread to wait until another thread invokes the `notify()` method or the `notifyAll()` method for this object.
- Since interrupts and spurious wake-ups are possible, this method should always be used in a loop e.g.,

```
synchronized (obj) {  
    while (<condition does not hold>  
        obj.wait();  
    ... // Perform action appropriate to condition  
}
```
- Java's wait-notify is related to “condition variables” in POSIX threads



# Entry and Wait Sets



# The notify() Method

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When a thread calls `notify()`, the following occurs:

1. selects an arbitrary thread `T` from the wait set
2. moves `T` to the entry set
3. sets `T` to Runnable

`T` can now compete for the object's lock again



# Multiple Notifications

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- `notify()` selects an arbitrary thread from the wait set.
  - This may not be the thread that you want to be selected.
  - Java does not allow you to specify the thread to be selected
- `notifyAll()` removes ALL threads from the wait set and places them in the entry set. This allows the threads to decide among themselves who should proceed next.
- `notifyAll()` is a conservative strategy that works best when multiple threads may be in the wait set



# insert() with wait/notify Methods

---

```
public synchronized void insert(Object item) {
    while (count == BUFFER SIZE) {
        try {
            wait();
        }
        catch (InterruptedException e) { }
    }
    ++count;
    buffer[in] = item;
    in = (in + 1) % BUFFER SIZE;
    notify();
}
```



# remove() with wait/notify Methods

---

```
public synchronized Object remove() {
    Object item;
    while (count == 0) {
        try {
            wait();
        }
        catch (InterruptedException e) { }
    }
    --count;
    item = buffer[out];
    out = (out + 1) % BUFFER SIZE;
    notify();
    return item;
}
```



# Complete Bounded Buffer using Java Synchronization

---

```
public class BoundedBuffer implements Buffer
{
    private static final int BUFFER SIZE = 5;
    private int count, in, out;
    private Object[] buffer;
    public BoundedBuffer() { // buffer is initially empty
        count = 0;
        in = 0;
        out = 0;
        buffer = new Object[BUFFER SIZE];
    }
    public synchronized void insert(Object item) { // See previous slides
    }
    public synchronized Object remove() { // See previous slides
    }
}
```





# Worksheet #26: Java Threads and Data Races

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Name: \_\_\_\_\_

Netid: \_\_\_\_\_

1) Write a sketch of the pseudocode for a Java threads program that exhibits a data race using start() and join() operations.

2) Write a sketch of the pseudocode for a Java threads program that exhibits a data race using synchronized statements

